

F0700000436

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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(Business Entity Name)

(Document Number)

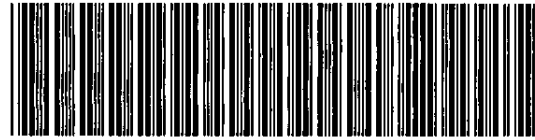
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FILED
2007 JAN 25 PM 4:28
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

COVER LETTER

TO: New Filing Section
Division of Corporations

SUBJECT: Commonwealth Capital Securities Corp.

(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

James Pruett

(Name of Person)

Commonwealth Capital Securities Corp.

(Firm/Company)

400 Cleveland Street, 7th Floor

(Address)

Clearwater, FL 33755

(City/State and Zip code)

For further information concerning this matter, please call:

James Pruett

(Name of Person)

at (877) 654-1500

(Area Code & Daytime Telephone Number)

STREET/COURIER ADDRESS:

New Filing Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filing Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☐ \$78.75 Filing Fee &
Certified Copy

☒ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy



FLORIDA DEPARTMENT OF STATE
Division of Corporations

January 10, 2007

JAMES PRUETT
COMMONWEALTH CAPITAL SECURITIES CORP.
400 CLEVELAND STREET, 7TH FLOOR
CLEARWATER, FL 33755

SUBJECT: COMMONWEALTH CAPITAL SECURITIES CORP.
Ref. Number: W07000001434

We have received your document for COMMONWEALTH CAPITAL SECURITIES CORP. and your check(s) totaling \$87.50. However, the document has not been filed and is being retained in this office for the following:

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report/uniform business report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report/uniform business report and penalty fees is \$3,450.00.

Please return a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6934.

Loria Poole
Document Specialist

Letter Number: 207A00002169

RECEIVED

07 JAN 25 PM 2:45

DEPARTMENT OF STATE
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Commonwealth Capital Securities Corp.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Pennsylvania 3. 23-2311595
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. 8/24/84 5. Perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. 12/01/2004
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 400 Cleveland Street, 7th Floor, Clearwater, FL 33755
(Principal office address)

400 Cleveland Street, 7th Floor, Clearwater, FL 33755
(Current mailing address)

8. Securities Broker-Dealer
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

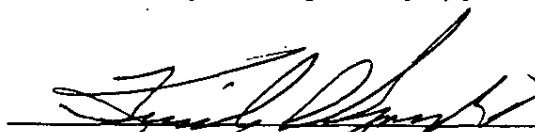
Name: Kimberly Springsteen

Office Address: 400 Cleveland Street, 7th Floor

Clearwater, Florida 33755
(City) (Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

FILED
2007 JAN 25 PM 4:28
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Kimberly A. Springsteen

Address: 400 Cleveland Street, 7th Floor
Clearwater, FL 33755

Vice Chairman: Henry J. Abbott

Address: 1350 15th Street
Fort Lee, NJ 07024

Director: Katrina M. Mason

Address: 400 Cleveland Street, 7th Floor
Clearwater, FL 33755

Director: _____

Address: _____

B. OFFICERS

President: Katrina M. Mason

Address: 400 Cleveland Street, 7th Floor
Clearwater, FL 33755

Vice President: Henry J. Abbott

Address: 1350 15th Street
Fort Lee, NJ 07024

Secretary: Kimberly A. Springsteen

Address: 400 Cleveland Street, 7th Floor, Clearwater, FL 33755

Treasurer: Kimberly A. Springsteen

Address: 400 Cleveland Street, 7th Floor, Clearwater, FL 33755

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
(Signature of Director or Officer listed in number 12 of the application)

14. Kimberly A. Springsteen
(Typed or printed name and capacity of person signing application)

COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

DECEMBER 6, 2006

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

COMMONWEALTH CAPITAL SECURITIES CORP.

**is duly incorporated under the laws of the Commonwealth of Pennsylvania and
remains a subsisting corporation so far as the records of this office show, as of
the date herein.**



**IN TESTIMONY WHEREOF, I have
hereunto set my hand and caused
the Seal of the Secretary's Office to
be affixed, the day and year above
written.**

Pedro A. Cortes

Secretary of the Commonwealth