

F09000001853

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

PICK-UP WAIT MAIL

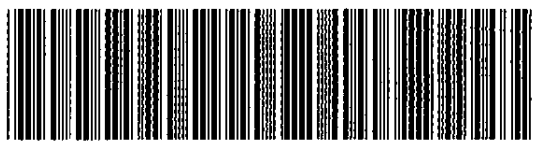
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



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04/20/09--01044--008 **70.00

05/07/09--01010--003 **880.00

APPROVED
AND
FILED
09 MAY -6 PM 3:33
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

VH
4-19-18720

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: Carlton Advisory Services
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Robert Gaeta
(Name of Person)

Carlton Advisory Services
(Firm/Company)

250 Park Avenue, Suite 400
(Address)

New York, NY 10177
(City/State and Zip code)

For further information concerning this matter, please call:

Robert Gaeta at (212) 766-5615
(Name of Person) (Area Code & Daytime Telephone Number)

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- \$70.00 Filing Fee
- \$78.75 Filing Fee & Certificate of Status
- \$78.75 Filing Fee & Certified Copy
- \$87.50 Filing Fee, Certificate of Status & Certified Copy



FLORIDA DEPARTMENT OF STATE
Division of Corporations

April 21, 2009

ROBERT GAETA
250 PARK AVENUE, SUITE 400
NEW YORK, NY 10177

SUBJECT: CARLTON ADVISORY SERVICES
Ref. Number: W09000018720

We have received your document for CARLTON ADVISORY SERVICES and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The corporate name must contain a suffix that will clearly indicate that it is a corporation. Such suffixes include: CORPORATION, CORP., COMPANY, CO., INC., and INCORPORATED.

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report/uniform business report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report/uniform business report and penalty fees is \$2,300.00.

Please return the corrected original and one copy of your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6962.

Valerie Herring
Regulatory Specialist II
New Filing Section

Letter Number: 309A00013400

THE CARLTON GROUP, LTD.



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AND
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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

April 29, 2009

Florida Department of State
Division of Corporations
PO Box 6327
Tallahassee, FL 32314

Att: Ms. Valerie Herring

Re: Carlton Advisory Services, Inc.
W09000018720

Dear Ms. Herring:

Attached please find our application to transact business in Florida and a check for \$880.00 payable to Florida Department of State.

We are asking that you accept the \$950 check as full payment for doing business for the years 2007-2009 plus a \$500 penalty. We ask that you waive the additional penalty of \$1,800 for the following reason:

- We had no employees working out of the office until 2009. Had we had employees in 2007 or 2008 we would have been prompted by our payroll company to register the firm.

Please advise if this is acceptable.

Sincerely,


Robert Gaeta, Vice President

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Scrutton Advisory Services, Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. New York 3. 13-3977031
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 12/02/1997 5. _____
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. August 10, 2007
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 250 Park Avenue, Suite 400 New York, NY 10177
(Principal office address)

250 Park Avenue, Suite 400 New York, NY 10177
(Current mailing address)

8. Loan Sale Advisory Services
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Robert Grady

Office Address: 400 Village Square Crossing Suite 3C
Palm Beach Gardens, Florida 33410
(City) (Zip code)

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TALLAHASSEE, FLORIDA

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AND
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10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Robert Grady
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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AND
FILED

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Howard L Michaels

Address: 250 Park Avenue Suite 400
New York, NY 10177

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: _____

Address: _____

Vice President: Robert Gaeta

Address: 250 Park Avenue, Suite 400
New York, NY 10177

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____

Robert Gaeta
(Signature of Director or Officer listed in number 12 of the application)

14. _____

Robert Gaeta
(Typed or printed name and capacity of person signing application)

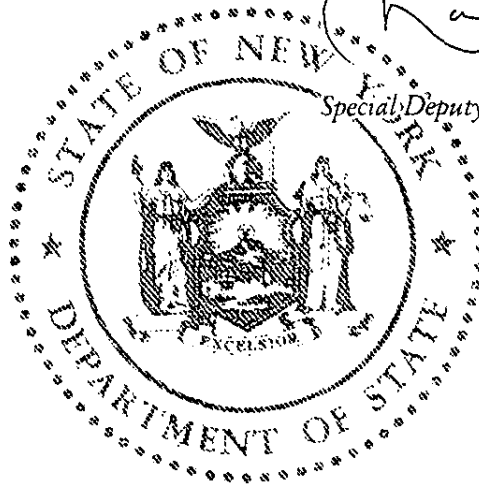
State of New York
Department of State } ss:

I hereby certify, that CARLTON ADVISORY SERVICES, INC. a DELAWARE corporation, filed an Application for Authority to do business in the State of New York on 12/02/1997. I further certify that so far as shown by the records of this Department, such corporation is still authorized to do business in the State of New York.

*WITNESS my hand and the official seal
of the Department of State at the City of
Albany, this 06th day of April two
thousand and nine.*

Special Deputy Secretary of State

200904070387 100



09 MAY - 6 PM 3:32
SECRETARY OF STATE
FALLAHASSEE, FLORIDA

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