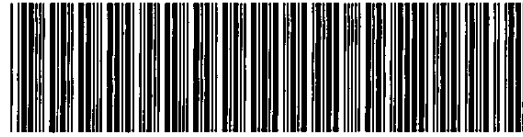


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(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

PICK-UP  WAIT  MAIL

(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

Special Instructions to Filing Officer:

Office Use Only

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AND  
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TALLAHASSEE, FLORIDA

B. McKnight JUN 18 2007

**COVER LETTER**

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** Old Mutual Financial Network Securities, Inc.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Anne Richardson  
(Name of Person)

NRS  
(Firm/Company)

PO Box 71, 323A Main St.  
(Address)

Lakeville, CT 06039  
(City/State and Zip code)

For further information concerning this matter, please call:

Anne Richardson at ( 860 ) 435-2541x1883  
(Name of Person) (Area Code & Daytime Telephone Number)

**STREET/COURIER ADDRESS:**

New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- \$70.00 Filing Fee
- \$78.75 Filing Fee & Certificate of Status
- \$78.75 Filing Fee & Certified Copy
- \$87.50 Filing Fee, Certificate of Status & Certified Copy

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Old Mutual Financial Network Securities, Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

n/a

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Maryland

(State or country under the law of which it is incorporated)

3. 52-1387769

(FEI number, if applicable)

4. 03/22/1985

(Date of incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. n/a

(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 1117 Perimeter Center West, Ste E 212, Atlanta GA 30338

(Principal office address)

1001 Fleet Street, 6th floor, Baltimore, MD 21202

(Current mailing address)

8. insurance sales

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays St.

Tallahassee, Florida 32301

(City)

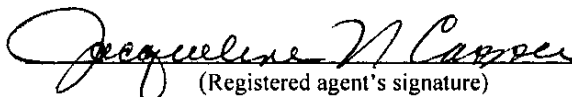
(Zip code)

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10. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

  
(Registered agent's signature)

Jacqueline N. Casper Assistant VP

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: Bruce G. Parker, Jr.  
Address: 1117 Perimeter Center West Ste E 212  
Atlanta, GA 30338

Vice Chairman: None  
Address: \_\_\_\_\_

Director: Patrick D. Ferrer, VP VA Distr/Dir.  
Address: 1117 Perimeter Center West Ste E 212  
Atlanta, GA 30338

Director: Richard C. Pretty VP Mktg./Dir.  
Address: 1117 Perimeter Center West, Ste E212  
Atlanta, GA 30338

**B. OFFICERS**

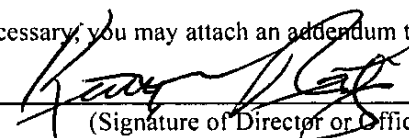
President: Donald B. Johnston  
Address: 1117 Perimeter Center West, Ste E 212  
Atlanta, GA 30338

Vice President: Narciso De Matos Almeida  
Address: 1117 Perimeter Center West, Ste E 212  
Atlanta, GA 30338

Secretary: Kenneth W. Reitz Also CCO/VP/Dir.  
Address: 1001 Fleet St., 6th fl., Baltimore, MD 21202

Treasurer: Todd M. Bareika  
Address: 1001 Fleet Street, 6th fl., Baltimore, MD 21202

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13.   
(Signature of Director or Officer listed in number 12 of the application)

14. Kenneth W. Reitz VP.  
(Typed or printed name and capacity of person signing application)

page 2 (cont.)

**OLD MUTUAL FINANCIAL NETWORK SECURITIES, INC.**

***FE#52-1387769***      **Additional Directors/Officers**

Michael J. Buckner, AVP Marketing  
1117 Perimeter Center West, Ste # 212  
Atlanta, GA 30338

John P. Clifford, Director  
1001 Fleet St., 6<sup>th</sup> fl.  
Baltimore, MD 21202

Alan M. Harrington, Director, Registered Rep  
1117 Perimeter Center West, Ste # 212  
Atlanta, GA 30338

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TALLAHASSEE, FLORIDA

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AND  
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**STATE OF MARYLAND**  
**Department of Assessments and Taxation**

I, PAUL B. ANDERSON OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF THE STATE OF MARYLAND, DO HEREBY CERTIFY THAT THE DEPARTMENT, BY LAWS OF THE STATE, IS THE CUSTODIAN OF THE RECORDS OF THIS STATE RELATING TO THE FORFEITURE OR SUSPENSION OF CORPORATIONS, OR THE RIGHTS OF CORPORATIONS TO TRANSACT BUSINESS IN THIS STATE, AND THAT I AM THE PROPER OFFICER TO EXECUTE THIS CERTIFICATE.

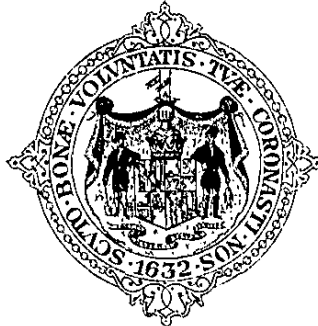
I FURTHER CERTIFY THAT OLD MUTUAL FINANCIAL NETWORK SECURITIES, INC. IS A CORPORATION DULY INCORPORATED AND EXISTING UNDER AND BY VIRTUE OF THE LAWS OF MARYLAND AND THE CORPORATION HAS FILED ALL ANNUAL REPORTS REQUIRED, HAS NO OUTSTANDING LATE FILING PENALTIES ON THOSE REPORTS, AND HAS A RESIDENT AGENT. THEREFORE, THE CORPORATION IS AT THE TIME OF THIS CERTIFICATE IN GOOD STANDING WITH THIS DEPARTMENT AND DULY AUTHORIZED TO EXERCISE ALL THE POWERS RECITED IN ITS CHARTER OR CERTIFICATE OF INCORPORATION, AND TO TRANSACT BUSINESS IN MARYLAND.

IN WITNESS WHEREOF, I HAVE HEREUNTO SUBSCRIBED MY SIGNATURE AND AFFIXED THE SEAL OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF MARYLAND AT BALTIMORE ON THIS MAY 16, 2007.



Paul B. Anderson  
Charter Division

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AND  
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TALLAHASSEE, FLORIDA



301 West Preston Street, Baltimore, Maryland 21201  
Telephone Balto. Metro (410) 767-1340 / Outside Balto. Metro (888) 246-5941  
MRS (Maryland Relay Service) (800) 735-2258 TT/Voice  
Fax (410) 333-7097