

2011 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F06000007425

FILED
Apr 29, 2011
Secretary of State

Entity Name: ALPS ADVISERS, INC.

Current Principal Place of Business:

1290 BROADWAY
SUITE 1100
DENVER, CO 80203

New Principal Place of Business:

Current Mailing Address:

P.O. BOX 328
DENVER, CO 80201

New Mailing Address:

FEI Number: 84-1583423

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION, FL 33324 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: D
Name: BURKE, EDMUND J
Address: 1290 BROADWAY, SUITE 1100
City-St-Zip: DENVER, CO 80203

Title: DP
Name: CARTER, THOMAS
Address: 1290 BROADWAY, SUITE 1100
City-St-Zip: DENVER, CO 80203

Title: D
Name: MAY, JEREMY O
Address: 1290 BROADWAY, SUITE 1100
City-St-Zip: DENVER, CO 80203

Title: S
Name: TYLER, TANE T
Address: 1290 BROADWAY, SUITE 1100
City-St-Zip: DENVER, CO 80203

Title: T
Name: ADAMS, DIANA M
Address: 1290 BROADWAY, SUITE 1100
City-St-Zip: DENVER, CO 80203

Title: D
Name: SPENCER, HOFFMAN P
Address: 1290 BROADWAY, SUITE 1100
City-St-Zip: DENVER, CO 80203

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: TANE T. TYLER

S

04/29/2011

Electronic Signature of Signing Officer or Director

Date