

Division of Corporations Page 1 of 1
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Florida Department of State
Division of Corporations
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FOREIGN PROFIT/NONPROFIT CORPORATION
SunGard Institutional Brokerage

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**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. SunGard Institutional Brokerage Inc.
 (Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
 "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. New York 3. 06-1256721
 (State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 12/28/1988 5. Perpetual
 (Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. _____
 (Date first transacted business in Florida, if prior to registration)
 (SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 377 East Butterfield Road, Suite 800, Lombard, IL 60148
 (Principal office address)

Same as above
 (Current mailing address)

8. Computer software and services
 (Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: CT Corporation System

Office Address: 1200 South Pine Island Road

Plantation, Florida 33324
 (City) (Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

By: Margaret E. Routzahn MARGARET E. ROUTZAHN
 (Registered agent's signature) Special Assistant Secretary

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: See Exhibit A

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: See Exhibit A

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____

Leslie S. Brush
(Signature of Director or Officer listed in number 12 of the application)

14. Leslie S. Brush, Vice President

(Typed or printed name and capacity of person signing application)

SunGard Institutional Brokerage Inc.
Exhibit A

Name	Office	Full Address	Status Type
Karen Marie Mullane	Director	680 East Swedesford Road, Wayne, Chester, PA, 19087, United States	Director
Thomas Joseph McDugall	Director	680 East Swedesford Road, Wayne, Chester, PA, 19087, United States	Director
Victoria Elizabeth Silbey	Director	680 East Swedesford Road, Wayne, Chester, PA, 19087, United States	Director
Gregory James Vance	Chief Financial Officer	377 East Butterfield Road, Suite 800, Lombard, DuPage, IL, 60148, United States	Officer
Gregory James Vance	Segment Financial Officer, Wealth Management	377 East Butterfield Road, Suite 800, Lombard, DuPage, IL, 60148, United States	Officer
Gregory James Vance	Senior Vice President	377 East Butterfield Road, Suite 800, Lombard, DuPage, IL, 60148, United States	Officer
Jenel Damba	Treasurer	377 East Butterfield Road, Suite 800, Lombard, DuPage, IL, 60148, United States	Officer
Kevin Thomas Rafferty	Vice President, Senior Compliance Officer	377 East Butterfield Road, Suite 800, Lombard, DuPage, IL, 60148, United States	Officer
Kevin Thomas Rafferty	Chief Executive Officer	377 East Butterfield Road, Suite 800, Lombard, DuPage, IL, 60148, United States	Officer
Kevin Thomas Rafferty	President	377 East Butterfield Road, Suite 800, Lombard, DuPage, IL, 60148, United States	Officer
Kevin Thomas Rafferty	Segment President, Wealth Management	377 East Butterfield Road, Suite 800, Lombard, DuPage, IL, 60148, United States	Officer
Leslie S. Brush	Secretary	680 East Swedesford Road, Wayne, Chester, PA, 19087, United States	Officer
Leslie S. Brush	Vice President	680 East Swedesford Road, Wayne, Chester, PA, 19087, United States	Officer
Victoria Elizabeth Silbey	Assistant Secretary	680 East Swedesford Road, Wayne, Chester, PA, 19087, United States	Officer
Victoria Elizabeth Silbey	Vice President	680 East Swedesford Road, Wayne, Chester, PA, 19087, United States	Officer

7-16-02
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State of New York
Department of State } ss:

I hereby certify, that the Certificate of Incorporation of SUNGARD INSTITUTIONAL BROKERAGE INC. was filed on 12/28/1988, under the name of SHAW DATA SECURITIES, INC., with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment SHAW DATA SECURITIES, INC., changing its name to SUNGARD INSTITUTIONAL BROKERAGE INC., was filed 06/27/1996.



*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 15th day of July
two thousand and ten.*

A handwritten signature in black ink, appearing to read "Daniel Shapiro".

Daniel Shapiro
First Deputy Secretary of State

201007160252 * RZ

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