

2012 FOR PROFIT CORPORATION ANNUAL REPORT

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FILED
Apr 10, 2012
Secretary of State

Entity Name: NOMURA SECURITIES INTERNATIONAL, INC.

Current Principal Place of Business:

2 WORLD FINANCIAL CENTER- BLDG. B
18TH FLOOR
NEW YORK, NY 10281

New Principal Place of Business:

Current Mailing Address:

2 WORLD FINANCIAL CENTER- BLDG. B
18TH FLOOR
NEW YORK, NY 10281

New Mailing Address:

FEI Number: 13-2642206

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: CEO
Name: YOSHIKAWA, ATSUSHI
Address: 2 WORLD FINANCIAL CENTER- BLDG. B
City-St-Zip: NEW YORK, NY 10281

Title: D
Name: AINSBERG, ARTHUR S
Address: 2 WORLD FINANCIAL CENTER- BLDG. B
City-St-Zip: NEW YORK, NY 10281

Title: D
Name: FINDLAY, DAVID
Address: 2 WORLD FINANCIAL CENTER- BLDG. B
City-St-Zip: NEW YORK, NY 10281

Title: AS
Name: TEHRANI, PENNY
Address: 2 WORLD FINANCIAL CENTER- BLDG. B
City-St-Zip: NEW YORK, NY 10281

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: PENNY TEHRANI

AS

04/10/2012

Electronic Signature of Signing Officer or Director

Date