

2011 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F07000004263

FILED
Apr 27, 2011
Secretary of State

Entity Name: CHAPDELAINE & CO. MUNICIPAL SECURITIES, INC.

Current Principal Place of Business:

ONE SEAPORT PLAZA
NEW YORK, NY 10038 US

New Principal Place of Business:

Current Mailing Address:

ONE SEAPORT PLAZA
NEW YORK, NY 10038 US

New Mailing Address:

FEI Number: 13-3163126

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: C
Name: CHAPDELAINE, RICHARD F
Address: ONE SEAPORT PLAZA NEW YORK NY 10038
City-St-Zip: NEW YORK, NY 10038 US

Title: D
Name: HOERNNER, AUGUST J
Address: ONE SEAPORT PLAZA NEW YORK NY 10038
City-St-Zip: NEW YORK, NY 10038 US

Title: D
Name: HARRINGTON, KEVIN
Address: ONE SEAPORT PLAZA NEW YORK NY 10038
City-St-Zip: NEW YORK, NY 10038 US

Title: D
Name: WHEELER, JAMES
Address: ONE SEAPORT PLAZA NEW YORK NY 10038
City-St-Zip: NEW YORK, NY 10038 US

Title: T
Name: O'LEARY, TERESA
Address: ONE SEAPORT PLAZA NEW YORK NY 10038
City-St-Zip: NEW YORK, NY 10038 US

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: RICHARD CHAPDELAINE

PRES

04/27/2011

Electronic Signature of Signing Officer or Director

Date