

# 2011 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F10000004053

FILED  
Apr 28, 2011  
Secretary of State

**Entity Name:** NOMURA SECURITIES INTERNATIONAL, INC.

**Current Principal Place of Business:**

2 WORLD FINANCIAL CENTER- BLDG. B  
NEW YORK, NY 10281

**New Principal Place of Business:**

2 WORLD FINANCIAL CENTER- BLDG. B  
18TH FLOOR  
NEW YORK, NY 10281

**Current Mailing Address:**

2 WORLD FINANCIAL CENTER- BLDG. B  
NEW YORK, NY 10281

**New Mailing Address:**

2 WORLD FINANCIAL CENTER- BLDG. B  
18TH FLOOR  
NEW YORK, NY 10281

**FEI Number:** 13-2642206

**FEI Number Applied For ( )**

**FEI Number Not Applicable ( )**

**Certificate of Status Desired ( )**

**Name and Address of Current Registered Agent:**

C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324 US

**Name and Address of New Registered Agent:**

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**OFFICERS AND DIRECTORS:**

Title: CCEO  
Name: MATSUBA, NAOKI  
Address: 2 WORLD FINANCIAL CENTER- BLDG. B  
City-St-Zip: NEW YORK, NY 10281

Title: D  
Name: AINSBERG, ARTHUR S  
Address: 2 WORLD FINANCIAL CENTER- BLDG. B  
City-St-Zip: NEW YORK, NY 10281

Title: D  
Name: FINDLAY, DAVID  
Address: 2 WORLD FINANCIAL CENTER- BLDG. B  
City-St-Zip: NEW YORK, NY 10281

Title: S  
Name: TEHRANI-LITTRELL, PENNY  
Address: 2 WORLD FINANCIAL CENTER- BLDG. B  
City-St-Zip: NEW YORK, NY 10281

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: PENNY TEHRANI-LITTRELL

S

04/28/2011

\_\_\_\_\_  
Electronic Signature of Signing Officer or Director

\_\_\_\_\_  
Date