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(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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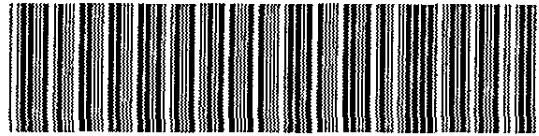
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Robert B. Ausdal & Co. Inc.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Ltd.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Iowa

(State or country under the law of which it is incorporated)

3. 42-1129623

(FEI number, if applicable)

4. 08/13/1979

(Date of incorporation)

5. perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6.

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 220 N. Main St. Suite 400; Davenport, IA 52801

(Principal office address)

220 N. Main St. Suite 400; Davenport, IA 52801

(Current mailing address)

8. Sell Securities products

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name:

David Bankston

Office Address:

260 CRYSTAL GROVE BLVD

Lutz

(City)

, Florida

33548

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.



(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: Robert B. Ausdal Jr.

Address: 220 N. Main St. Suite 400
Davenport, IA 52801

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: Robert B. Ausdal Jr.

Address: 220 N. Main St. Suite 400
Davenport, IA 52801

Vice President: _____

Address: _____

Secretary: Monica Ausdal

Address: 220 N. Main St. Suite 400

Treasurer: Robert B. Ausdal Jr.

Address: 220 N. Main St. Suite 400

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Director or Officer listed in number 12 of the application)

14. Robert B. Ausdal Jr., President

(Typed or printed name and capacity of person signing application)

IOWA

Date: 11/20/2006

SECRETARY OF STATE

490 DP-000002976

ROBERT B. AUDAL & CO., INC.

ROBERT B AUDAL & CO INC

R. AUDSEL -

220 N MAIN ST STE 400

DAVEPORT, IA 52801

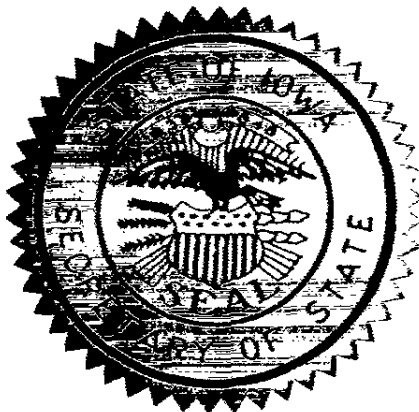
CERTIFICATE OF EXISTENCE

Name: ROBERT B. AUDAL & CO., INC.

Date of Incorporation: 08/13/1979

Duration: PERPETUAL

I, CHESTER J. CULVER, Secretary of State of the State of Iowa, custodian of the records of incorporations, certify that the corporation named on this certificate is in existence and was duly incorporated under the laws of Iowa on the date printed above, that all fees required by the Iowa Business Corporation Act have been paid by the corporation, that the most recent biennial corporate report has been filed by the Secretary of State, and that articles of dissolution have not been filed.



A handwritten signature in cursive script, reading "Chester J. Culver", is written over a horizontal line.

CHESTER J. CULVER SECRETARY OF STATE



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