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Florida Department of State  
Division of Corporations  
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To:

Division of Corporations  
Fax Number : (850) 205-0381

From:

Account Name : CORPORATION SERVICE COMPANY  
Account Number : I20000000195  
Phone : (850) 521-1000  
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**FOREIGN PROFIT/NONPROFIT CORPORATION**

**HANOVER CAPITAL SECURITIES, INC.**

Certificate of Status	0
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*Ananda Haddan 2/28/05*

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06 NOV 28 PM 1:12

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDAIN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA

## 1. HANOVER CAPITAL SECURITIES, INC.

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

## 2. New York

(State or country under the law of which it is incorporated)

3. 13-3528021

(FEI number, if applicable)

## 4. July 18, 1989

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

## 6.

(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

## 7. 200 Metroplex Drive, Suite 100, Edison, NJ 08817

(Principal office address)

200 Metroplex Drive, Suite 100, Edison, NJ 08817

(Current mailing address)

## 8. To engage in any lawful act or activity for which corporations may be organized under the business corporation law.

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

## 9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company

Office Address: 1201 Hays Street

Tallahassee

(City)

, Florida 32301

(Zip code)

## 10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place  
designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I  
further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties,  
and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company

By:

Jacqueline M. Cullen

(Registered agent's signature)

Jacqueline M. Cullen, Asst. Vice President

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to  
the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction  
under the law of which it is incorporated.

H06000283055 3

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06 NOV 28 PM 1:12

## 12. Names and business addresses of officers and/or directors:

## A. DIRECTORS

Chairman: See Attachment

Address: \_\_\_\_\_

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

## B. OFFICERS

President: See Attachment

Address: \_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Director or Officer listed in number 12 of the application)

14. Matthew Hahn VP- Controller

(Typed or printed name and capacity of person signing application)

NOV. 28. 2006 10:36AM

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NO. 28860CP. 483055 3

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06 NOV 28 PM 1:12

**HANOVER CAPITAL SECURITIES, INC.**

**Director/Officer Attachment**

**Directors**

John A. Burchett  
200 Metroplex Drive  
Suite 100  
Edison, NJ 08817

Irma N. Tavares  
200 Metroplex Drive  
Suite 100  
Edison, NJ 08817

Joyce S. Mizeruk  
200 Metroplex Drive  
Suite 102  
Edison, NJ 08817

George J. Ostendorf  
208 South LaSalle Street  
Suite 1331  
Chicago, IL 60604

**Officers**

John A. Burchett  
200 Metroplex Drive  
Suite 100  
Edison, NJ 08817

President and Treasurer

Irma N. Tavares  
200 Metroplex Drive  
Suite 100  
Edison, NJ 08817

Senior Vice President

Joyce S. Mizerak  
200 Metroplex Drive  
Suite 102  
Edison, NJ 08817

Senior Vice President and Secretary

H06000283055 3

NOV. 28. 2006 10:36AM

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NO. 288 P. 5  
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06 NOV 28 PM 1:12

George J. Ostendorf  
208 South LaSalle Street  
Suite 1331  
Chicago, IL 60606

Senior Vice President

James C. Strickler  
1 Exchange Plaza  
55 Broadway, Suite 3002  
New York, NY 10006

Vice President

Harold F. McElraft  
200 Metroplex Drive  
Suite 100  
Edison, NJ 08817

Senior Vice President

Matthew W. Han  
200 Metroplex Drive  
Suite 100  
Edison, NJ 08817

Controller and Assistant Secretary

H06000283055 3

NOV. 28. 2006 10:36AM

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NO. 288000P. 6,3055 3

06 NOV 28 PM 1:12

**State of New York  
Department of State } ss:**

I hereby certify, that the Certificate of Incorporation of HANOVER CAPITAL SECURITIES, INC. was filed on 07/18/1989, with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.



\*\*\*

*Witness my hand and the official seal  
of the Department of State at the City  
of Albany, this 27th day of November  
two thousand and six.*

Daniel Shapiro  
Special Deputy Secretary of State

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