

FO8000004480

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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PICK-UP

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MAIL

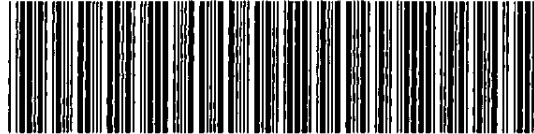
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



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10/06/08--01049--023 **87.50

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TALLAHASSEE, FLORIDA

VH

SafeHarbor Regulatory & Consulting Services

5 Hillandale Avenue, 2nd Floor
Stamford, CT 06902

September 30, 2008

New Filing Section
Division of Corporations
Clifton Bldg
2661 Executive Center Circle
Tallahassee, FL 32301

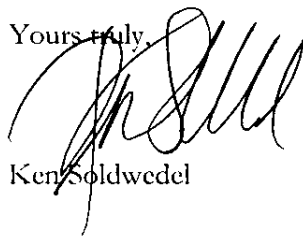
Re: North East Insurance Company

To Whom It May Concern:

Please note that Maine, the domiciliary regulator of the above referenced company, uses an electronic certification process. **Maine does not issue paper certifications.** As such, the Certificate of Good Standing attached hereto is computer generated. You may verify the authenticity of the Certificate using the Authentication number in the lower right corner (1318-500) at <http://icrs.informe.org/nei-sos-icrs/ICRS>. The second listed hyperlink is for "Authentication Service". Click that link and type in 1318-500.

Feel free to contact the undersigned with any questions at ksoldwedel@safeharborcorp.com or by phone at 203.324.4097. Thank you for your attention to this matter.

Yours truly,



Ken Soldwedel

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: North East Insurance Company

(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Ken Soldwedel

(Name of Person)

SafeHarbor Regulatory & Consulting Services, LLC

(Firm/Company)

5 Hillandale Ave., 2nd Floor

(Address)

Stamford, CT 06902

(City/State and Zip code)

For further information concerning this matter, please call:

Ken Soldwedel

(Name of Person)

at (203) 324-4097

(Area Code & Daytime Telephone Number)

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:



\$70.00 Filing Fee



\$78.75 Filing Fee &
Certificate of Status



\$78.75 Filing Fee &
Certified Copy



\$87.50 Filing Fee,
Certificate of Status &
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. North East Insurance Company

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Maine

(State or country under the law of which it is incorporated)

3. 01-0278387

(FEI number, if applicable)

4. 8/9/65

(Date of incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. N/A

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 482 Payne Rd., 4th Floor, Scarborough, ME 04074

(Principal office address)

120 Broadway, 31st Floor, New York, NY 10271

(Current mailing address)

8. insurance

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: CFO of Florida

Office Address: 200 East Gaines St.

Tallahassee, Florida 32399

(City)

(Zip code)

10. **Registered agent's acceptance:**

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

FL CFO appointed by insurer pursuant to PL law

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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TALLAHASSEE, FLORIDA

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Please see the attached addendum.

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: _____

Address: _____

Vice President: _____

Address: _____

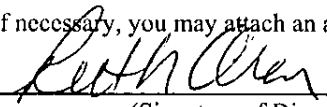
Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
(Signature of Director or Officer listed in number 12 of the application)

14. Ruth Oren, Secretary
(Typed or printed name and capacity of person signing application)

North East Insurance Company*Directors & Officers*

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TALLAHASSEE, FLORIDA

Name (Last, First, Middle)	Board of Directors	Officer Position
Colalucci, Francis Michael	Director	N/A
Haines, Michael Clipson	Director	Treasurer
Haveron, Patrick J.	Director	Chief Executive Officer
Lee, Michael Han	Chairman	N/A
Libby, Ronald Arthur	Director	President
Maier, Gary Stewart	Director	N/A
Oren, Ruth	Director	Secretary
Pechmann, Christian Kirby	Director	N/A

Immediate Parents**Tower Insurance Company of New York: 37.5%***Directors & Officers*

Name (Last, First, Middle)	Board of Directors	Officer Position
Colalucci, Francis Michael	Director	Senior Vice President, Chief Financial Officer, Treasurer
Finkelstein, Bryan	Director	
Haveron, Patrick J.	Director	Chief Operating Officer
Kaiser, Jerome Hugh	Director	Senior Vice President and Chief Information Officer
Lee, Michael Han	Chairman	President & Chief Executive Officer
Maier, Gary Stewart	Director	Senior Vice President and Chief Underwriting Officer
Melnik, Scott	Director	
Oren, Ruth	Director	Secretary
Pechmann, Christian Kirby	Director	Senior Vice President of Underwriting Operations
Ranegar, Laurie Ann	Director	Vice President, Operations
Sanderson, Bruce	Director	
Song, Thomas	Director	
Wragg, Catherine	Director	Vice President, Human Resources

Tower Group, Inc.: 62.5%*Directors & Officers*

Name	Board of Directors	Officer Position
Lee, Michael Han	Chairman	President & Chief Executive Officer
Colalucci, Francis Michael	Director	Senior Vice President, Chief Financial Officer, Treasurer
Young, Austin Prentiss, III	Director	N/A
Bryan, Charles Arthur	Director	N/A
Schuster, Steven Wayne	Director	N/A
Fox, William Wescott	Director	N/A

Ultimate Parent and 10% Owner

Michael H. Lee

State of Maine



Department of the Secretary of State

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TALLAHASSEE, FLORIDA

I, the Secretary of State of Maine, certify that according to the provisions of the Constitution and Laws of the State of Maine, the Department of the Secretary of State is the legal custodian of the Great Seal of the State of Maine which is hereunto affixed and of the reports of organization, amendment and dissolution of corporations and annual reports filed by the same.

I further certify that NORTH EAST INSURANCE COMPANY is a duly organized business corporation under the laws of the State of Maine and that the date of incorporation is August 09, 1965.

I further certify that on:

August 09, 1965	ARTICLES OF INCORPORATION were filed.
October 28, 1966	CHANGE IN AUTHORIZED CAPITAL STOCK was filed.
December 11, 1972	CHANGE IN AUTHORIZED CAPITAL STOCK was filed.
December 31, 1973	CHANGE IN AUTHORIZED CAPITAL STOCK was filed.
December 01, 1976	CHANGE IN AUTHORIZED CAPITAL STOCK was filed.
September 29, 1978	CHANGE IN NUMBER AND/OR EXISTENCE OF DIRECTORS was filed.
March 13, 1981	CHANGE OF CLERK was filed.
May 18, 1981	CHANGE IN NUMBER AND/OR EXISTENCE OF DIRECTORS was filed.
May 18, 1981	AMENDMENT was filed.
May 18, 1981	CHANGE IN AUTHORIZED CAPITAL STOCK was filed.
May 18, 1981	AMENDMENT was filed.
May 18, 1981	AMENDMENT was filed.
September 25, 1986	CHANGE IN AUTHORIZED CAPITAL STOCK was filed.
July 12, 1990	RESOLUTION/AUTHORIZATION FOR USE OF SIMILAR NAME was filed.
November 08, 1990	AMENDMENT was filed.
April 30, 1991	CHANGE OF REGISTERED OFFICE was filed.
November 10, 1998	CHANGE IN AUTHORIZED CAPITAL STOCK was filed.
July 09, 1999	CHANGE OF CLERK AND REGISTERED OFFICE was filed.
September 24, 1999	MERGER was filed.
June 20, 2003	MERGER was filed.
August 24, 2007	RESTATEMENT was filed.

No further amendments have been filed to date.

I further certify that said business corporation has filed annual reports due to this Department, and that no action is now pending by or on behalf of the State of Maine to forfeit the charter and that according to the records in the Department of the Secretary of State, said corporation is a legally existing business corporation in good standing under the laws of the State of Maine at the present time.



In testimony whereof, I have caused the Great Seal of the State of Maine to be hereunto affixed. Given under my hand at Augusta, Maine, this third day of September 2008.

A handwritten signature in black ink, appearing to read "Matthew Dunlap", written in a cursive style.

MATTHEW DUNLAP

Secretary of State

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