

**F11000001481**

\_\_\_\_\_  
(Requestor's Name)

\_\_\_\_\_  
(Address)

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(Address)

\_\_\_\_\_  
(City/State/Zip/Phone #)

☐

PICK-UP

☐

WAIT

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MAIL

\_\_\_\_\_  
(Business Entity Name)

\_\_\_\_\_  
(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

Office Use Only



**500196967775**

03/07/11--01016--003 \*\*70.00

**500196967775**  
04/07/11--01004--009 \*\*1100.00

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2011 MAR 24 PM 1:51  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

*1 Penalty (2007)*

13783

## COVER LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** RFF & Associates, Inc.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Jennifer Avicoli

(Name of Person)

RFF & Associates, Inc.

(Firm/Company)

1055 Franklin Avenue, Suite 200

(Address)

Garden City, NY 11530

(City/State and Zip code)

For further information concerning this matter, please call:

Jennifer Avicoli

(Name of Person)

at ( 516 ) 414-8903

(Area Code & Daytime Telephone Number)

**STREET/COURIER ADDRESS:**

Registration Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

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VIA CERTIFIED MAIL

*March 21, 2011*

Ms. Diane Cushing  
Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Re: RFF & Associates, Inc.  
Ref.#: W11000013783

Dear Ms. Cushing,

In response to your March 10, 2011 request (copy is attached) enclosed please find check in the amount of \$1,100.00 representing the civil penalty and annual report filing fees for the captioned company.

We trust you will find the enclosure in order and Certificate for Authorization to Transact Business in Florida will be issued without delay.

If you have any questions or need additional information please feel free to contact Jennifer Avicoli.

Sincerely,

A handwritten signature in cursive script that reads "Elena".

Elena Balyuk  
Compliance Manager

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**RFF & ASSOCIATES, INC**

OFFICES LOCATED AT  
1001 Franklin Avenue, Suite 208  
Garden City, NY 11530  
Office: (516) 414-8900



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

March 10, 2011

JENNIFER AVICOLLI  
RFF & ASSOCIATES, INC.  
1055 FRANKLIN AVENUE, SUITE 200  
GARDEN CITY, NY 11530

SUBJECT: RFF & ASSOCIATES, INC.  
Ref. Number: W11000013783

We have received your document for RFF & ASSOCIATES, INC. and your check(s) totaling \$70.00. However, the document has not been filed and is being retained in this office for the following:

According to the application submitted to this office, this entity transacted business in the state of Florida before properly registering with the Florida Department of State, Division of Corporations. Consequently, a \$500 civil penalty and an annual report filing fee for each year the entity failed to properly file a Florida annual report are due this office. Based on the date entered on the application, the civil penalty and annual report filing fees total \$1,100.00.

If you have any questions concerning the filing of your document, please call (850) 245-6913.

Diane Cushing  
Regulatory Specialist II Supervisor

Letter Number: 411A00005944

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

**IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA**

1. RFF & Associates, Inc.  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")
- (If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
2. Delaware 3. 20-8631596  
(State or country under the law of which it is incorporated) (FBI number, if applicable)
4. 03/12/2007 5. Perpetual  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. March 2007  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. 1001 Franklin Avenue, Suite 208 Garden City, NY 11530  
(Principal office address)
- 1055 Franklin Avenue, Suite 200 Garden City, NY 11530  
(Current mailing address)
8. Insurance Brokerage  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)
- Name: PETER F. JONES
- Office Address: 7108 Fairway Drive, Ste. 310  
Palm Beach Gardens, Florida 33418  
(City) (Zip code)

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Peter F. Jones

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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SECRETARY OF  
TALLAHASSEE FLORIDA

12. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: Walter L. Harris Richard J. Fleder

Address: 320 West 57th Street 320 West 57th Street

New York, NY 10019 New York, NY 10019

Director: Richard F. Ferrucci

Address: 1001 Franklin Avenue, Suite 208

Garden City, NY 11530

**B. OFFICERS**

President: Russel Canterbury

Address: 1001 Franklin Avenue, Suite 208

Garden City, NY 11530

Vice President: Walter L. Harris

Address: 320 West 57th Street

New York, NY 10019

Secretary: / CEO Johannes Cloete

Address: 320 West 57th Street New York, NY 10019

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Director or Officer listed in number 12 of the application)

14. Johannes Cloete CFO/Secretary

(Typed or printed name and capacity of person signing application)

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SECRET  
TALAMASSE, FLORID.

# Delaware

PAGE 1

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "RFF & ASSOCIATES, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE NINETEENTH DAY OF JANUARY, A.D. 2011.

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA



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You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 8502408

DATE: 01-19-11