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Florida Department of State
Division of Corporations
Electronic Filing Cover Sheet

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To: Division of Corporations
Fax Number : (850) 617-6381

From: Account Name : CORPORATION SERVICE COMPANY
Account Number : I20000000195
Phone : (850) 521-1000
Fax Number : (850) 558-1515

****Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.****

Email Address: _____

**FOREIGN PROFIT/NONPROFIT CORPORATION
BNY MELLON DISTRIBUTORS INC.**

Certificate of Status	0
Certified Copy	0
Page Count	06
Estimated Charge	\$70.00

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DIVISION OF CORPORATIONS

Electronic Filing Menu

Corporate Filing Menu

Help

Handwritten signature and date: 4/12/11

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: BNY Mellon Distributors Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Dianna A. Stone

Name of Person

BNY Mellon Investment Servicing

Firm/Company

301 Bellevue Parkway

Address

Wilmington, DE 19809

City/State and Zip code

dianna.stone@bnymellon.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Dianna A. Stone

at (302) 791-1242

Name of Person

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:



\$70.00 Filing Fee



\$78.75 Filing Fee &
Certificate of Status



\$78.75 Filing Fee &
Certified Copy



\$87.50 Filing Fee,
Certificate of Status &
Certified Copy

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**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. BNY Mellon Distributors Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Massachusetts

(State or country under the law of which it is incorporated)

3. 04-3173564

(FEI number, if applicable)

4. November 20, 1992

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. _____

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 760 Moore Road, King of Prussia, Pennsylvania 19406-1212

(Principal office address)

760 Moore Road, King of Prussia, Pennsylvania 19406-1212

(Current mailing address)

8. Broker dealer activities

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Flays Street

Tallahassee

(City)

, Florida 32301

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company

By: _____



(Registered agent's signature)

**Troy Todd
as its agent**

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and business addresses of officers and/or directors:

A. DIRECTORSChairman: See attached list of Directors and Officers

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERSPresident: See attached list of Directors and Officers

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.13. John F. Fulgoney

Signature of Director or Officer

The officer or director signing this document (and who is listed in number 12 above) affirms that the facts stated herein are true and that he or she is aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

14. John F. Fulgoney, President and Chief Executive Officer

(Typed or printed name and capacity of person signing application)

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DIVISION OF CORPORATION

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BNY Mellon Distributors Inc.

Officers and Directors as of April 5, 2011

Board of Directors

Name	Position	Address
Michael DeNofrio	Director	4400 Computer Drive, Westborough, MA 01581
Steven Turowski	Director	301 Bellevue Parkway, Wilmington, DE 19809
John F. Fulgoney	Director	760 Moore Road, King of Prussia, PA 19406-1212
Dennis J. Westley	Director	400 Bellevue Parkway, Wilmington, DE 19809
Scott P. LaVasseur	Director	1 Wall Street, New York, NY 10286

Officers

Name	Position	Address
John F. Fulgoney	President and Chief Executive Officer	760 Moore Road, King of Prussia, PA 19406-1212
Bruno Di Stefano	Vice President	760 Moore Road, King of Prussia, PA 19406-1212
Susan K. Moscaritolo	Vice President, Secretary and Clerk	4400 Computer Drive, Westborough, MA 01581
Matthew O. Tierney	Vice President, Treasurer and Chief Financial Officer	760 Moore Road, King of Prussia, PA 19406-1212
Felicia A. Antonio	Chief Compliance Officer	1633 Broadway, New York, NY 10019
Jodi Jamison	Chief Legal Officer	301 Bellevue Parkway, Wilmington, DE 19809
Ellen C. Krause	Chief Risk Officer	301 Bellevue Parkway, Wilmington, DE 19809
Maria C. Schaffer	Controller and Assistant Treasurer	301 Bellevue Parkway, Wilmington, DE 19809
John J. Munera	Anti-Money-Laundering Officer	760 Moore Road, King of Prussia, PA 19406-1212
Ronald Berge	Vice President	760 Moore Road, King of Prussia, PA 19406-1212
Kevin D. Peterson	Assistant Treasurer – Tax	1 Wall Street, New York, NY 10286
Gary E. Abbs	Assistant Treasurer – Tax	500 Grant Street, Pittsburgh, PA 15258-0001
Joanne S. Huber	Assistant Treasurer – Tax	500 Grant Street, Pittsburgh, PA 15258-0001
Claudine Orloski	Assistant Treasurer – Tax	500 Grant Street, Pittsburgh, PA 15258-0001
Dianna A. Stone	Assistant Secretary and Assistant Clerk	301 Bellevue Parkway, Wilmington, DE 19809
Barbara J. Parrish	Assistant Secretary	500 Grant Street, Pittsburgh, PA 15258-0001
Mary Lou Olinski	Assistant Secretary	500 Grant Street, Pittsburgh, PA 15258-0001
Cristina Rice	Assistant Secretary	500 Grant Street, Pittsburgh, PA 15258-0001



William Francis Galvin
Secretary of the
Commonwealth

The Commonwealth of Massachusetts
Secretary of the Commonwealth
State House, Boston, Massachusetts 02133

April 8, 2011

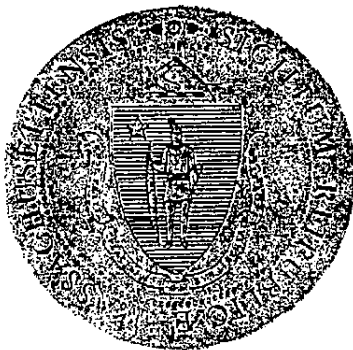
TO WHOM IT MAY CONCERN:

I hereby certify that according to the records of this office,

BNY MELLON DISTRIBUTORS INC.

is a domestic corporation organized on **November 20, 1992**, under the General Laws of the Commonwealth of Massachusetts.

I further certify that there are no proceedings presently pending under the Massachusetts General Laws Chapter 156D section 14.21 for said corporation's dissolution; that articles of dissolution have not been filed by said corporation; that, said corporation has filed all annual reports, and paid all fees with respect to such reports, and so far as appears of record said corporation has legal existence and is in good standing with this office.



In testimony of which,
I have hereunto affixed the
Great Seal of the Commonwealth
on the date first above written.

William Francis Galvin

Secretary of the Commonwealth

CLERK
SECRETARY OF STATE
DIVISION OF CORPORATIONS
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