

FD9000001251

(Requestor's Name)

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(City/State/Zip/Phone #)

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(Business Entity Name)

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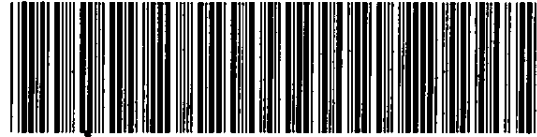
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08/18/08--01060--009 \*\*78.75

2009 MAR 26 PM 5:24  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

FILED

## COVER LETTER

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** Aucus Advisors, Inc  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Richard Augustus

(Name of Person)

Aucus Advisors, Inc.

(Firm/Company)

520 S. El Camino Real, Suite 655

(Address)

San Mateo, CA 94402

(City/State and Zip code)

For further information concerning this matter, please call:

Richard J. Augustus at ( 650 ) 401-5700

(Name of Person)

(Area Code & Daytime Telephone Number)

### STREET/COURIER ADDRESS:

New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

### MAILING ADDRESS:

New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☒ \$78.75 Filing Fee &  
Certificate of Status

☐ \$78.75 Filing Fee &  
Certified Copy

☐ \$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

August 18, 2008

RICHARD AUGUSTUS  
AURUS ADVISORS, INC.  
520 S. EL CAMINO REAL, SUITE 655  
SAN MATEO, CA 94402

SUBJECT: AURUS ADVISORS, INC.  
Ref. Number: W08000038686

We have received your document for AURUS ADVISORS, INC. and your check(s) totaling \$78.75. However, the enclosed document has not been filed and is being returned for the following correction(s):

The document must have original signatures.

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6934.

Loria Poole  
Regulatory Specialist II

Letter Number: 208A00046414

RECEIVED  
DEPARTMENT OF STATE  
09 MAR 26 AM 11:26

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Aurus Advisors, Inc  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. California 3. 94-3148888  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 11/01/2001 5. perpetual  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. \_\_\_\_\_  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 520 S. El Camino Real, Suite 655  
(Principal office address)  
San Mateo, CA 94402  
(Current mailing address)

8. Securities Business  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Joseph Russo

Office Address: 2052 Ben Franklin Drive, Apt 401c  
Sarasota, Florida 34236  
(City) (Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

x Joseph W Russo  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

FILED  
2009 MAR 26 PM 5:24  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

12. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: Richard J. Augustus

Address: 1660 Oak Avenue  
Menlo Park, CA. 94025

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: Joseph D. Russo

Address: 2052 Ben Franklin Dr. Apt 401C  
Sarasota, FL 34236

Director: \_\_\_\_\_

Address: \_\_\_\_\_

**B. OFFICERS**

President: Richard J. Augustus

Address: 1660 Oak Avenue  
Menlo Park, CA 94025

Vice President: Joseph D. Russo

Address: 2052 Ben Franklin Dr. Apt. 401C  
Sarasota, FL 34236

Secretary: Richard J. Augustus

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Richard J. Augustus  
(Signature of Director or Officer listed in number 12 of the application)

14. Richard J. Augustus President  
(Typed or printed name and capacity of person signing application)

**State of California**  
**Secretary of State**

**CERTIFICATE OF STATUS**

**ENTITY NAME:**

AURUS ADVISORS, INC.

**FILE NUMBER:** C1811322  
**FORMATION DATE:** 11/26/1991  
**TYPE:** DOMESTIC CORPORATION  
**JURISDICTION:** CALIFORNIA  
**STATUS:** ACTIVE (GOOD STANDING)

I, DEBRA BOWEN, Secretary of State of the State of California,  
hereby certify:

The records of this office indicate the entity is authorized to exercise  
all of its powers, rights and privileges in the State of California.

No information is available from this office regarding the financial  
condition, business activities or practices of the entity.



IN WITNESS WHEREOF, I execute this certificate  
and affix the Great Seal of the State of  
California this day of February 26, 2009.

*Debra Bowen*

**DEBRA BOWEN**  
Secretary of State