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(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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(Business Entity Name)

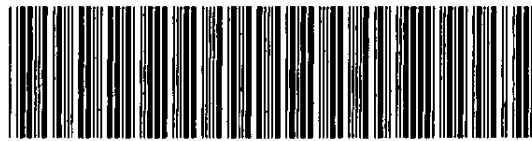
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08 AUG 26 AM 8:06
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

MRB
8/27

1008-37884

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: SETON SECURITIES GROUP, INC
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

CHARLES MAYER
(Name of Person)
SETON SECURITIES GROUP INC
(Firm/Company)
1400 UNION AVE.
(Address)
UNION BEACH NEW JERSEY 07931
(City/State and Zip code)

For further information concerning this matter, please call:

LESLIE A MATTIA at 732 , 739-9127
(Name of Person) (Area Code & Daytime Telephone Number)

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy



FLORIDA DEPARTMENT OF STATE
Division of Corporations

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08 AUG 26 AM 8:00

DIVISION OF CORPORATIONS

August 12, 2008

CHARLES MAYER
SETON SECURITIES GROUP INC
1400 UNION AVE
UNION BEACH, NJ 07735

SUBJECT: SETON SECURITIES GROUP, INC.
Ref. Number: W08000037884

We have received your document for SETON SECURITIES GROUP, INC. and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The document must be signed by the chairman, any vice chairman of the board of directors, its president, or another of its officers.

If you have any questions concerning the filing of your document, please call (850) 245-6879.

Ruby Dunlap
Regulatory Specialist II

Letter Number: 608A00045707

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**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. SETON SECURITIES GROUP, INC
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")
- (If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
2. NEW YORK 3. _____
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 4-17-1986 5. PERPETUAL
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. _____
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. 1400 UNION AVE, UNION BEACH NJ 07735
(Principal office address)
1400 UNION AVE UNION BEACH NJ. 07735
(Current mailing address)
8. BROKER DEAL
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)
- Name: DANIEL PADILLA
- Office Address: HIGHLAND CAKES, 10150 HIGHLAND MANOR DRIVE
TAMPA, Florida 33610
(City) (Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Daniel Padilla

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: CHARLES MAYER

Address: 1400 UNION AVE
UNION BEACH NEW JERSEY 07735

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: CHARLES MAYER

Address: 1400 UNION AVE
UNION BEACH NEW JERSEY 07735

Vice President: _____

Address: _____


Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.


CHARLES H. MAYER
CHAIRMAN & CEO

8/18/08

**State of New York
Department of State } ss:**

FILED

**08 AUG 26 AM 8:06
SECRETARY OF STATE
TALLAHASSEE, FLORIDA**

I hereby certify, that the Certificate of Incorporation of SETON SECURITIES GROUP, INC. was filed on 04/17/1986, under the name of WAXMAN SECURITIES, INC., with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation. I further certify the following:

A certificate changing name to SETON SECURITIES GROUP, INC. was filed on 10/05/2004.

The Biennial Statement is past due.

I further certify, that no other documents have been filed by such Corporation.



*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 31st day of July
two thousand and eight.*

Daniel Shapiro
Special Deputy Secretary of State