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(Address)

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SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

J. Shivers MAY 13 2007

COVER LETTER

TO: New Filing Section  
Division of Corporations

SUBJECT: Housing Enterprise Insurance Company, Inc.

(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Mark Wilson, CFO and Treasurer

(Name of Person)

Housing Enterprise Insurance Company, Inc.

(Firm/Company)

P.O. Box 189, 189 Commerce Court

(Address)

Cheshire, CT. 06410-0189

(City/State and Zip code)

For further information concerning this matter, please call:

Mark Wilson

(Name of Person)

at ( 203 ) 272-8220 x 405

(Area Code & Daytime Telephone Number)

STREET/COURIER ADDRESS:

New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &  
Certificate of Status

☐ \$78.75 Filing Fee &  
Certified Copy

☒ \$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy

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TALLAHASSEE, FLORIDA

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APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Housing Enterprise Insurance Company, Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Vermont

(State or country under the law of which it is incorporated)

3. 06-1597889

(FEI number, if applicable)

4. August 15, 2000

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. N/A

(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 140 Kennedy Drive South Burlington, VT 05403

(Principal office address)

P.O. Box 189, 189 Commerce Court, Cheshire, CT 06410-0189

(Current mailing address)

8. Transitional Property and Casulty Company

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Business Filings Incorporated

Office Address: 1203 Governors Square Blvd Suite 101

Tallahassee

(City)

Florida 32301-2960

(Zip code)

## 10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Nicole Pierce - Asst. Secretary of

(Registered agent's signature)

Business Filings Incorporated

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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TALLAHASSEE, FLORIDA

12. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: See attached for a list of Officers and Directors

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

**B. OFFICERS**

President: See attached for a list of Officers and Directors

Address: \_\_\_\_\_  
\_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

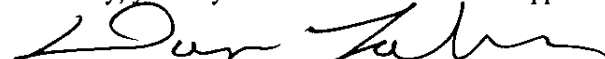
Secretary: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.   
(Signature of Director or Officer listed in number 12 of the application)

14. Dan Labrie, Director, CEO and President of Housing Enterprise Insurance Company, Inc.  
(Typed or printed name and capacity of person signing application)

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TALLAHASSEE FL 32310

**Housing Enterprise Insurance Company, Inc. (HEIC)**

**List of HEIC's Board of Directors and Executive Officers**

**Board of Directors**

**Chairman:**

Name: Barry Romano  
Title: *Executive Director*  
Business Address:  
200 South Pearl Street  
Albany, NY 12202  
518-641-7529

**Vice Chairman:**

Name: Edwin Lowndes  
Title: *Executive Director*  
Business Address:  
301 East Armour Blvd  
Kansas City, MO 64111  
816-968-4199

Name: James DiPaolo  
Title: *Financial Management Officer*  
Business Address:  
777 Grant St.  
Denver, CO 80203  
720-932-3075

Name: Douglas Dzema  
Title: *Executive Director*  
Business Address:  
881 Amboy Avenue  
Perth Amboy, NJ 08862  
732-826-3114

Name: Stephen Falek  
Title: *Public & Assisted Housing Management*  
Business Address:  
809 N. Broadway  
Milwaukee, WI 53202  
414-286-5681

Name: Richard S. Press  
Title: Retired  
Business Address:  
101 Bogle St.  
Weston, MA 02493-1056

Name: Terri Hamilton Brown  
Title: *President*  
Business Address:  
University Circle  
10831 Magnolia Drive  
Cleveland, OH 44106  
216-791-3900

Name: John Johnson  
Title: *Executive Director*  
Business Address:  
1700 N.E. 4<sup>th</sup> St.  
Oklahoma City, OK 73117  
405-239-7551

Name: Eric Brown  
Title: *Executive Director*  
Business Address:  
1217 Madison Street  
Annapolis, MD 21403  
410-267-8000

Name: Lee Eastman  
Title: *Executive Director*  
Business Address:  
931 Booker St.  
Auburn, AL  
334-821-2262

Name: Glen Redding  
Title: *Executive Director*  
Business Address:  
807 S. Lowry  
Stillwater, OK 74074  
405-372-4906

Name: Joseph Shuldiner  
Title: *Administration*  
Business Address:  
1511 Central Park Avenue  
Yonkers, NY 10710  
914-793-8400 Ext. 112

Name: Gary Wasson  
Title: *CEO/Executive Director*  
Business Address:  
P.O. Box 2669  
Danville, VA 24541  
434-799-8380

Name: John Len Williams  
Title: *Housing Administration*  
Business Address:  
Columbus Housing Authority  
1000 Wynnton Road  
Columbus, GA 31906  
706-571-2807

Name: Linnie Willis  
Title: *Executive Director*  
Business Address:  
Lucas Metropolitan Housing Authority  
435 Nebraska Avenue  
Toledo, OH 43604  
419-259-9400

Name: Russell A. Young  
Title: *Attorney*  
Business Address:  
100 East State Street  
Montpelier, VT 05601-1309  
802-233-2102

**Company Officers:**

Name: Dan Labrie  
Title: *President*  
Business Address:  
189 Commerce Court  
Cheshire, CT 06410-0189  
203-272-8220 x201

Name: William Lewellyn  
Title: *Vice President – Human Resources*  
Business Address:  
189 Commerce Court  
Cheshire, CT 06410-0189  
203-272-8220 x216

Name: Edmund Malaspina  
Title: *Vice President - Marketing*  
Business Address:  
189 Commerce Court  
Cheshire, CT 06410-0189  
203-272-8220 x240

Name: Dominic Mazzocchi  
Title: *Vice President of Underwriting*  
Business Address:  
189 Commerce Court  
Cheshire, CT 06410-0189  
203-272-8220 x477

Name: David Sagers  
Title: *Vice President of Information Technology*  
Business Address:  
189 Commerce Court  
Cheshire, CT 06410-0189  
203-272-8220 x474

Name: Robert Sullivan  
Title: *Vice President of Claims*  
Business Address:  
189 Commerce Court  
Cheshire, CT 06410-0189  
203-272-8220 x261

Name: Mark Wilson  
Title: *CFO & Treasurer*  
Business Address:  
189 Commerce Court  
Cheshire, CT 06410-0189  
203-272-8220 x405

Name: Leslie Whitlock  
Title: *Secretary*  
Business Address:  
189 Commerce Court  
Cheshire, CT 06410-0189  
203-272-8220 x207

Name: Janine Lehr  
Title: *Controller & Assistant Treasurer*  
Business Address:  
189 Commerce Court  
Cheshire, CT 06410-0189  
203-272-8220 x402

Name: Brian Braley  
Title: *Assistant Secretary*  
Business Address:  
189 Commerce Court  
Cheshire, CT 06410-0189  
203-272-8220 x225





State of Vermont  
Department of Banking, Insurance,  
Securities and Health Care Administration  
89 Main Street  
Montpelier, VT 05620-3101  
[www.bishca.state.vt.us](http://www.bishca.state.vt.us)

Consumer Assistance Only:  
Insurance: 1-800-964-1784  
Health Care Admin.: 1-800-631-7788  
Securities: 1-877-550-3907

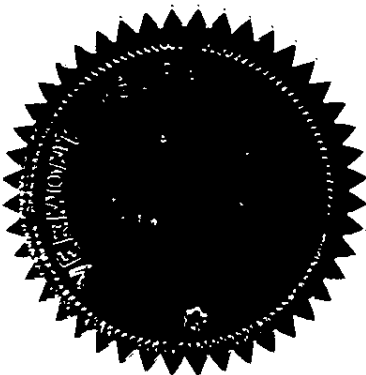
## CERTIFICATE OF COMPLIANCE

*I, Paulette J. Thabault, Commissioner of the Vermont Department of Banking, Insurance, Securities and Health Care Administration, do hereby certify that the*

### HOUSING ENTERPRISE INSURANCE COMPANY, INC. (NAIC # 11206)

of Vermont, has complied with all the requirements of law to be observed by such company, and that it is duly authorized to transact the insurance business of Property & Casualty within this state for the current year.

*In Witness Whereof*, I have hereunto subscribed my hand and caused the seal of the Insurance Division to be affixed at Montpelier, this 17<sup>th</sup> day of April 2008.



State of Vermont  
Department of Banking, Insurance,  
Securities and Health Care Administration  
Paulette J. Thabault, Commissioner  
By:

Kenneth L. McGuckin  
Director of Company Licensing and  
Examinations

