

# FD8000004036

\_\_\_\_\_  
(Requestor's Name)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(Address)

\_\_\_\_\_  
(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

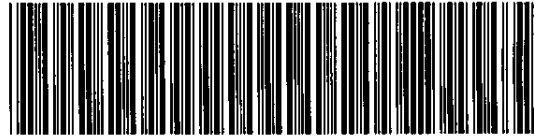
\_\_\_\_\_  
(Business Entity Name)

\_\_\_\_\_  
(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

Office Use Only



200298526602

200298526602  
05/01/17--01013--013 \*\*43.75

17 MAY -1 AM 11:05  
DIVISION OF CORPORATIONS  
SECRETARY OF STATE

MAY 05 2017

C McNAIR

## COVER LETTER

**TO:** Amendment Section  
Division of Corporations

**SUBJECT:** Zhone Technologies, Inc.

\_\_\_\_\_  
Name of Corporation

**DOCUMENT NUMBER:** F01000004036

The enclosed Amendment and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Kim Nishimura

\_\_\_\_\_  
Name of Contact Person

DASAN Zhone Solutions, Inc.

\_\_\_\_\_  
Firm/Company

7195 Oakport Street

\_\_\_\_\_  
Address

Oakland, CA 94621

\_\_\_\_\_  
City/State and Zip Code

knishimura@dasanzhone.com

\_\_\_\_\_  
E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Kim Nishimura

\_\_\_\_\_  
Name of Contact Person

at ( 510 ) 777-7387

\_\_\_\_\_  
Area Code & Daytime Telephone Number

Enclosed is a check for the following amount:

☐

\$35.00 Filing Fee

☐

\$43.75 Filing Fee &  
Certificate of Status

☒

\$43.75 Filing Fee &  
Certified Copy  
(Additional copy is  
enclosed)

☐

\$52.50 Filing Fee,  
Certificate of Status &  
Certified Copy  
(Additional copy is  
enclosed)

**Mailing Address:**

Amendment Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

**Street Address:**

Amendment Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

47 MAY - 1 AM 11:05  
DIVISION OF CORPORATIONS  
SECRETARY OF STATE  
TALLAHASSEE, FL 32301

(Pursuant to s. 607.1504, F.S.)

F08000004036

RECEIVED  
GENERAL INVESTIGATIVE  
DIVISION OF FBI  
MAY 17 1968  
FBI - NEW YORK

- 1 Zhone Technologies, Inc.

(Name of corporation as it appears on the records of the Department of State)

- 2 Delaware

(Incorporated under laws of)

- 3 September 16, 2008

(Date authorized to do business in Florida)

## SECTION II

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? September 9, 2016

- 5 DASAN Zhone Solutions, Inc.

(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

6. If the amendment changes the period of duration, indicate new period of duration.

(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

---

(New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.

(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

(Typed or printed name of person signing)

(Title of person signing)

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ZHONE TECHNOLOGIES, INC.", CHANGING ITS NAME FROM "ZHONE TECHNOLOGIES, INC." TO "DASAN ZHONE SOLUTIONS, INC.", FILED IN THIS OFFICE ON THE NINTH DAY OF SEPTEMBER, A.D. 2016, AT 11:39 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



2742244 8100  
SR# 20165716762

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Jeffrey W. Bullock, Secretary of State

Authentication: 202963231  
Date: 09-09-16

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 11:39 AM 09/09/2016  
FILED 11:39 AM 09/09/2016  
SR 20165716762 - File Number 2742244

**CERTIFICATE OF AMENDMENT  
OF  
RESTATED CERTIFICATE OF INCORPORATION  
OF  
ZHONE TECHNOLOGIES, INC.**

-----  
Pursuant to Section 242 of the General  
Corporation Law of the State of Delaware  
-----

Zhone Technologies, Inc., a corporation duly organized and validly existing under the General Corporation Law of the State of Delaware (the "*Corporation*"), does hereby certify as follows:

1. The present name of the Corporation is Zhone Technologies, Inc.
2. The original certificate of incorporation of the Corporation was filed with the Secretary of State of the State of Delaware on April 21, 1997 under the name MWD, Inc.
3. Article I of the Restated Certificate of Incorporation of the Corporation is hereby amended to read in its entirety as follows:  
  
"The name of the corporation is DASAN Zhone Solutions, Inc. (the "*Corporation*")"
4. This Amendment of the Restated Certificate of Incorporation was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, the undersigned has caused this Certificate of Amendment to Restated Certificate of Incorporation to be executed as of the 9th day of September, 2016.

**ZHONE TECHNOLOGIES, INC.**

By:   
Name: Kirk Misaka  
Title: Chief Financial Officer