

2010 FOR PROFIT CORPORATION ANNUAL REPORT

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FILED
Apr 27, 2010
Secretary of State

Entity Name: STERNE AGEE INVESTMENT ADVISORS, INC.

Current Principal Place of Business:

800 SHADES CREEK PARKWAY
SUITE 700
BIRMINGHAM, AL 35209

New Principal Place of Business:

800 SHADES CREEK PARKWAY
SUITE 730
BIRMINGHAM, AL 35209

Current Mailing Address:

800 SHADES CREEK PARKWAY
SUITE 550
BIRMINGHAM, AL 35209

New Mailing Address:

FEI Number: 20-0694030

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired (X)

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: PRES
Name: RUCH, W A III
Address: 800 SHADES CREEK PARKWAY #730
City-St-Zip: BIRMINGHAM, AL 35209

Title: SEC
Name: BARZE, BRIAN K
Address: 800 SHADES CREEK PARKWAY #700
City-St-Zip: BIRMINGHAM, AL 35209

Title: SVP
Name: CHAMBLESS, ANDREW R
Address: 800 SHADES CREEK PARKWAY, STE 730
City-St-Zip: BIRMINGHAM, AL 35209

Title: TREA
Name: BARZE, BRIAN K
Address: 800 SHADES CREEK PARKWAY, STE 700
City-St-Zip: BIRMINGHAM, AL 35209

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: ANDREW R CHAMBLESS

SVP

04/27/2010

Electronic Signature of Signing Officer or Director

Date