

Division of Corporations
Florida Department of State
Division of Corporations
Electronic Filing Cover Sheet

Page 1 of 2

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Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

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To: Division of Corporations
Fax Number : (850) 617-6381

From: Account Name : C T CORPORATION SYSTEM
Account Number : FCA000000023
Phone : (850) 222-1092
Fax Number : (850) 878-5368

200 JUN -8 P 2:41
SECRETARY OF STATE
MAIL ROOM 61100001

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Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.

Email Address: _____

FOREIGN PROFIT/NONPROFIT CORPORATION
Investment Management Services Inc. d/b/a SS IMS Inc

Certificate of Status	0
Certified Copy	1
Page Count	07
Estimated Charge	\$78.75

10 JUN -8 PM 1:02

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6-9-10
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2010 JUL -8 P 2:42
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: Investment Management Services Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Kit Chiu

Name of Person

Investment Management Services Inc.

Firm/Company

c/o IFS, 1290 Ave of the Americas, 10th Floor

Address

New York, NY 10104

City/State and Zip code

Kchiu@ifs.statestreet.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Kit Chiu

Name of Person

at (212) 848-3977

Area Code & Daytime Telephone Number

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☒ \$78.75 Filing Fee &
Certified Copy

☐ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

**IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.**

1. Investment Management Services Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

SS IMS Inc.

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware

(State or country under the law of which it is incorporated)

3. 13-3637605

(FEI number, if applicable)

4. 12/4/1991

(Date of incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. March 8, 2010

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 1290 Ave of the Americas, 10th Fl. N.Y. N.Y. 10104

(Principal office address)

Same as above

(Current mailing address)

8. To provide technology support primarily to its affiliates

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: CT Corporation System

Office Address: 1200 South Pine Island Road

Plantation

(City)

Florida 33324

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

CT Corporation System

By: Debbie Diaz

(Registered agent's signature)

Debbie Diaz

Assistant Secretary

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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TALLAHASSEE, FLORIDA

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: please see attached

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: please see attached

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. [Signature]

(Signature of Director or Officer listed in number 12 of the application)

14. Robert Lampietti, President

(Typed or printed name and capacity of person signing application)

**Investment Management Services Inc.
Florida State Foreign Corporation Application
June 2, 2010**

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Directors:

Director: Jack Klinck
Business Address: State Street Bank and Trust Company
One Lincoln Street
Boston, MA 02111-2900

Director: Gary Enos
Business Address: State Street Bank and Trust Company
200 Newport Avenue
North Quincy, MA 02171

Director: George Sullivan
Business Address: State Street Bank and Trust Company
One Lincoln Street
Boston, MA 02111-2900

Director: Patrick Hughes
Business Address: International Fund Services
1290 Avenue of the Americas, 10th Floor
New York, NY 10104

Director: Lisa Wolf
Business Address: State Street Bank and Trust Company
2 Avenue de Lafayette
Boston, MA 02111

Director: David Keohane
Business Address: State Street Bank and Trust Company
One Lincoln Street
Boston, MA 02111-2900

Officers:

President: Robert Lampietti
Business address: 1290 Avenue of the Americas, 10th floor
New York, NY 10104

Secretary: Scott Carpenter
Business address: 1290 Avenue of the Americas, 10th floor
New York, NY 10104

Treasurer:
Business address:

Justin Barter
1290 Avenue of the Americas, 10th floor
New York, NY 10104

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TALLAHASSEE, FLORIDA

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "INVESTMENT MANAGEMENT SERVICES INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE SEVENTH DAY OF JUNE, A.D. 2010.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

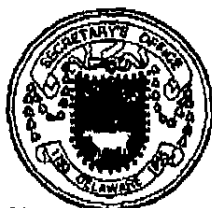
AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

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2010 JUN -8 P 2:42
SECRETARY OF STATE
DELAWARE

2280783 8300

100635163

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 8039098

DATE: 06-07-10