

FD8000003938

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

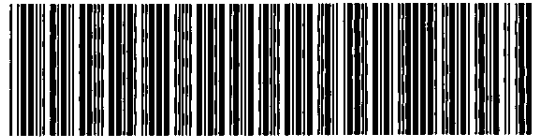
(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

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TALLAHASSEE, FLORIDA

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a Wolters Kluwer business

CT  
1203 Governors Square Blvd.  
Tallahassee, FL 32301-2960

850 222 1092 tel  
850 222 7615 fax  
www.ctlegalsolutions.com

September 9, 2008

Department of State, Florida  
Clifton Building  
2611 Executive Center Circle  
Tallahassee FL 32301

RECEIVED  
08 SEP -9 PM 3:17  
DEPARTMENT OF STATE  
DIVISION OF CORPORATIONS  
TALLAHASSEE, FLORIDA

Re: Order #: 7344685 SO  
Customer Reference 1: None Given  
Customer Reference 2: None Given

Dear Department of State, Florida:

Please obtain the following:

Equity Services, Inc. (VT) *d/b/a Vermont Equity Services Inc.*  
Qualification  
Florida

Enclosed please find a check for the requisite fees. Please return document(s) to the attention of the undersigned.

If for any reason the enclosed cannot be processed upon receipt, please contact the undersigned immediately at (850) 222-1092. Thank you very much for your help.

Sincerely,

Connie R Bryan  
Senior Fulfillment Specialist  
Connie.Bryan@wolterskluwer.com

## COVER LETTER

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** Equity Services Inc.

(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Stephen Englese

(Name of Person)

Equity Services, Inc.

(Firm/Company)

One National Life Drive

(Address)

Montpelier, VT 05604

(City/State and Zip code)

For further information concerning this matter, please call:

Stephen Englese

(Name of Person)

at ( 802 ) 229-7352

(Area Code & Daytime Telephone Number)

**STREET/COURIER ADDRESS:**

New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee    ☐ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy



FLORIDA DEPARTMENT OF STATE  
Division of Corporations

September 8, 2008

CT CORPORATION

SUBJECT: EQUITY SERVICES INC.  
Ref. Number: W08000041573

We received your electronically transmitted document. However, the document has not been filed. Please make the following corrections and refax the **complete document**, including the **electronic filing cover sheet**.

The name of your corporation is not available in Florida. An out-of-state corporation whose name is not available must adopt an alternate corporate name for use in Florida. The alternate corporate name must contain "Incorporated," "Company," "Corporation," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp." Please enter the alternate corporate name in the space provided in number one of the application.

Simply adding "of Florida" or "Florida" to the end of a name is not acceptable.

Please return the corrected original and one copy of your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6962.

Valerie Herring  
Regulatory Specialist II  
New Filing Section

Letter Number: 308A00049068

**\*RE-SUBMIT\***  
Please retain original filing  
date of submission 9/5/08

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

**1. Equity Services Inc.**

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

**Vermont Equity Services Inc.**

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

**2. Vermont**

(State or country under the law of which it is incorporated)

**3.**

(FEI number, if applicable)

**4. 10-7-1968**

(Date of incorporation)

**5.**

**Perpetual**

(Duration: Year corp. will cease to exist or "perpetual")

**6. Upon Registration**

(Date first transacted business in Florida, if prior to registration)

(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

**7. One National Life Drive, Montpelier, VT 05604**

(Principal office address)

**One National Life Drive, Montpelier, VT 05604**

(Current mailing address)

**8. Sale of securities products**

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

**9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)**

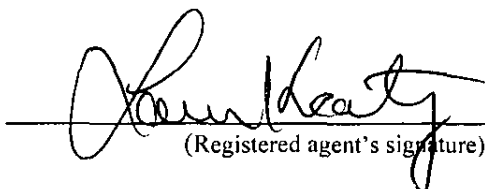
Name: **CT Corporation System**

Office Address: **1200 South Pine Island Road**

**Plantation**, Florida **33324**  
(City) (Zip code)

**10. Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

  
(Registered agent's signature)

**Lauren H. Krenz  
Special Assistant  
Secretary**

**11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.**

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06 SEP -5 PM 1:13  
SECRETARY OF STATE  
TALLAHASSEE FLORIDA

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Mehran ASSADI

Address: National Life Drive  
Montpelier, VT 05604

Vice Chairman: N/A

Address: \_\_\_\_\_

Director: Michele S. CATTO

Address: National Life Drive  
Montpelier, VT 05604

Director: THOMAS H. MACLEAY

Address: National Life Drive  
Montpelier, VT 05604

B. OFFICERS

President: LANCE A. REIBL

Address: National Life Drive  
Montpelier, VT 05604

~~SM~~ Vice President: STEPHAN A. ENGLISH

Address: National Life Drive  
Montpelier, VT 05604

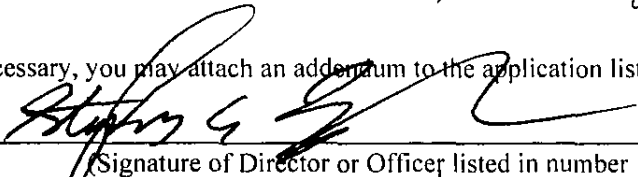
Secretary: JAMES K. McQUISTON

Address: National Life Drive, Montpelier, VT 05604

Treasurer: ROBERT E. CUTTON

Address: National Life Drive, Montpelier, VT 05604

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.   
(Signature of Director or Officer listed in number 12 of the application)

14. STEPHAN A. ENGLISH, SVP  
(Typed or printed name and capacity of person signing application)

FILED

08 SEP -5 PM 1:13

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

STATE OF VERMONT  
OFFICE OF SECRETARY OF STATE

FILED  
08 SEP -5 PM 1:13  
SECRETARY OF STATE  
ALLAHASSEE, FLORIDA

Certificate of Good Standing

I, Deborah L. Markowitz, Vermont Secretary of State, do hereby certify that  
according to the records of this office

**EQUITY SERVICES INC**

a corporation formed under the laws of the State of Vermont

was filed for record in this office on October 07, 1968

I further certify that the corporation has perpetual duration, that its most recent annual report is on file, and, as of this date, articles of dissolution/withdrawal have not been filed.

September 03, 2008

Given under my hand and the seal  
of the State of Vermont, at  
Montpelier, the State Capital



Deborah Markowitz  
Secretary of State

