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TALLAHASSEE, FLORIDA

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FOREIGN PROFIT/NONPROFIT CORPORATION

Phoenix Equity Planning Corporation

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Help

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

- Phoenix Equity Planning Corporation
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Ltd.," "Co.," or "Corp.")
- Connecticut
(State or country under the law of which it is incorporated)
- 06-0847856
(FBI number, if applicable)
- July 16, 1968
(Date of incorporation)
- Perpetual
(Duration: Year corp. will cease to exist or "perpetual")
- Upon filing
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, P.S., to determine penalty liability)
- 56 Prospect Street, Hartford, CT 06115
(Principal office address)
56 Prospect Street, Hartford, CT 06115
(Current mailing address)
- To carry out the business of a corporation organized under the Stock Corporation Act of the State of Connecticut.
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
- Name and street address of Florida registered agent: (P.O. Box NOT acceptable)
Name: C T Corporation System
Office Address: 1200 South Pine Island Road
Plantation, Florida 33324
(City) (Zip code)
- Registered agent's acceptance:
Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.
By: *[Signature]*
CT Corporation System
(Registered agent's signature)
SHEILA ARMENTA-GRAY
SPECIAL ASSISTANT SECRETARY
- Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.
- Names and business addresses of officers and/or directors:

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

A. DIRECTORS

Chairman: Daniel T. Gasci

Address: 56 Prospect Street

Hartford, CT 06115

~~Vice Chairman:~~ Director - George R. Aylward, Jr.

Address: 56 Prospect Street

Hartford, CT 06115

Director: Michael E. Hayton

Address: One American Row

Hartford, CT 06102-5036

Director: James D. Wehr

Address: 56 Prospect Street

Hartford, CT 06115

B. OFFICERS

President: Please see the attached listing of officers.

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____

(Signature of Director or Officer listed in number 12 of the application)

14. John H. Beers, Vice President and Secretary

(Typed or printed name and capacity of person signing application)

**Phoenix Equity Planning Corporation
Officer Listing**

Name	Title	Address
George R. Aylward, Jr.	Executive Vice President	56 Prospect Street Hartford, CT 06115
John H. Beers	Vice President and Secretary	One American Row Hartford, CT 06102-5056
Donald E. Berryman	Vice President	15218 Swiss Lane Truckee, CA 96161
Kevin J. Carr	Vice President and Assistant Secretary	One American Row Hartford, CT 06102-5056
Nancy G. Curtiss	Assistant Treasurer	56 Prospect Street Hartford, CT 06115
Gerald S. Fawthrop	Second Vice President and Assistant Treasurer	One American Row Hartford, CT 06102-5056
Paulo Ferreira	Vice President	56 Prospect Street Hartford, CT 06115
John R. Flores	Vice President and Anti-Money Laundering Officer	One American Row Hartford, CT 06102-5056
Michael S. Frank	Vice President	56 Prospect Street Hartford, CT 06115
Daniel T. Geraci	Chairman of the Board and President	56 Prospect Street Hartford, CT 06115
Doug Glidden	Vice President	56 Prospect Street Hartford, CT 06115
Michael E. Gohlke	Vice President	56 Prospect Street Hartford, CT 06115
Heidi C. Grinwald	Assistant Vice President	56 Prospect Street Hartford, CT 06115
David S. Lampe	Vice President	56 Prospect Street Hartford, CT 06115
Daniel J. Lodge	Assistant Vice President	56 Prospect Street Hartford, CT 06115
David C. Martin	Vice President and Chief Compliance Officer	One American Row Hartford, CT 06102-5056
John L. McCormack	Vice President	56 Prospect Street Hartford, CT 06115
Patricia A. Menard	Assistant Vice President	56 Prospect Street Hartford, CT 06115
James C. Mikas	Vice President	56 Prospect Street Hartford, CT 06115
Diana L. Mullen	Vice President	56 Prospect Street Hartford, CT 06115
James J. Nolan	Second Vice President and Assistant Treasurer	One American Row Hartford, CT 06102-5056
Gregory B. Norris	Vice President	56 Prospect Street Hartford, CT 06115
Thomas P. O'Donnell	Assistant Vice President	56 Prospect Street Hartford, CT 06115

Name	Title	Address
Glenn H. Pease	Vice President, Finance and Treasurer	56 Prospect Street Hartford, CT 06115
Bruce D. Pomper	Vice President	56 Prospect Street Hartford, CT 06115
Jacqueline M. Porter	Assistant Vice President	56 Prospect Street Hartford, CT 06115
Matthew Schleichkom	Vice President	56 Prospect Street Hartford, CT 06115
Larry D. Stone	Vice President	56 Prospect Street Hartford, CT 06115
Elizabeth C. Vilece	Vice President	56 Prospect Street Hartford, CT 06115
David Wadley	Vice President	56 Prospect Street Hartford, CT 06115
Francis G. Waltman	Senior Vice President	56 Prospect Street Hartford, CT 06115

Office of the Secretary of the State of Connecticut

I, the Connecticut Secretary of the State, and keeper of the seal thereof,
DO HEREBY CERTIFY, that the certificate of incorporation of

PHOENIX EQUITY PLANNING CORPORATION

a domestic STOCK corporation, was filed in this office on July 16, 1968.

A certificate of dissolution has not been filed, the corporation has filed all annual reports, and so far as indicated by the records of this office such corporation is in existence.



Secretary of the State

Date Issued: August 21, 2006

Business ID: 0036560

Standard

Certificate Number: 2006198663001

Note: To verify this certificate, visit the web site <http://www.concord.sos.ct.gov>