

PS 11/8/10

## COVER LETTER

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** UPG Corporation of North Carolina  
Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Michael D. Hawkins

Name of Person

United Financial Resources Corporation

Firm/Company

1 Progress Plaza, Suite 720, 200 Central Ave.

Address

St. Petersburg, FL 33701

City/State and Zip code

mhawkins@ufresources.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Michael D. Hawkins at ( 727 ) 828-8428

Name of Person

Area Code & Daytime Telephone Number

**STREET/COURIER ADDRESS:**

New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☒ \$78.75 Filing Fee &  
Certificate of Status

☐ \$78.75 Filing Fee &  
Certified Copy

☐ \$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

October 26, 2010

MICHAEL D HAWKINS  
UNITED FINANCIAL RESOURCES CORPORATION  
1 PROGRESS PLZ, S-720, 200 CENTRAL AVE  
ST PETERSBURG, FL 33701

SUBJECT: UPG CORPORATION OF NORTH CAROLINA  
Ref. Number: W10000049710

We have received your document for UPG CORPORATION OF NORTH CAROLINA and your check(s) totaling \$78.75. However, the enclosed document has not been filed and is being returned for the following correction(s):

The entity's date of incorporation/organization must be listed in the document.

Please list the Federal Employer Identification number in the appropriate section of the application. If applied for, enter "applied for", or if not applicable, enter "N/A".

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

If you have any further questions concerning your document, please call (850) 245-6901.

Pamela Smith  
Regulatory Specialist II  
New Filing Section

Letter Number: 210A00024980



UPG Corporation  
of North Carolina

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November 1, 2010

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

Florida Division of Corporations  
New Filings Section  
P. O. Box 6327  
Tallahassee, FL 32314

Dear Sir or Madam:

Enclosed, please find our documents for UPG CORPORATION OF NORTH CAROLINA. In response to your letter (also enclosed), dated October 26, 2010, please note the following:

Item 3 is now complete, reflecting the corporation's incorporation date of October 7, 2010.

Item 4 is now complete, reflecting the corporation's FEI number of 27-3818305.

A certificate of existence, dated November 1, 2010, is also enclosed.

Thank you for your attention in this matter.

Respectfully yours,

A handwritten signature in cursive script that reads "Michael D. Hawkins".

Michael D. Hawkins  
Deputy General Counsel  
UPG Corporation of North Carolina

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

**1. UPG Corporation of North Carolina**

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

**2. North Carolina**

(State or country under the law of which it is incorporated)

**3. 27 - 3818305**

(FEI number, if applicable)

**4. 10 / 07 / 2010**

(Date of incorporation)

**5. perpetual**

(Duration: Year corp. will cease to exist or "perpetual")

**6. no business transacted prior to registration**

(Date first transacted business in Florida, if prior to registration)

(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

**7. 1 Progress Plaza, Suite 720, 200 Central Ave., St. Petersburg, FL 33701**

(Principal office address)

**1 Progress Plaza, Suite 720, 200 Central Ave., St. Petersburg, FL 33701**

(Current mailing address)

**8. financial planning**

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

**9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)**

Name: **United Planning Group Corp.**

Office Address: **1 Progress Plaza, Ste. 720**

**St. Petersburg**, Florida **33701**

(City)

(Zip code)

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

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FILED

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

(Registered agent's signature)

**Richard L. Follett, II,**  
as Sr. Vice Pres. of **United Planning Group Corp.**

**11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.**

12. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: Richard L. Follett

Address: P. O. Box 120  
St. Petersburg, FL 33731

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

**B. OFFICERS**

President: Richard L. Follett

Address: P. O. Box 120  
St. Petersburg, FL 33731

Vice President: Richard L. Follett, II

Address: P. O. Box 120  
St. Petersburg, FL 33731

Secretary: Richard L. Follett, II

Address: P. O. Box 120, St. Petersburg, FL 33731

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

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TALLAHASSEE, FLORIDA

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. \_\_\_\_\_

(Signature of Director or Officer listed in number 12 of the application)

14. Richard L. Follett, II, Secretary

(Typed or printed name and capacity of person signing application)



# NORTH CAROLINA

## Department of The Secretary of State

### CERTIFICATE OF EXISTENCE

I, Elaine F. Marshall, Secretary of State of the State of North Carolina, do hereby certify that

#### UPG CORPORATION OF NORTH CAROLINA

is a corporation duly incorporated under the laws of the State of North Carolina, having been incorporated on the 7th day of October, 2010, with its period of duration being Perpetual.

I FURTHER certify that, as of the date set forth hereunder, the said corporation's articles of incorporation are not suspended for failure to comply with the Revenue Act of the State of North Carolina; that the said corporation is not administratively dissolved for failure to comply with the provisions of the North Carolina Business Corporation Act; that its most recent annual report required by N.C.G.S. 55-16-22 has been delivered to the Secretary of State; and that the said corporation has not filed articles of dissolution as of the date of this certificate.

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal at the City of Raleigh, this 1st day of November, 2010.

*Elaine F. Marshall*

Secretary of State

