

Division of Corporations

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## From:

Account Name : CORPORATE CREATIONS INTERNATIONAL INC.  
Account Number : 110432003053  
Phone : (305)672-0686  
Fax Number : (305)672-9110FILED  
2006 JUN 29 AM 11:13  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

## FOREIGN PROFIT/NONPROFIT CORPORATION

CU Investment Solutions, Inc.

Certificate of Status	1
Certified Copy	0
Page Count	05
Estimated Charge	\$78.75

Electronic Filing Menu

Corporate Filing Menu

Help

H06000169799 3

# APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. CU Investment Solutions, Inc.  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION," "Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")  
  
(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
2. Kansas  
(State or country under the law of which it is incorporated)
3. 48-1061836  
(FEI number, if applicable)
4. 12/21/1998  
(Date of incorporation)
5. Perpetual  
(Duration: Year corp. will cease to exist or "perpetual")
6. \_\_\_\_\_  
(Date first transacted business in Florida, if prior to registration)  
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. 9701 Renner Boulevard, Suite 350, Lenexa, KS 66219  
(Principal office address)  
  
9701 Renner Boulevard, Suite 350, Lenexa, KS 66219  
(Current mailing address)
8. Registered broker-dealer offering investment advisory services & customized investment products to corporate credit union & .  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)  
Name: Corporate Creations Network Inc.  
Office Address: 11380 Prosperity Farms Road, Suite 221E  
Palm Beach Gardens, Florida 33410  
(City) (Zip code)

## 10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

Corporate Creations Network, Inc.

By: Jessio Vella, Vice President

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

H06000169799 3

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2006 JUN 29 A 11:03

H06000169799 3

**A. DIRECTORS**Chairman: Francis LeeAddress: 9701 Renner Boulevard, Suite 350, Lenexa, KS 66219Vice Chairman: David A. DickensAddress: 9701 Renner Boulevard, Suite 350, Lenexa, KS 66219Director: Kathryn E. BrickAddress: 9701 Renner Boulevard, Suite 350, Lenexa, KS 66219Director: Michael T. DohertyAddress: 9701 Renner Boulevard, Suite 350, Lenexa, KS 66219Director: Brad Miller Address: 9701 Renner Boulevard, Suite 350, Lenexa, KS 66219**B. OFFICERS**COO & President: Michael T. DohertyAddress: 9701 Renner Boulevard, Suite 350, Lenexa, KS 66219

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Managing Director, Client Strategies & Secretary: Brad MillerAddress: 9701 Renner Boulevard, Suite 350, Lenexa, KS 66219Treasurer: Kathryn E. BrickAddress: 9701 Renner Boulevard, Suite 350, Lenexa, KS 66219

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Michael T. Doherty  
(Signature of Director or Officer listed in number 12 of the application)14. Michael T. Doherty, Chief Operating Officer  
(Typed or printed name and capacity of person signing application)

H06000169799 3

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**STATE OF KANSAS  
OFFICE OF  
SECRETARY OF STATE  
RON THORNBURGH**

*To all to whom these presents shall come, Greetings:*

I, RON THORNBURGH, Secretary of State of the state of Kansas,  
do hereby certify that, according to the records of this office,

**CU INVESTMENT SOLUTIONS, INC.  
KANSAS FOR PROFIT CORPORATION  
Business Entity ID Number: 1665306**

was filed in this office on December 21, 1988 and has complied with the  
applicable provisions of the laws of the State of Kansas and on this date is in  
good standing and authorized to transact business or to conduct its affairs  
within this state.

Dated: 06/29/2006

**For Validation:**

Certificate ID: 49678

To validate this certificate, visit the following  
web site, enter this certificate ID, then follow  
the instructions displayed.

<https://www.accesskansas.org/businessentity/validate.html>



Signed:

RON THORNBURGH  
SECRETARY OF STATE

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SECRETARY OF STATE  
OFFICE

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H06000169799 3

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H06000169799 3

Page 1 of 1

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**Kansas Online  
Business Entity Search**

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A Certificate of Good Standing was Issued by the Kansas Secretary of State bearing Certificate ID **49678** on **June 29, 2006**. This Certificate pertains to the business entity **CU INVESTMENT SOLUTIONS, INC.**, which is a(n) **KANSAS FOR PROFIT CORPORATION**. This business has an incorporation date of **December 21, 1988** and is identified by business entity File # **1665306**. The information for this certificate was based on **June 29, 2006**.

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