

F08000004573

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

PICK-UP     WAIT     MAIL

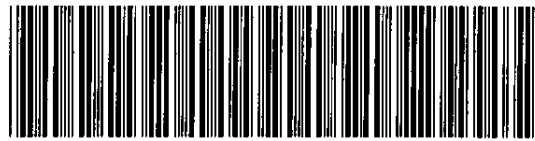
(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

Office Use Only



600136915676

10/21/08--01030--008 \*\*87.50

FILED  
08 OCT 21 PM 2:51  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

1/16

**COVER LETTER**

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** Thompson Davis & Co., Inc.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Michele Rundstrom, Corporate Paralegal  
(Name of Person)

Williams Mullen  
(Firm/Company)

1021 E. Cary Street, 16th Floor  
(Address)

Richmond, VA 23219  
(City/State and Zip code)

*Please Use FedEx*  
*ACC# 023203227*

For further information concerning this matter, please call:

Michele Rundstrom at ( 804 ) 783-6508  
(Name of Person) (Area Code & Daytime Telephone Number)

**STREET/COURIER ADDRESS:**  
New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**  
New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- \$70.00 Filing Fee
- \$78.75 Filing Fee & Certificate of Status
- \$78.75 Filing Fee & Certified Copy
- \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Thompson Davis & Co., Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. New York

(State or country under the law of which it is incorporated)

3. \_\_\_\_\_

(FEI number, if applicable)

4. August 24, 1995

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. Not applicable

(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 15 South Fifth Street, Richmond, Virginia 23219

(Principal office address)

PO Box 1854, Richmond, VA 23218-1854

(Current mailing address)

8. Brokerage firm

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Capitol Corporate Services, Inc.

Office Address: 155 Office Plaza Dr. STE A

Tallahassee, Florida 32301

(City)

(Zip code)

10. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

Ollanic Case asst. see

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

FILED  
08 OCT 21 PM 2:51  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

12. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: E. Hunter Thompson, Jr.

Address: 15 South Fifth Street  
Richmond, VA 23219

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: William D. Davis, Jr.

Address: 15 South Fifth Street  
Richmond, VA 23219

Director: J. Elaine Altizer

Address: 15 South Fifth Street  
Richmond, VA 23219

**B. OFFICERS**

President: William D. Davis, Jr.

Address: 15 South Fifth Street  
Richmond, VA 23219

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: J. Elaine Altizer

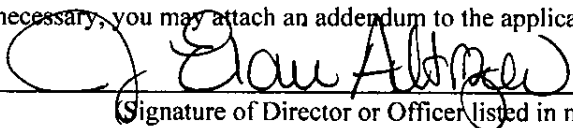
Address: 15 South Fifth Street, Richmond, Virginia 23219

Treasurer: J. Elaine Altizer

Address: 15 South Fifth Street, Richmond, Virginia 23219

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. \_\_\_\_\_



(Signature of Director or Officer listed in number 12 of the application)

14. J. Elaine Altizer, Secretary

(Typed or printed name and capacity of person signing application)

FILED  
08 OCT 21 PM 2:51  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

Addendum of Additional Directors  
of  
Thompson Davis & Co., Inc.

Directors:

Kevin S. Rutherford  
15 South Fifth Street  
Richmond, VA 23219

Walter H. Young, III  
15 South Fifth Street  
Richmond, VA 23219

FILED  
08 OCT 21 PM 2:51  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

**State of New York  
Department of State**

} ss:

FILED

08 OCT 21 PM 2:51

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

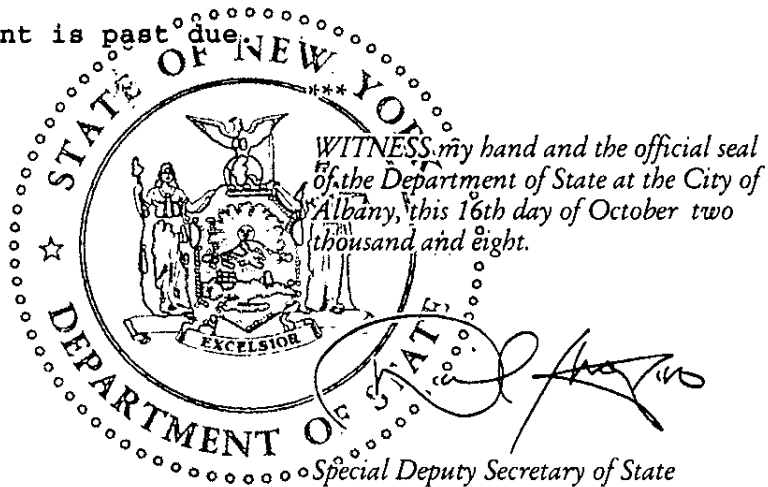
I hereby certify, that the Certificate of Incorporation of THOMPSON DAVIS & CO., INC. was filed on 08/24/1995, under the name of BLOOMBERG SECURITIES, INC., with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment BLOOMBERG SECURITIES, INC., changing its name to NET SECURITIES CORP., was filed 10/15/1996.

A Certificate of Amendment NET SECURITIES CORP., changing its name to THOMPSON DAVIS & CO., INC., was filed 06/28/2002.

The Biennial Statement is past due

STATE OF NEW YORK  
WITNESS my hand and the official seal  
of the Department of State at the City of  
Albany, this 16th day of October two  
thousand and eight.  
EXCELSIOR  
DEPARTMENT OF STATE  
Special Deputy Secretary of State



200810170063 101