

F070000003134

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP ☐ WAIT ☐ MAIL

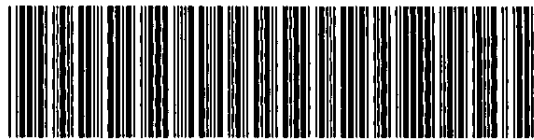
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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09/25/08--01016--013 **35.00

FILED
08 SEP 25 AM 9:04
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Foreign Name Chg Amend
JFM 10/2/08

COVER LETTER

TO: Amendment Section
Division of Corporations

SUBJECT: The Trust Company of Sterne Agee, Inc. FKA The Trust Company of Sterne, Agee & Leach, Inc.
(Name of Corporation)

DOCUMENT NUMBER: F07000003134

The enclosed Amendment and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Belle Cauthen

(Name of Contact Person)

The Trust Company of Sterne Agee, Inc.

(Firm/Company)

800 Shades Creek Parkway, Suite 550

(Address)

Birmingham, AL 35209

(City/State and Zip Code)

For further information concerning this matter, please call:

Belle Cauthen

(Name of Contact Person)

at (205) 380-1714

(Area Code & Daytime Telephone Number)

Enclosed is a check for the following amount:



\$35.00 Filing Fee



\$43.75 Filing Fee &
Certificate of Status



\$43.75 Filing Fee &
Certified Copy
(Additional copy is
enclosed)



\$52.50 Filing Fee,
Certificate of Status &
Certified Copy
(Additional copy is
enclosed)

Mailing Address:

Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address:

Amendment Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

PROFIT CORPORATION
APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO
APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA
(Pursuant to s. 607.1504, F.S.)

SECTION I
(1-3 MUST BE COMPLETED)

F07000003134

(Document number of corporation (if known))

1. The Trust Company of Sterne, Agee & Leach, Inc.

(Name of corporation as it appears on the records of the Department of State)

2. Alabama

(Incorporated under laws of)

3. 6/20/2007

(Date authorized to do business in Florida)

FILED
08 SEP 25 AM 9:04
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

SECTION II
(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? _____

5. The Trust Company of Sterne Agee, Inc.

(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

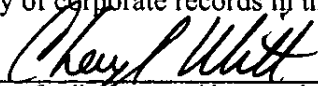
6. If the amendment changes the period of duration, indicate new period of duration.

(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

(New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.


(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

Cheryl Witt

(Typed or printed name of person signing)

SVP/Controller

(Title of person signing)

Beth Chapman
Secretary of State

P.O. Box 5616
Montgomery, AL 36103-5616

STATE OF ALABAMA

I, Beth Chapman, Secretary of State of the State of Alabama, having custody of the Great and Principal Seal of said State, do hereby certify that

as appears on file and of record in this office, the pages hereto attached, contain a true, accurate and literal copy of articles of amendment to the articles of incorporation of The Trust Company of Sterne, Agee & Leach, Inc., changing the name to The Trust Company of Sterne Agee, Inc., as received and filed in the office of the Secretary of State on August 6, 2008, showing the date of amendment as July 29, 2008, the date said instrument was filed in the office of the Judge of Probate of Jefferson County.



In Testimony Whereof, I have hereunto set my hand and affixed the Great Seal of the State, at the Capitol, in the City of Montgomery, on this day.

09/18/08

Date

Beth Chapman *js*

Beth Chapman

Secretary of State

20080729001046010 1/3
Bk: LR200809 Pg: 73
Jefferson County, Alabama
I certify this instrument filed on:
07/29/2008 03:34:31 PM PAMEND
Judge of Probate- Alan L. King

**SECOND ARTICLES OF AMENDMENT
TO
ARTICLES OF INCORPORATION
OF
THE TRUST COMPANY OF STERNE, AGEE & LEACH, INC.**

# 166-290	
Posted by: JH	Checked by: JH

Pursuant to the provisions of Section 10-2B-10.06 of the Alabama Business Corporation Act, The Trust Company of Sterne, Agee & Leach, Inc., an Alabama corporation (the "Corporation"), does hereby execute and deliver the following Second Articles of Amendment to its Articles of Incorporation (the "Articles of Amendment"):

ARTICLE I

NAME OF CORPORATION

The name of the Corporation is The Trust Company of Sterne, Agee & Leach, Inc.

ARTICLE II

AMENDMENT

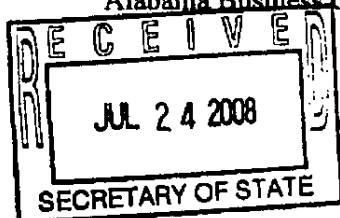
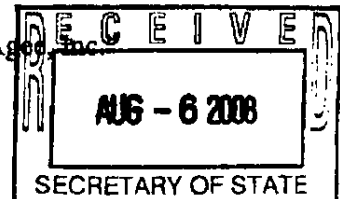
The text of Article I of the Articles of Incorporation of the Corporation is hereby deleted in its entirety and the following is hereby substituted in lieu thereof:

The name of the Corporation is 'The Trust Company of Sterne Agee, Inc.'

ARTICLE III

DATE OF ADOPTION

The amendment to the Corporation's Articles of Incorporation was adopted on July 14, 2008, by a Joint Written Consent of the Board of Directors and the Shareholders of the Corporation pursuant to the provisions of Section 10-2B-10.05 of the Alabama Business Corporation Act.



IN WITNESS WHEREOF, the undersigned has hereby executed and adopted these Articles of Amendment to the Corporation's Articles of Incorporation as of the 14th day of July, 2008.

**THE TRUST COMPANY OF STERNE,
AGEE & LEACH, INC.**

By: W. A. Ruch, III
W. A. Ruch, III
Its President

This instrument was prepared by:
William K. Holbrook, Esq.
Haskell Slaughter Young & Rediker, LLC
1400 Park Place Tower
2001 Park Place North
Birmingham, Alabama 35203

526782.1

Beth Chapman
Secretary of State

P.O. Box 5616
Montgomery, AL 36103-5616

STATE OF ALABAMA

I, Beth Chapman, Secretary of State of the State of Alabama, having custody of the Great and Principal Seal of said State, do hereby certify that

pursuant to the provisions of Section 10-2B-4.02, Code of Alabama 1975, and upon an examination of the corporation records on file in this office, the following corporate name is reserved as available:

THE TRUST COMPANY OF STERNE AGEE, INC.

This domestic corporation name is proposed to be incorporated in Jefferson County and is for the exclusive use of JANA W. CAPELLO, 1400 PARK PLACE TOWER 2001 PARK PL N, BIRMINGHAM, AL 35203 for a period of one hundred twenty days beginning May 8, 2008 and expiring September 6, 2008.

20080729001044010 3/3
Bk: LR200809 Pg:73
Jefferson County, Alabama
07/29/2008 03:34:31 PM PMEND
Fee - \$11.00

Total of Fees and Taxes-\$11.00
HATCHERK



In Testimony Whereof, I have hereunto set my hand
and affixed the Great Seal of the State, at the Capitol,
in the City of Montgomery, on this day.

May 8, 2008

Date

Beth Chapman

Secretary of State

Jefferson County

I, the Undersigned, as Judge of Probate in and for said County, in said State, hereby certify that the foregoing is a full, true and correct copy of the instrument with the filing of same as appears of

record in this office in vol. 200809 page 73

Given under my hand and official seal, this the 29th

day of JULY, 2008.

Alan L. Frig

Judge of Probate