

F110000001586

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP☐ WAIT☐ MAIL

(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

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**COVER LETTER**

**TO:** Amendment Section  
Division of Corporations

**SUBJECT:** MONROE FINANCIAL PARTNERS, INC. (FORMERLY MONROE SECURITIES INC.)

Name of Corporation

**DOCUMENT NUMBER:** F11000001586

The enclosed Amendment and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

**CRAIG CARLINO**

Name of Contact Person

**MONROE FINANCIAL PARTNERS, INC.**

Firm/Company

**100 N RIVERSIDE PLAZA - SUITE 1620**

Address

**CHICAGO, IL 60606**

City/State and Zip Code

**CCARLINO@MONROESECURITIES.COM**

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

**CRAIG CARLINO**

Name of Contact Person

at ( **312** ) **506-8750**

Area Code & Daytime Telephone Number

Enclosed is a check for the following amount:

☒ \$35.00 Filing Fee

☐ \$43.75 Filing Fee &  
Certificate of Status

☐ \$43.75 Filing Fee &  
Certified Copy  
(Additional copy is  
enclosed)

☐ \$52.50 Filing Fee,  
Certificate of Status &  
Certified Copy  
(Additional copy is  
enclosed)

**Mailing Address:**

Amendment Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

**Street Address:**

Amendment Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

July 12, 2013

CRAIG CARLINO  
MONROE SECURITIES, INC.  
100 N. RIVERSIDE PLAZA - STE. 1620  
CHICAGO, IL 60606

SUBJECT: MONROE SECURITIES, INC.  
Ref. Number: F11000001586

We have received your document for MONROE SECURITIES, INC. and your check(s) totaling \$35.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

A certificate or a document of similar import evidencing the amendment must be submitted with the application. The certificate should be authenticated as of a date not more than 90 days prior to delivery of the application to the Department of State by the Secretary of State or other official having custody of the records in the jurisdiction under the laws of which it is incorporated, formed, or organized. A translation of the certificate, under oath or affirmation of the translator, must be attached to a certificate which is not in English.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6050.

Irene Albritton  
Regulatory Specialist II

Letter Number: 213A00017071

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2013 JUL 29 AM 8:25

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

**PROFIT CORPORATION**  
**APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO**  
**APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA**  
(Pursuant to s. 607.1504, F.S.)

**SECTION I**  
**(1-3 MUST BE COMPLETED)**

F11000001586

(Document number of corporation (if known))

1. MONROE SECURITIES INC.

(Name of corporation as it appears on the records of the Department of State)

2. NEW YORK

(Incorporated under laws of)

3. 4/12/2011

(Date authorized to do business in Florida)

**SECTION II**  
**(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)**

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? MAY 30, 2013

5. MONROE FINANCIAL PARTNERS, INC.

(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

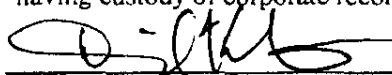
6. If the amendment changes the period of duration, indicate new period of duration.

\_\_\_\_\_  
(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

\_\_\_\_\_  
(New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.

  
(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

DANIEL KANTER

(Typed or printed name of person signing)

PRESIDENT

(Title of person signing)

FILED  
DEPT. OF STATE  
13 JUL 29 PM 4:33

***STATE OF NEW YORK***

***DEPARTMENT OF STATE***

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.



WITNESS my hand and official seal of the  
Department of State, at the City of Albany, on May  
30, 2013.

A handwritten signature in black ink, appearing to read "Daniel E. Shapiro".

Daniel E. Shapiro  
First Deputy Secretary of State

CT-07

New York State Department of State  
Division of Corporations, State Records and Uniform Commercial Code  
One Commerce Plaza, 99 Washington Avenue  
Albany, NY 12231  
www.dos.state.ny.us

190580007/58

CERTIFICATE OF AMENDMENT  
OF THE  
CERTIFICATE OF INCORPORATION  
OF

MONROE SECURITIES, INC.

*(Insert Name of Domestic Corporation)*

Under Section 805 of the Business Corporation Law

FIRST: The name of the corporation is:

MONROE SECURITIES, INC.

If the name of the corporation has been changed, the name under which it was formed is:

SECOND: The date of filing of the certificate of incorporation with the Department of State is:

July 18, 1968

THIRD: The amendment effected by this certificate of amendment is as follows:

*(Set forth each amendment in a separate paragraph providing the subject matter and full text of each amended paragraph. For example, an amendment changing the name of the corporation would read as follows: Paragraph First of the Certificate of Incorporation relating to the corporation name is hereby amended to read as follows: First: The name of the corporation is ... (new name) ...)*

Paragraph First of the Certificate of Incorporation relating to  
the corporation name

is hereby amended to read in its entirety as follows:

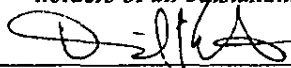
First: The name of the corporation is Monroe Financial Partners, Inc.

Paragraph \_\_\_\_\_ of the Certificate of Incorporation relating to

is hereby amended to read in its entirety as follows:

FOURTH: The certificate of amendment was authorized by: *(Check the appropriate box)*

- ☐ The vote of the board of directors followed by a vote of a majority of all outstanding shares entitled to vote thereon at a meeting of shareholders.
- ☒ The vote of the board of directors followed by the unanimous written consent of the holders of all outstanding shares.

  
(Signature)

Daniel Kanter

(Name of Signer)

President

(Title of Signer)


# New York State Department of Financial Services

I, Gene C. Brooks, First Assistant Counsel for Banking, New York State Department of Financial Services, hereby approve, pursuant to the New York Business Corporation Law Section 301(a)(5)(B), as amended, the use of the word or a derivative of the word "finance" in the name of **MONROE FINANCIAL PARTNERS, INC.**

THE APPROVAL GRANTED HEREIN DOES NOT CONSTITUTE A LICENSE TO ENGAGE IN ANY PARTICULAR ACTIVITY OR INDICATE A DETERMINATION THAT NO SUCH LICENSE IS NECESSARY. IT DOES NOT ITSELF OPERATE TO RESERVE THE NAME WITH THE SECRETARY OF STATE.

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*In Witness Whereof, I have hereunto set my hand and affixed the official seal of the Department this 17<sup>th</sup> day of May 2013.*



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Gene C. Brooks  
First Assistant Counsel



CT-07

13039000158

CERTIFICATE OF AMENDMENT  
OF THE  
CERTIFICATE OF INCORPORATION  
OF

MONROE SECURITIES, INC.

(Insert Name of Domestic Corporation)

Under Section 805 of the Business Corporation Law

Filer's Name Tara M. Anderson

Address 2 N. LaSalle Street, Ste 1700

City, State and Zip Code Chicago, IL 60602

NOTE: This form was prepared by the New York State Department of State. It does not contain all optional provisions under the law. You are not required to use this form. You may draft your own form or use forms available at legal stationery stores. The Department of State recommends that all documents be prepared under the guidance of an attorney. The certificate must be submitted with a \$60 filing fee, plus the required tax on shares pursuant to §180 of the Tax Law, if applicable.

For Office Use Only

RECEIVED  
FILED  
2013 MAY 29 PM 3:15  
2013 MAY 30 AM 9:58

100  
STATE OF NEW YORK  
DEPARTMENT OF STATE  
FILED MAY 30 2013  
TAXES  
BY: DRB

**DRAWDOWN**

cost ref# 8750339my