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(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

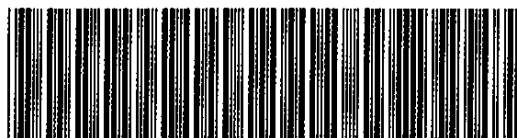
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

C.J. 8-6



Houston Harbaugh

ATTORNEYS AT LAW

WRITER'S DIRECT DIAL:
412-288-5008
ejordan@hh-law.com

File # 18246-10

August 2, 2007

VIA FEDERAL EXPRESS

Division of Corporations
New Filing Section
Department of State of Florida
2661 Executive Center Circle -- Clifton Building
Tallahassee, Florida 32301

Re: Applications for Qualification of Alpern Rosenthal and
Alpern Rosenthal Financial Services, LLC in Florida

Gentlemen:

Please find enclosed for filing in your office signed originals of the Applications for the qualification of Alpern Rosenthal and Alpern Rosenthal Financial Services, LLC in your state. Per your instructions, we have indicated that for the purpose of conducting business in Florida, Alpern Rosenthal has adopted the name Alpern Rosenthal and Company. Also enclosed are the required Good Standing Certificates, issued by the Pennsylvania Corporation Bureau, and our firm check in the amount of \$233.75 to cover the cost of your filing and certification fees.

As soon as the enclosed Applications have been filed, I would like to request that you return certified copies thereof to my attention at the address shown below.

We appreciate your assistance in this matter and hope that you will contact our office if you have any questions or need any additional information or fees in order to complete these two filings.

Very truly yours,

Eileen R. Jordan
Legal Assistant

Enclosures

cc: David A. Charnock, C.O.O.
Susan Rockman, Esquire

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: ALPERN ROSENTHAL ~~INC~~ COMPANY
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

EILEEN R. JORDAN, LEGAL ASSISTANT

(Name of Person)

HOUSTON HARBAUGH, P.C.

(Firm/Company)

401 LIBERTY AVEUE, 2200 THREE GATEWAY CENTER

(Address)

PITTSBURGH, PENNSYLVANIA 15222

(City/State and Zip code)

For further information concerning this matter, please call:

EILEEN R. JORDAN

(Name of Person)

at (412) 288-5008

(Area Code & Daytime Telephone Number)

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☒ \$78.75 Filing Fee &
Certified Copy

☐ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Alpern Rosenthal Company
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Pennsylvania 3. 25-1724342
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. December 22, 1993 5. Perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. N/A
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 339 Sixth Avenue, Heinz 57 Center, Pittsburgh, Pennsylvania 15222
(Principal office address)
339 Sixth Avenue, Heinz 57 Center, Pittsburgh, Pennsylvania 15222
(Current mailing address)

8. Acquire an Interest in Accounting Practice
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Martin Cass
Office Address: 440 Columbia Drive, Suite 500
West Palm Beach, Florida 33409
(City) (Zip code)

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TALLAHASSEE, FLORIDA

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10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

By: X

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

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A. DIRECTORS

Chairman: _____ SEE ATTACHED EXHIBIT "A" _____ 2007 AUG -3 PM 3:19
Address: _____ SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Vice Chairman: _____
Address: _____

Director: _____
Address: _____

Director: _____
Address: _____

Director: _____
Address: _____

B. OFFICERS

President: _____ SEE ATTACHED EXHIBIT "A"
Address: _____

Vice President: _____
Address: _____

Secretary: _____
Address: _____

Treasurer: _____
Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. James A. Charnock
(Signature of Director or Officer listed in number 12 of the application)
14. David A. Charnock; Chief Operating Officer
(Typed or printed name and capacity of person signing application)

EXHIBIT "A"

TO

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO

TRANSACT BUSINESS IN FLORIDA

BY

ALPERN ROSENTHAL . COMPANY

12. Names and usual business addresses of officers and directors:

<u>Name and Title</u>	<u>Business Address</u>
Emanuel V. DiNatale Director and Chairman of the Board	339 Sixth Avenue, Heinz 57 Center Pittsburgh, Pennsylvania 15222
Alexander Paul Director and President	339 Sixth Avenue, Heinz 57 Center Pittsburgh, Pennsylvania 15222
Joel Rosenthal Director and Vice President	339 Sixth Avenue, Heinz 57 Center Pittsburgh, Pennsylvania 15222
Lawrence J. Sipos Director and Vice President	339 Sixth Avenue, Heinz 57 Center Pittsburgh, Pennsylvania 15222
David A. Charnock Chief Operating Officer, Secretary and Treasurer	339 Sixth Avenue, Heinz 57 Center Pittsburgh, Pennsylvania 15222

COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

JUNE 28, 2007

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

ALPERN ROSENTHAL

**is duly incorporated under the laws of the Commonwealth of Pennsylvania and
remains a subsisting corporation so far as the records of this office show, as of
the date herein.**



**IN TESTIMONY WHEREOF, I have
hereunto set my hand and caused
the Seal of the Secretary's Office to
be affixed, the day and year above
written.**

Pedro A. Cortis

Secretary of the Commonwealth