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SECRETARY OF STATE
DIVISION OF CORPORATE AFFAIRS
2010 JUL 19 PM 3:43

7/23/10

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: ING America Equities, Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Melissa O'Donnell

Name of Person

ING America Equities, Inc.

Firm/Company

20 Washington Avenue South

Address

Minneapolis, MN 55401

City/State and Zip code

tina.nelson@us.ing.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Melissa O'Donnell

at (612) 342-3974

Name of Person

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

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MINNEAPOLIS LEGAL DEPT

JUL 12 2010

FLORIDA DEPARTMENT OF STATE
Division of Corporations

July 7, 2010

MELISSA O'DONNELL
20 WASHINGTON AVENUE SOUTH
MINNEAPOLIS, MN 55401

SUBJECT: ING AMERICA EQUITIES, INC.
Ref. Number: W10000032092

RECEIVED
10 JUL 19 PM 12:25
DIVISION OF CORPORATIONS

We have received your document for ING AMERICA EQUITIES, INC. and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The document must be signed by the chairman, any vice chairman of the board of directors, its president, or another of its officers.

Please return the corrected original and one copy of your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6973.

Claretha Golden
Regulatory Specialist II
New Filing Section

Letter Number: 710A00016494

7/13-

has been signed

Thank you

Melissa

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2010 JUL 19 PM 3:43
SECRETARY OF STATE
DIVISION OF CORPORATIONS

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. ING America Equities, Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Colorado

(State or country under the law of which it is incorporated)

3. 84-1251388

(FEI number, if applicable)

4. 09/27/1993

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. _____

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 1290 Broadway, Denver, CO 80202

(Principal office address)

1290 Broadway, Denver, CO 80202

(Current mailing address)

8. wholesale broker-dealer

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: C T Corporation System

Office Address: 1200 South Pine Island Road

Plantation, Florida 33324

(City)

(Zip code)

10. **Registered agent's acceptance:**

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

By: _____

C T Corporation System

(Registered agent's signature)

Michele Miller
Assistant Secretary

2010 JUL 19 PM 3:43
SECRETARY OF STATE
DIVISION OF CORPORATIONS

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

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SECRETARY OF STATE
DIVISION OF CORPORATION

2010 JUL 19 PM 3:43

A. DIRECTORS

Chairman: Margaret B. Wall

Address: 20 Washington Avenue South

Minneapolis, MN 55401

Vice Chairman: _____

Address: _____

Director: Daniel P. Mulheran, Sr.

Address: 20 Washington Avenue South

Minneapolis, MN 55401

Director: Laurie J. Rasanen

Address: 2000 21st Avenue NW

Minot, ND 58703

B. OFFICERS

President: Margaret B. Wall

Address: 20 Washington Avenue South, Minneapolis, MN 55401

Vice President: Laurie J. Rasanen

Address: 2000 21st Avenue NW, Minot, ND 58703

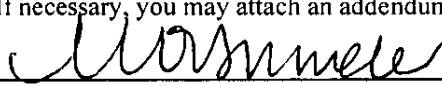
Secretary: Joy B. Benner / Melissa O'Donnell, Assistant Secretary

Address: 20 Washington Avenue South, Minneapolis, MN 55401 / 20 Washington Avenue South, Minneapolis, MN 55401

Treasurer: David S. Pendergrass

Address: 5780 Powers Ferry Road NW, Atlanta, GA 30327

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Director or Officer listed in number 12 of the application)

14. Melissa O'Donnell, Assistant Secretary

(Typed or printed name and capacity of person signing application)

OFFICE OF THE SECRETARY OF STATE
OF THE STATE OF COLORADO

CERTIFICATE

I, Bernie Buescher, as the Secretary of State of the State of Colorado, hereby certify that, according to the records of this office,

ING AMERICA EQUITIES, INC.

is a **Corporation** formed or registered on 09/27/1993 under the law of Colorado, has complied with all applicable requirements of this office, and is in good standing with this office. This entity has been assigned entity identification number 19931105620.

This certificate reflects facts established or disclosed by documents delivered to this office on paper through 06/18/2010 that have been posted, and by documents delivered to this office electronically through 06/22/2010 @ 15:31:44.

I have affixed hereto the Great Seal of the State of Colorado and duly generated, executed, authenticated, issued, delivered and communicated this official certificate at Denver, Colorado on 06/22/2010 @ 15:31:44 pursuant to and in accordance with applicable law. This certificate is assigned Confirmation Number 7679495.



Bernie Buescher

Secretary of State of the State of Colorado

2010 JUL 19 PM 3:43
SECRETARY OF STATE
DIVISION OF CORPORATIONS

*****End of Certificate*****

Notice: A certificate issued electronically from the Colorado Secretary of State's Web site is fully and immediately valid and effective. However, as an option, the issuance and validity of a certificate obtained electronically may be established by visiting the Certificate Confirmation Page of the Secretary of State's Web site, <http://www.sos.state.co.us/biz/CertificateSearchCriteria.do> entering the certificate's confirmation number displayed on the certificate, and following the instructions displayed. Confirming the issuance of a certificate is merely optional and is not necessary to the valid and effective issuance of a certificate. For more information, visit our Web site, <http://www.sos.state.co.us/> click Business Center and select "Frequently Asked Questions."