

FD6000000281

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

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☐ MAIL

(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

Special Instructions to Filing Officer:

Office Use Only



900061323789

11/15/05--01008--004 **70.00

01/18/06--01012--004 **2050.00

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2006 JAN 17 AM 11:13

U.S. DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
WASHINGTON, D.C. 20535

FD6-281
JL



FLORIDA DEPARTMENT OF STATE

Glenda E. Hood
Secretary of State

November 18, 2005

SHANNON LENZ
1 PARKVIEW PLAZA, 3RD FLOOR
OAKBROOK TERRACE, IL 60181

SUBJECT: VAN KAMPEN ADVISORS INC.
Ref. Number: W05000051709

We have received your document for VAN KAMPEN ADVISORS INC. and your check(s) totaling \$70.00. However, the document has not been filed and is being retained in this office for the following:

Pursuant to section 607.1502(4), 617.1502(4) or 608.502(4), Florida Statutes, this office collects a civil penalty of \$1000 for each year this entity transacted business or conducted its affairs in Florida prior to qualification and the appropriate annual report/uniform business report fees that would have been due this office had the entity qualified the year it began operations in this state. The amount due this office to cover both annual report/uniform business report and penalty fees is \$8,050.00.

Please return your document, along with a copy of this letter, within 60 days of your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6020.

Tammi Cline
Document Specialist

Letter Number: 805A00068349

SECRET
NO DISSEMINATION
WITHOUT PERMISSION
OF THE SECRETARY OF STATE

2005 JAN 17 AM 11:13

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Van Kampen Investments Inc.
1 Parkview Plaza, P.O. Box 5555
Oakbrook Terrace, IL 60181-5555

January 12, 2006

Via Overnight Mail

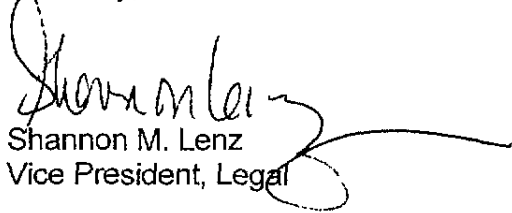
Division of Corporations
Registration Section
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

Re: Van Kampen Advisors Inc.

Enclosed please find penalty fees in the amount of \$8,050.00 for the Application by Foreign Corporation for Authorization to Transact Business in Florida for Van Kampen Advisors Inc., along with a copy of the letter sent to my attention from your offices on November 18, 2005. Your office has retained the original application and \$70.00 check for filing which had been sent to your offices on November 11, 2005. Please process the application at this time.

If you have any questions, or require additional information, please call me at 630-684-6356. Thank you for your assistance with this matter.

Sincerely,


Shannon M. Lenz
Vice President, Legal

Encl.

RECEIVED
JAN 17 AM 11:13
DIVISION OF CORPORATIONS
REGISTRATION SECTION

COVER LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Van Kampen Advisors Inc.
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Shannon Lenz

(Name of Person)

Van Kampen Investments Inc.

(Firm/Company)

1 Parkview Plaza, 3rd Floor

(Address)

Oakbrook Terrace, IL 60181

(City/State and Zip code)

For further information concerning this matter, please call:

Shannon Lenz

(Name of Person)

at (630) 684-6356

(Area Code & Daytime Telephone Number)

STREET/COURIER ADDRESS:

Registration Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☒ \$70.00 Filing Fee ☐ \$78.75 Filing Fee & Certificate of Status ☐ \$78.75 Filing Fee & Certified Copy ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy

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TALLAHASSEE, FL 32301
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**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. Van Kampen Advisors Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Delaware 3. 74-1816427
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. October 3, 1974 5. Perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. May 1, 1998
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 1221 Avenue of the Americas, New York, NY 10020
(Principal office address)

1221 Avenue of the Americas, New York, NY 10020
(Current mailing address)

8. Investment Adviser
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

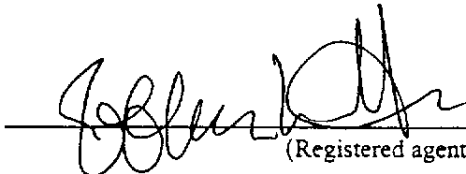
Name: CT Corporation System

Office Address: 1200 South Pine Island Road

Plantation, Florida 33324
(City) (Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.


(Registered agent's signature)

Jeffrey R. Graves
Assistant Secretary

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: _____

Address: _____

Vice Chairman: _____

Address: _____

Director: Joseph J. McAlinden

Address: 1221 Avenue of the Americas

New York, NY 10020

Director: Edward C. Wood, III

Address: 1 Parkview Plaza

Oakbrook Terrace, IL 60181

B. OFFICERS --See Attached

President: _____

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Director or Officer listed in number 12 of the application)

14. Edward C. Wood, III, Director

(Typed or printed name and capacity of person signing application)

FILED
2005 JUN 17 AM 11:13
ST. LOUIS, MO
FBI - MISSOURI

Business Entity Information Sheet (Summary)

As Of 11/01/2005

Name Van Kampen Advisors Inc.

Current Officers

Name and Address	Type	Date Appointed
Owen D. Thomas 1251 Avenue of the Americas, New York, NY, 10020	Acting President	09/20/2005
Joseph McAlinden 1221 Avenue of the Americas, New York, NY, 10020, United States	Chief Investment Officer	12/01/2002
Joseph McAlinden 1221 Avenue of the Americas, New York, NY, 10020, United States	Managing Director	07/24/2001
Edward Charles Wood, III 1 Parkview Plaza, Oakbrook Terrace, DuPage, IL, 60181, United States	Chief Administrative Officer	12/01/2002
Edward Charles Wood, III 1 Parkview Plaza, Oakbrook Terrace, DuPage, IL, 60181, United States	Managing Director	12/01/2003
Alexander C. Frank 1585 Broadway, New York, NY, 10036, United States	Treasurer	12/01/2002
Carsten Otto 1221 Avenue of the Americas, New York, NY, 10020, United States	Chief Compliance Officer	06/07/2004
Stefanie Chang Yu 1221 Avenue of the America, New York, NY, 10020, United States	Secretary	12/01/2002
B. Robert Baker 2800 Post Oak Blvd., Houston, Harris, TX, 77056, United States	Managing Director	12/01/2000
Barry Fink 1221 Avenue of the America, New York, NY, 10020, United States	Managing Director	12/01/2002
Colette M. Saucedo 2800 Post Oak Blvd., Houston, Harris, TX, 77056, United States	Managing Director	12/01/2000
Gary M. Lewis 2800 Post Oak Blvd., Houston, Harris, TX, 77056, United States	Managing Director	12/01/2000
Howard C. Tiffen 1 Parkview Plaza, Oakbrook Terrace, DuPage, IL, 60181, United States	Managing Director	12/01/2000
James A. Gilligan 2800 Post Oak Blvd., Houston, Harris, TX, 77056, United States	Managing Director	12/01/2000
Jason S. Leder	Managing Director	12/01/2004

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STONY BROOK
TALMAN SEC. FLOOR

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Business Entity Information Sheet (Summary)

As Of 11/01/2005

Name Van Kampen Advisors Inc.

Current Officers

Name and Address	Type	Date Appointed
2800 Post Oak Blvd., Houston, Harris, TX, 77056, United States		
John M. Cunniff	Managing Director	12/01/2000
1221 Avenue of the America, New York, NY, 10020, United States		
Kevin C. Holt	Managing Director	12/01/2004
2800 Post Oak Blvd., Houston, Harris, TX, 77056, United States		
Michael P. Kiley	Managing Director	03/11/2005
1221 Avenue of the Americas, 22nd Floor, New York, NY, 10020, United States		
Ms. Amy R. Doberman	Managing Director	07/19/2004
1221 Avenue of the Americas, New York, NY, 10020, United States		
Patrick Bannigan	Managing Director	02/17/2004
1221 Avenue of the America, New York, NY, 10020, United States		
Rajesh Gupta	Managing Director	12/01/2002
1221 Avenue of the America, New York, NY, 10020, United States		
Ronald E. Robison	Managing Director	06/18/2003
1221 Avenue of the America, New York, NY, 10020, United States		
Stephen L. Boyd	Managing Director	12/01/2000
2800 Post Oak Blvd., Houston, Harris, TX, 77056, United States		
Wayne D. Godlin	Managing Director	12/01/2003
40 Broad Street, Suite 915, Boston, MA, 02109, United States		
Kenneth Castiglia	Chief Financial Officer	12/01/2004
1221 Avenue of the Americas, New York, NY, 10020, United States		
Walter E. Rein	Executive Director	12/01/1997
1 Parkview Plaza, Oakbrook Terrace, DuPage, IL, 60181, United States		
Christina Donath Jamieson	Executive Director	12/01/2003
1 Parkview Plaza, Oakbrook Terrace, DuPage, IL, 60181, United States		
David W. Walker	Executive Director	12/01/2000
2800 Post Oak Blvd., Houston, Harris, TX, 77056, United States		
Dudley B. Brickhouse	Executive Director	12/01/2000

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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Business Entity Information Sheet (Summary)

As Of 11/01/2005

Name Van Kampen Advisors Inc.

Current Officers

Name and Address	Type	Date Appointed
2800 Post Oak Blvd., Houston, Harris, TX, 77056, United States		
James D. Phillips	Executive Director	12/01/2004
San Diego, CA, 92101, United States		
James O. Roeder	Executive Director	12/01/2003
James W. Garrett	Executive Director	01/21/2005
1221 Avenue of the Americas, New York, NY, 10020, United States		
Janet Willis Luby	Executive Director	12/01/2000
2800 Post Oak Blvd., Houston, Harris, TX, 77056, United States		
John R. Reynoldson	Executive Director	12/01/2000
1 Parkview Plaza, Oakbrook Terrace, DuPage, IL, 60181, United States		
Joseph C. Benedetti	Executive Director	08/23/2004
1221 Avenue of the America, New York, NY, 10020, United States		
Kim Spellman	Executive Director	07/08/2003
Harborside Financial Center, 34 Exchange Place, 7th Floor, Jersey City, Hudson, NJ, 07311, United States		
Lou Anne McInnis	Executive Director	09/24/2004
1221 Avenue of the Americas, New York, NY, 10020, United States		
Mary Jane Maly	Executive Director	12/01/2002
2800 Post Oak Blvd., Houston, Harris, TX, 77056, United States		
Mr Phillip G. Goff	Executive Director	06/08/2005
1 Parkview Plaza, Oakbrook Terrace, DuPage, IL, 60181, United States		
Stephen J. Figliozzi	Executive Director	03/11/2005
1221 Avenue of the Americas, New York, NY, 10020, United States		
Mary Jane Minier	First Vice President	12/01/1997
1 Parkview Plaza, Oakbrook Terrace, DuPage, IL, 60181, United States		
John Thomas Ruiz	Vice President	12/01/1997
28870 US HWY 19 North, Suite 300, Clearwater, FL, 34621, United States		
Joseph Andrew Piraro	Vice President	08/06/1997
1 Parkview Plaza, Oakbrook Terrace, DuPage, IL, 60181, United States		

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA

FILED



Business Entity Information Sheet (Summary)

As Of 11/01/2005

Name Van Kampen Advisors Inc.

Current Officers

Name and Address	Type	Date Appointed
Arthur E. Schloss 28870 US HWY 19 North, Suite 300, Clearwater, FL, 34621, United States	Vice President	09/21/1999
Barnet Sherman 40 Broad Street, Suite 915, Boston, MA, 02109, United States	Vice President	02/19/1999
Bennett MacDougal 1221 Avenue of the Americas, New York, NY, 10020, United States	Vice President	09/24/2004
Eric J. Marmoll 1 Parkview Plaza, Oakbrook Terrace, DuPage, IL, 60181, United States	Vice President	12/01/2001
Joanne Doldo 1221 Avenue of the Americas, New York, NY, 10020, United States	Vice President	09/24/2004
John Dougherty Harborside Financial Center, 34 Exchange Place, Jersey City, Hudson, NJ, 07311, United States	Vice President	07/08/2003
John Gerald Hayes 1 Parkview Plaza, Oakbrook Terrace, DuPage, IL, 60181, United States	Vice President	04/03/2000
Katherine Lamed Urevig 1 Parkview Plaza, P.O. Box 5555, Oakbrook Terrace, DuPage, IL, 60181, United States	Vice President	12/01/2002
Kimberly Costello 1221 Avenue of the Americas, New York, NY, 10020, United States	Vice President	09/24/2004
M. Amelia Murphy 1 Parkview Plaza, Oakbrook Terrace, DuPage, IL, 60181, United States	Vice President	05/17/1995
Micheline Cuccia 1221 Avenue of the America, New York, NY, 10020, United States	Vice President	10/17/2003
Mr Daniel Burton 1221 Avenue of the Americas, New York, NY, 10020, United States	Vice President	09/24/2004
Ms. Tara Farrelly 1221 Avenue of the Americas, New York, NY, 10020, United States	Vice President	09/24/2004
Elisa Renee Mitchell 1 Parkview Plaza, Oakbrook Terrace, DuPage, IL, 60181, United States	Vice President	12/01/2001

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SECRETARY OF STATE
TALLAHASSEE, FLORIDA



Business Entity Information Sheet (Summary)

As Of 11/01/2005

Name Van Kampen Advisors Inc.

Current Officers

Name and Address	Type	Date Appointed
Elizabeth Ann Nelson 1 Parkview Plaza, 9th Floor, Oakbrook Terrace, DuPage, IL, 60181, United States	Vice President	12/01/2001
David P. Robbins 1 Parkview Plaza, P.O. Box 5555, Oakbrook Terrace, DuPage, IL, 60181, United States	Assistant Vice President	03/11/1997
Mary Mullin 1221 Avenue of the America, New York, NY, 10020, United States	Assistant Secretary	12/01/2002
Dorothy Fox Harborside Financial Center, 34 Exchange Place, Jersey City, Hudson, NJ, 07311, United States	Officer	07/08/2003
Hossam Ayyoub Harborside Financial Center, 34 Exchange Place, Jersey City, Hudson, NJ, 07311, United States	Officer	07/08/2003
Jason Schmidt 1 Parkview Plaza, Oakbrook Terrace, DuPage, IL, 60181, United States	Officer	10/01/1997
Michael Goberdhan Harborside Financial Center, 34 Exchange Place, Jersey City, Hudson, NJ, 07311, United States	Officer	07/08/2003
Michael Stern Harborside Financial Center, 34 Exchange Place, Jersey City, Hudson, NJ, 07311, United States	Officer	07/08/2003
Thomas Todd Harborside Financial Center, 34 Exchange Place, 7th Floor, Jersey City, Hudson, NJ, 07311, United States	Officer	07/08/2003

2006 JAN 17 AM 11:13
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Delaware

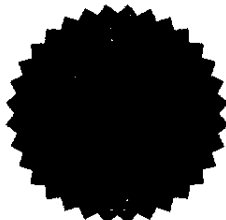
PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "VAN KAMPEN ADVISORS INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE EIGHTEENTH DAY OF OCTOBER, A.D. 2005.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State

0805926 8300

AUTHENTICATION: 4233817

050850994

DATE: 10-18-05