

2012 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F06000007917

FILED
Apr 10, 2012
Secretary of State

Entity Name: FIRST ALLIED ADVISORY SERVICES, INC.

Current Principal Place of Business:

15455 CONWAY RD
CHESTERFIELD, MO 63017

New Principal Place of Business:

Current Mailing Address:

15455 CONWAY RD
CHESTERFIELD, MO 63017

New Mailing Address:

FEI Number: 20-4187012

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 323012525 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: PRES
Name: JUNKINS, CRAIG A
Address: 15455 CONWAY RD
City-St-Zip: CHESTERFIELD, MO 63017

Title: SEC
Name: RODERMUND, ROBIN
Address: 15455 CONWAY RD
City-St-Zip: CHESTERFIELD, MO 63017

Title: TREA
Name: DOZA, JANICE
Address: 15455 CONWAY RD
City-St-Zip: CHESTERFIELD, MO 63017

Title: DIR
Name: GLASER, GREGG
Address: 655 W. BROADWAY, 11TH FLOOR
City-St-Zip: SAN DIEGO, CA 92101

Title: DIR
Name: ANTONIADES, ADAM
Address: 655 W. BROADWAY, 11TH FLOOR
City-St-Zip: SAN DIEGO, CA 92101

Title: DIR
Name: MOSES, ROBERT
Address: 565 FIFTH AVENUE, 20TH FLOOR
City-St-Zip: NEW YORK, NY 10017

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: JANICE DOZA

CFO

04/10/2012

Electronic Signature of Signing Officer or Director

Date