

F0800000000019

Corporations

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Florida Department of State
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FOREIGN PROFIT/NONPROFIT CORPORATION

Braver, Stern Securities Corp.

Certificate of Status	0
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TALLAHASSEE, FLORIDA

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12/24/2007



December 26, 2007

FLORIDA DEPARTMENT OF STATE
Division of Corporations

C T CORPORATION SYSTEM

SUBJECT: BRAVER, STERN SECURITIES CORP.
REF: W07000061878

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Letter Number: 107A00071491

P.O. BOX 6327 - Tallahassee, Florida 32314

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Braver, Stern Securities Corp.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. New York

(State or country under the law of which it is incorporated)

3. _____

(FBI number, if applicable)

4. 02/26/1991

(Date of incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. None

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 641 Lexington Avenue, New York, New York 10022

(Principal office address)

Same as above.

(Current mailing address)

8. To transact business as a securities broker-dealer,

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: CT Corporation System

Office Address: 1200 South Pine Island Road

Plantation

(City)

Florida

33324

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

CT Corporation System

By: _____

(Registered agent's signature)

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA
JAMES L. BRYANT
SPECIAL ASSISTANT SECRETARY

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

1. Braver, Stern Securities Corp. (the "Firm") has been registered as a broker-dealer with the Florida Office of Financial Regulation since November 27, 1991. It has been transacting business in Florida solely interstate commerce which does not require the firm to qualify to do business with the Florida Secretary of State. The Firm is now seeking to qualify to do business in the State since it will be physically located therein by the opening of a branch office.

A. DIRECTORS

Chairman: Steven Stern

Address: 760 Park Avenue

New York, NY 10021

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: David Braver

Address: 4 East 72nd Street

New York, New York 10021

Vice President: Steven Stern

Address: 760 Park Avenue

New York, NY 10021

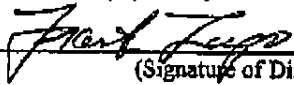
Secretary: Frank Lupo

Address: 53 Topaz Drive, Freehold, New Jersey 07728

Treasurer: Chief Financial Officer - Frank Lupo

Address: 53 Topaz Drive, Freehold, New Jersey 07728

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
(Signature of Director or Officer listed in number 12 of the application)

14. Frank Lupo, Secretary

(Typed or printed name and capacity of person signing application)

**State of New York
Department of State } ss:**

I hereby certify, that the Certificate of Incorporation of BRAVER, STERN SECURITIES CORP. was filed on 02/26/1991, under the name of CRL CAPITAL CORPORATION, with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment CRL CAPITAL CORPORATION, changing its name to BRAVER, STERN SECURITIES CORP., was filed 09/05/1991.

The Biennial Statement is past due.



*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 20th day of December
two thousand and seven.*

Daniel Shapiro
Special Deputy Secretary of State

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