

FO9000004910

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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(Business Entity Name)

(Document Number)

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Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

Office Use Only



100160872551

09/28/09--01030--022 \*\*78.75

12/10/09--01011--019 \*\*800.00

FILED  
09 DEC 10 AM 10:12  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

W09000043699

EP 12/11/09



13-3521638

FLORIDA DEPARTMENT OF STATE  
Division of Corporations

October 5, 2009

MATTHEW MURPHY  
BEECH HILL SECURITIES, INC.  
880 THIRD AVE. 16TH FLOOR  
NEW YORK, NY 10022

SUBJECT: BEECH HILL SECURITIES, INC.  
Ref. Number: W09000043699

We have received your document for BEECH HILL SECURITIES, INC. and your check(s) totaling \$78.75. However, the document has not been filed and is being retained in this office for the following:

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report/uniform business report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report/uniform business report and penalty fees is \$2,300.00. (G. Hackett)

Please list the Federal Employer Identification number in the appropriate section of the application. If applied for, enter "applied for", or if not applicable, enter "N/A".

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6062.

Eula Peterson  
Regulatory Specialist II

Letter Number: 809A00031825

**B<sub>H</sub>** | *Beech Hill Securities, Inc.*

New Filing Section  
Division of Corporations  
PO BOX 6327  
Tallahassee, FL 32314

To Whom It May Concern:

Beech Hill Securities, Inc., (TAX ID 13-3521638) and formerly known as Cantor Weiss and Friedner, Inc. hired the employees of Schild Asset Management in October 2007. We have filed taxes in Florida each of the past 2 years but our name doesn't appear in your corporations list, hence this application. I have attached a print of the Schild Asset Management filing. Please note that the Florida address changed to 3350 SW 148th Ave, Suite 220 Mirimar, FL 33027.

Please contact my office if you have any questions or concerns.

Thanks



Matthew Murphy

13-3521638

## COVER LETTER

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** Beech Hill Securities, Inc.

Name of corporation - must include suffix

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," or "Certificate of Good Standing" and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Matthew Murphy

Name of Person

Beech Hill Securities, Inc.

Firm/Company

880 Third Ave, 16th Floor

Address

New York, NY 10022

City/State and Zip code

mmurphy@bh-secs.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Matthew Murphy

Name of Person

at ( 212 ) 350-7258

Area Code & Daytime Telephone Number

**STREET/COURIER ADDRESS:**

New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☒ \$78.75 Filing Fee &  
Certificate of Status

☐ \$78.75 Filing Fee &  
Certified CopCe

☒ \$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Beech Hill Securities, Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. New York

(State or country under the law of which it is incorporated)

3. 13-3521638

(FEI number, if applicable)

4. May 12th, 1989

(Date of incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. October 31st 2007

(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 880 Third Ave, 16th Floor, New York, NY 10022

(Principal office address)

same

(Current mailing address)

8. broker/dealer securities industry

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Ada Valdes

Office Address: 3350 SW 148th Ave, Suite 220

Mirimar

(City)

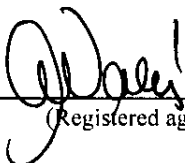
, Florida 33027

(Zip code)

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

10. **Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*



(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: Paul Cantor

Address: 880 Third Ave, 16th Floor New York, NY 10022

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: Matthew Murphy

Address: 880 Third Ave, 16th Floor New York, NY 10022

Director: Joseph Weiss

Address: 880 Third Ave, 16th Floor New York, NY 10022

**B. OFFICERS**

President: Mark Schild

Address: 880 Third Ave, 16th Floor New York, NY 10022

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

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TALLAHASSEE FLORIDA

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.   
(Signature of Director or Officer listed in number 12 of the application)

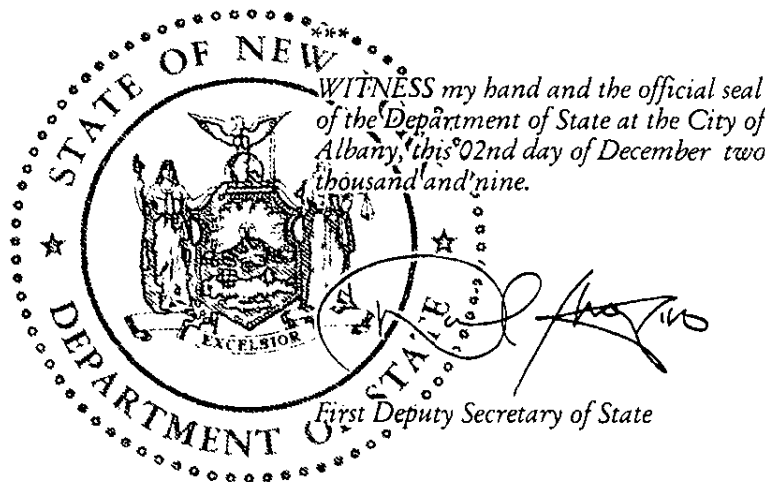
14. Matthew Murphy  
(Typed or printed name and capacity of person signing application)

**State of New York**  
**Department of State** } ss:

I hereby certify, that the Certificate of Incorporation of BEECH HILL SECURITIES, INC. was filed on 05/12/1989, under the name of CANTOR & WEISS, INC., with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment CANTOR & WEISS, INC., changing its name to CANTOR, WEISS & FRIEDNER, INC., was filed 06/09/1993.

A Certificate of Amendment CANTOR, WEISS & FRIEDNER, INC., changing its name to BEECH HILL SECURITIES, INC., was filed 03/17/2008.



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