

FO6000001448

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP ☐ WAIT ☐ MAIL

(Business Entity Name)

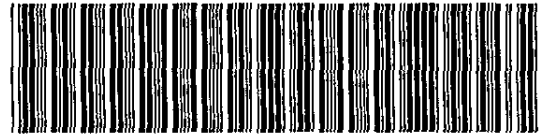
(Document Number)

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FILED  
06 MAR -7 AM 8:50  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

06 MAR -7 PM 1:39  
SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. **Cabrera Capital Markets, Inc.**

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. **Illinois**

(State or country under the law of which it is incorporated)

3. **39-1384463**

(FEI number, if applicable)

4. **04-24-03**

(Date of incorporation)

5. **Perpetual**

(Duration: Year corp. will cease to exist or "perpetual")

6. **02-01-06**

(Date first transacted business in Florida, if prior to registration)

(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. **10 South LaSalle Street, Suite 1050, Chicago, IL 60603-1040**

(Principal office address)

**10 South LaSalle Street, Suite 1050, Chicago, IL 60603-1040**

(Current mailing address)

8. **Full service investment firm.**

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: **Cathryn Gallery**

Office Address: **79 South Palm Avenue**

**Sarasota**

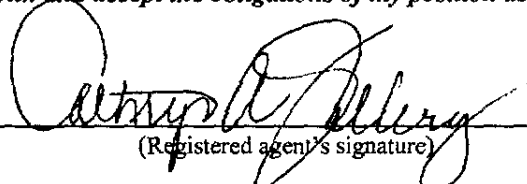
(City)

, Florida **34236**

(Zip code)

10. **Registered agent's acceptance:**

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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12. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: Martin Cabrera, Jr.

Address: 10 South LaSalle Street, Suite 1050  
Chicago, IL 60603-1040

Vice Chairman: Robert Libertini

Address: 10 South LaSalle Street, Suite 1050  
Chicago, IL 60603-1040

Director: Rose Mary Gonzales

Address: 10 South LaSalle Street, Suite 1050  
Chicago, IL 60603-1040

Director: \_\_\_\_\_

Address: \_\_\_\_\_

**B. OFFICERS**

President: Martin Cabrera, Jr.

Address: 10 South LaSalle Street, Suite 1050  
Chicago, IL 60603-1040

Vice President: Robert Libertini

Address: 10 South LaSalle Street, Suite 1050  
Chicago, IL 60603-1040

Secretary: Rose Mary Gonzales

Address: 10 South LaSalle Street, Suite 1050, Chicago, IL 60603-1040

<sup>COO</sup> Treasurer: Robert Aguilar

Address: 10 South LaSalle Street, Suite 1050, Chicago, IL 60603-1040

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. \_\_\_\_\_

(Signature of Director or Officer listed in number 12 of the application)

14. Robert Aguilar, Chief Operating Officer

(Typed or printed name and capacity of person signing application)

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TALLAHASSEE, FLORIDA

## COVER LETTER

**TO:** New Filing Section  
Division of Corporations

**SUBJECT:** Cabrera Capital Markets, Inc.

(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Robert Aguilar

(Name of Person)

Cabrera Capital Markets, Inc.

(Firm/Company)

10 South LaSalle Street, Suite 1050

(Address)

Chicago, IL 60603-1040

(City/State and Zip code)

For further information concerning this matter, please call:

Robert Aguilar

(Name of Person)

at ( 312 ) 236-8888

(Area Code & Daytime Telephone Number)

**STREET/COURIER ADDRESS:**

New Filing Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**MAILING ADDRESS:**

New Filing Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☒ \$78.75 Filing Fee &  
Certificate of Status

☐ \$78.75 Filing Fee &  
Certified Copy

☐ \$87.50 Filing Fee,  
Certificate of Status &  
Certified Copy

File Number

6285-167-8



*To all to whom these Presents Shall Come, Greeting:*

*I, Jesse White, Secretary of State of the State of Illinois, do*

*hereby certify that*

CABRERA CAPITAL MARKETS, INC., A DOMESTIC CORPORATION, INCORPORATED UNDER THE LAWS OF THIS STATE APRIL 24, 2003, APPEARS TO HAVE COMPLIED WITH ALL THE PROVISIONS OF THE BUSINESS CORPORATION ACT OF THIS STATE RELATING TO THE FILING OF ANNUAL REPORTS AND PAYMENT OF FRANCHISE TAXES, AND AS OF THIS DATE, IS IN GOOD STANDING AS A DOMESTIC CORPORATION IN THE STATE OF ILLINOIS\*\*\*\*\*



*In Testimony Whereof, I hereto set  
my hand and cause to be affixed the Great Seal of  
the State of Illinois, this*  
day of MARCH A.D. 2006

*Jesse White*

SECRETARY OF STATE