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(Address)

(Address)

(City/State/Zip/Phone #)

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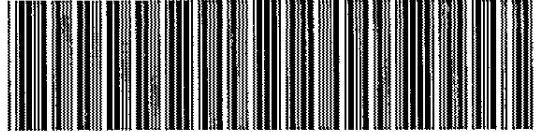
(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

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06 AUG 28 AM 10:27 06 AUG 28 AM 10:49

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

D. WHITE AUG 29 2006



CORPORATION SERVICE COMPANY

ACCOUNT NO. : 072100000032

REFERENCE : 334271 4321430

AUTHORIZATION : *[Handwritten signature]*

COST LIMIT : \$ 70.00

ORDER DATE : August 24, 2006

ORDER TIME : 9:15 AM

ORDER NO. : 334271-005

CUSTOMER NO: 4321430

FOREIGN FILINGS

NAME: THINKORSWIM ADVISORS, INC.

XXXX QUALIFICATION (TYPE: CO)

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

 CERTIFIED COPY
XX PLAIN STAMPED COPY
 CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Matthew Young -- EXT# 2962

EXAMINER: _____

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. thinkorswim Advisors, Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Illinois 3. 36-4016482
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. May 1, 1995 5. perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. upon registration
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 600 W. Chicago Ave., Suite 100, Chicago, IL 60610
(Principal office address)

600 W. Chicago Ave., Suite 100, Chicago, IL 60610
(Current mailing address)

8. investment adviser, financial planner and/or financial consultant including all activities related or ancillary thereto.
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: Corporation Service Company
Office Address: 1201 Hays Street
Tallahassee, Florida 32301
(City) (Zip code)

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10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporation Service Company

By: Pamela J. Ball
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: See Attachment

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: See Attachment

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____

(Signature of Director or Officer listed in number 12 of the application)

14. _____

(Typed or printed name and capacity of person signing application)

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TALLAHASSEE, FLORIDA

thinkorswim Advisors, Inc.

**Attachment to
Application by Foreign Corporation for
Authorization to Transact Business in Florida**

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TALLAHASSEE, FLORIDA

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Tom Sosnoff, 600 West Chicago Avenue, Suite 100, Chicago, IL 60610

Scott Sheridan, 600 West Chicago Avenue, Suite 100, Chicago, IL 60610

Joseph J. Whiteside, 119 Sebago Lake Drive, Sewickley, PA 15143

B. OFFICERS

President and Treasurer - Tom Sosnoff, 600 West Chicago Avenue, Suite 100, Chicago, IL 60610

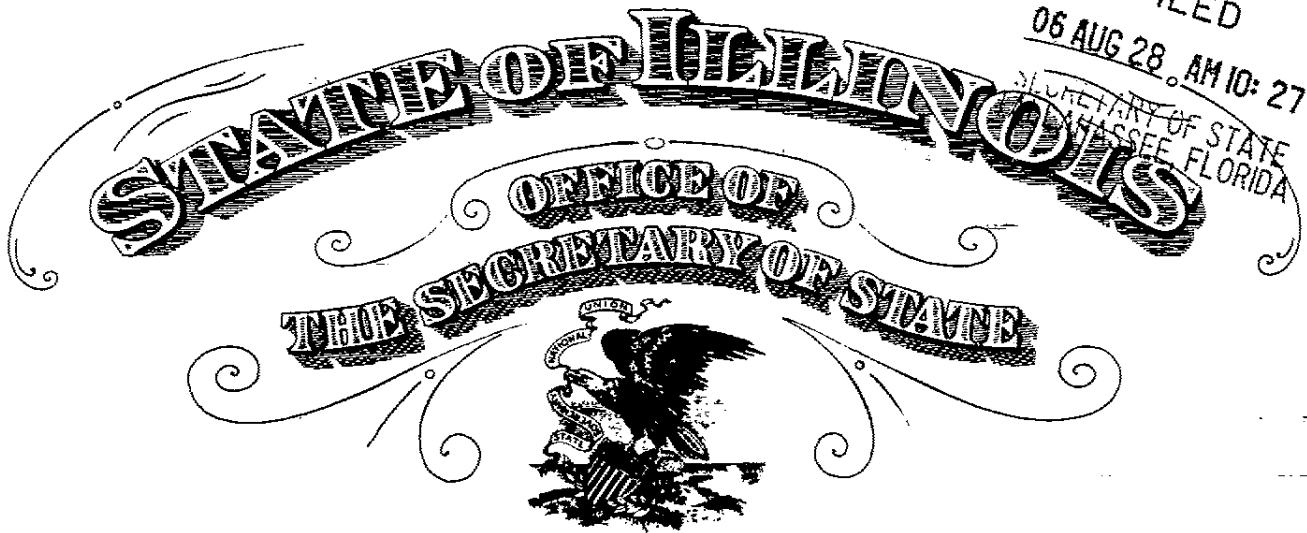
Chief Executive Officer - Robert Stapleton, 36800 Woodward Avenue, Suite 102,
Bloomfield Hills, MI 48304

Executive Vice President and Secretary - Scott Sheridan, 600 West Chicago Avenue, Suite 100,
Chicago, IL 60610

Chief Operating Officer - Joel Blom, 600 West Chicago Avenue, Suite 100, Chicago, IL 60610

File Number

5831-867-1



To all to whom these Presents Shall Come, Greeting:

I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that

THINKORSWIM ADVISORS, INC., A DOMESTIC CORPORATION, INCORPORATED UNDER THE LAWS OF THIS STATE MAY 1, 1995, APPEARS TO HAVE COMPLIED WITH ALL THE PROVISIONS OF THE BUSINESS CORPORATION ACT OF THIS STATE RELATING TO THE FILING OF ANNUAL REPORTS AND PAYMENT OF FRANCHISE TAXES, AND AS OF THIS DATE, IS IN GOOD STANDING AS A DOMESTIC CORPORATION IN THE STATE OF ILLINOIS*****



In Testimony Whereof, I hereto set my hand and cause to be affixed the Great Seal of the State of Illinois, this

day of AUGUST A.D. 25TH 2006

Jesse White

SECRETARY OF STATE