

F08000001027

(Requestor's Name)

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(Address)

(City/State/Zip/Phone #)

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03 MAR -6 PM 3:57
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

APPROVED
AND
FILED

W08-10303

B. McKnight MAR 07 2008



FLORIDA DEPARTMENT OF STATE
Division of Corporations

February 27, 2008

ANDREW REICH
9464 WILSHIRE BLVD
BEVERLY HILLS, CA 90212

SUBJECT: STOCKCROSS FINANCIAL SERVICES, INC.
Ref. Number: W08000010303

We have received your document for STOCKCROSS FINANCIAL SERVICES, INC. and your check(s) totaling \$87.50. However, the enclosed document has not been filed and is being returned for the following correction(s):

A business entity may not serve as its own registered agent. Please designate an individual or another business entity with an active registration or filing with this office, having a Florida street address identical with that of the registered office.

The name and title of the person signing the document must be noted beneath or opposite the signature.

The officer/directors listed are not legible.,

Please return the corrected original and one copy of your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6931.

Becky McKnight
Regulatory Specialist II
New Filing Section

Letter Number: 008A00012172

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: Stockcross Financial Services, Inc.

(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Andrew Reich

(Name of Person)

Stockcross Financial Services, Inc.

(Firm/Company)

9464 Wilshire Blvd

(Address)

Beverly Hills, Ca. 90212

(City/State and Zip code)

For further information concerning this matter, please call:

Andrew Reich

(Name of Person)

at (800) 225-6196

(Area Code & Daytime Telephone Number)

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☐ \$78.75 Filing Fee &
Certified Copy

☒ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Stockcross Financial Services, Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. California

(State or country under the law of which it is incorporated)

3. 04.2523566

(FEI number, if applicable)

4.

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. February 15, 2008

(Date first transacted business in Florida, if prior to registration)

(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 9464 Wilshire Blvd Beverly Hills, Ca. 90212

(Principal office address)

9464 Wilshire Blvd Beverly Hills, Ca. 90212

(Current mailing address)

8. Stock and Bond Brokerage doing business with the public

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name:

GERARD MASTRIANNI
~~STOCKCROSS FINANCIAL SERVICES INC~~

Office Address:

195 INTERNATIONAL PARKWAY

HEATHROW

(City)

, Florida 32746

(Zip code)

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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AND
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10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

(Registered agent's signature)

EVP (Executive Vice President)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

^{DIRECTOR}
Chairman: ANDREW REICH ANDREW REICH

Address: 9464 WILSHIRE BLVD
BEVERLY HILLS, CA 90212

^{DIRECTOR}
Vice-Chairman: RICHARD GEBBIA RICHARD GEBBIA

Address: "AS ABOVE"

Director: DAVID GEBBIA DAVID GEBBIA

Address: "AS ABOVE"

Director: ED DANTES

Address: "AS ABOVE"

B. OFFICERS

^{CEO}
President: RICHARD GEBBIA

Address: "AS ABOVE"

^{CFD}
Vice President: ANDREW REICH

Address: "AS ABOVE"

Secretary: GARY VALENTINO

Address: "AS ABOVE"

Treasurer: ED DANTES

Address: "AS ABOVE"

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Andrew 2/21/08
(Signature of Director or Officer listed in number 12 of the application)

14. Andrew Reich (CFD)
(Typed or printed name and capacity of person signing application)

08 MAR -6 PM 3:57
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

APPROVED
AND
FILED



William Francis Galvin
Secretary of the
Commonwealth

The Commonwealth of Massachusetts
Secretary of the Commonwealth
State House, Boston, Massachusetts 02133

February 21, 2008

TO WHOM IT MAY CONCERN:

I hereby certify that according to the records of this office,

STOCKCROSS FINANCIAL SERVICES, INC.

is a domestic corporation organized on **August 3, 1973**, under the General Laws of the Commonwealth of Massachusetts.

I further certify that there are no proceedings presently pending under the Massachusetts General Laws Chapter 156D section 14.21 for said corporation's dissolution; that articles of dissolution have not been filed by said corporation; that, said corporation has filed all annual reports, and paid all fees with respect to such reports, and so far as appears of record said corporation has legal existence and is in good standing with this office.

APPROVED
AND
FILED
08 MAR - 6 PM 3:57
SECRETARY OF STATE
TALLAHASSEE, FLORIDA



In testimony of which,
I have hereunto affixed the
Great Seal of the Commonwealth
on the date first above written.

William Francis Galvin

Secretary of the Commonwealth