

F08000000027

Florida Department of State
Division of Corporations
Public Access System

Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

((H080000001223)))



H080000001223ABCN

Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

To:

Division of Corporations
Fax Number : (850) 617-6381

From:

Account Name : UCC FILING & SEARCH SERVICES, INC.
Account Number : I19980000054
Phone : (850) 681-6528
Fax Number : (850) 681-6011

FILED
08 JAN -2 PM 12:37
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

FOREIGN PROFIT/NONPROFIT CORPORATION

~~E070000003430~~

MF Global Inc.
new eval.

Certificate of Status	0
Certified Copy	0
Page Count	09
Estimated Charge	\$70.00

MRD 1/3/08

Electronic Filing Menu

Corporate Filing Menu

Help

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. MF Global Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware

(State or country under the law of which it is incorporated)

3. _____

(FEI number, if applicable)

4. June 4, 2001

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. _____

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 717 Fifth Avenue, 9th Floor, New York, NY 10022

(Principal office address)

717 Fifth Avenue, 9th Floor, New York, NY 10022

(Current mailing address)

8. Any lawful activity permitted under the Florida Business Corporation Act.
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: NRAI Services, Inc.

Office Address: 2731 Executive Park Dr., Ste 4

Weston, Florida 33331

(City)

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

NRAI Services, Inc.

Michael Roman

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

FILED
08 JAN -2 PM 12:37
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: See Attached

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: See Attached

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. [Signature]
(Signature of Director or Officer listed in number 12 of the application)14. Lara E. Ross, Vice President
(Typed or printed name and capacity of person signing application)

MF GLOBAL INC.**DIRECTORS AND OFFICERS LIST – BUSINESS ADDRESSES**

For the purposes of Items #12A and 12B on MF Global Inc.'s Application by Foreign Corporation for Authorization to Transact Business, the Directors and Officers are located at the following business addresses:

Directors

Kevin R. Davis
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

Christopher Smith
MF Global UK Limited
Sugar Quay, Lower Thames Street
London EC3R 6DU
England

Ira Polk
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

Thomas M. Harte
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

Amy S. Butte
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

John Goldsberry
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

Officers**Title**

Kevin R. Davis
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

Chairman and President

Christopher Smith
MF Global UK Limited
Sugar Quay, Lower Thames Street
London EC3R 6DU
England

COO

Thomas M. Harte
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

EVP

Ira Polk
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

EVP

John Goldsberry
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

EVP

Martin Timmins
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

EVP

Fred Demler
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

EVP

Stephen Grady
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

EVP

Amy S. Butte
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

EVP

Kemper Cagney
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

CFO

Thomas A. Hayes Jr.
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

SVP/Secretary

James C. Zamora
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

SVP

Jake Vrabel
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

SVP

Howard Schneider
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

SVP

Dennis Klejna

SVP

Ronald J. Thauer
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

VP

Christine Serwinski
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

VP/Controller

Ronald T. Wolf
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

VP/Assistant Secretary

Margaret Liautaud
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

VP

Phil Tazar
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

VP

Stephen Hood
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

VP

Monica Rodriguez
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

VP

Patricia Donahue
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

VP

Henri Steenkamp
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

VP

Joseph T. Pucci
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

VP

Nancy Westwick
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

VP

Dawn Caruso
MF Global Inc.
One North End Avenue, Suite 1101
New York, New York 10282

VP

Laura E. Ross
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

VP/Assistant Secretary

Philip F. O'Reilly
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

VP

Thomas Frankel
MF Global Inc.
Fox Investments Division
141 West Jackson Blvd., Suite 1800A
Chicago, IL 60604

VP

Adam Rochlin
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

VP

John Brand
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

Privacy Compliance Officer and Anti-Money
Laundering Compliance Officer - Futures

Steven Metz
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

Chief Compliance Officer, Privacy Compliance Officer
and Anti-Money Laundering Compliance Officer -
Securities

Joan P. Ackerman
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

AVP/Assistant Secretary

Toni Rossetti
MF Global Inc.
440 South LaSalle Street, 20th Floor
Chicago, Illinois 60605

AVP

James Colburn
MF Global Inc.
717 Fifth Avenue, 9th Floor
New York, New York 10022-8101

AVP

H. Franklin Stone
MF Global Inc.
4800 Main Street
Kansas City, Missouri 64112

AVP

FILED

08 JAN -2 PM 12:37

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "MF GLOBAL INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2007.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "MF GLOBAL INC." WAS INCORPORATED ON THE FOURTH DAY OF JUNE, A.D. 2001.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

3399369 8300

071375904

You may verify this certificate online
at corp.delaware.gov/authver.shtml

*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 6273295

DATE: 12-31-07