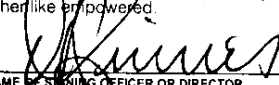


2007 NOT-FOR-PROFIT CORPORATION ANNUAL REPORT

FILED
Jun 15, 2007 8:00 am
Secretary of State

06-15-2007 90022 035 ****61.25

DOCUMENT # F05000006557 1. Entity Name AUBURN UNIVERSITY FOUNDATION, INC.					
Principal Place of Business 317 SOUTH COLLEGE STREET AUBURN, AL 36849			Mailing Address 317 SOUTH COLLEGE STREET AUBURN, AL 36849		
2. Principal Place of Business - No P.O. Box #		3. Mailing Address			
Suite, Apt. #, etc.		Suite, Apt. #, etc.			
City & State		City & State			
Zip	Country	Zip	Country		
6. Name and Address of Current Registered Agent C T CORPORATION SYSTEM 1200 SOUTH PINE ISLAND ROAD PLANTATION, FL 33324				7. Name and Address of New Registered Agent Name Street Address (P.O. Box Number is Not Acceptable) City FL Zip Code	
8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.					
SIGNATURE _____ Signature: typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE					
Filing Fee is \$61.25 Due by May 1, 2007		9. Election Campaign Financing Trust Fund Contribution. ☐		\$5.00 May Be Added to Fees	
Make check payable to Florida Department of State					
10. OFFICERS AND DIRECTORS			11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 10		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D ☐ Delete BRAY, BENNIE M 8411 PRESTON RD., STE. 800 DALLAS, TX 75225		TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition (See attached)	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D ☐ Delete BROWN, L. OWEN 101 PUESTA DEL SOL LOS GRATOS, CA 95032		TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D ☒ Delete CARTER, CLAUDE I PO BOX 339 MONTROSE, AL 36559		TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D ☐ Delete CASSADY, JAMES DANIEL 4000 CARMICHAEL RD., STE. 500 MONTGOMERY, AL 36106		TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D ☐ Delete COUSINS, ANN DRAUGHON 3920 E BROOKHAVEN DR. NE ATLANTA, GA 30319		TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D ☐ Delete HAYES, WILLIAM F 560 RED ROCK RD DURANGO, CO 81301		TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition	
12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 617, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.					
SIGNATURE: D. R. McGinnis  4/25/07 Date 334 / 844-3056 Daytime Phone #					

ATTACHMENT

40120866
F05000006557

Updated 12/08/06
*Preferred Mailing Address

AUBURN UNIVERSITY FOUNDATION BOARD OF DIRECTORS 2006-2007

NAME & ADDRESS

TERM EXPIRES

Mr. Bennie Michael Bray '72

Bray Company
8411 Preston Rd, Suite 800
Dallas, TX 75225
E-Mail: bbray@spglp.com

Treasurer
1st Term
2007
Investment Committee

Mr. L. Owen Brown '64

RES: 101 Puesta del Sol
Los Gatos, CA 95032
E-Mail: owen@obent.com

1st Term
2008
Development Committee
Directorship Committee
AU Real Estate Foundation

Mr. James Daniel Cassady '75

Vice President-Investments
*Wachovia Securities, LLC
AL 1108
2895 Eastern Boulevard
Montgomery, AL 36116
RES: 126 Arrowhead Drive
Montgomery, AL 36117
E-Mail: danny.cassady@wachovia.com

2nd Term
2007
Adm./Fin. Committee

Mr. Thomas Franklin Clement '66

President
Clement Contracting Group, Inc.
913 S Perry Street
Montgomery, AL 36104-5021
RES: 3502 Bankhead Ave
Montgomery, AL 36111-2018
E-Mail: tfc@clement-inc.com

1st Term
2010
AU Real Estate Foundation

Dr. Ann Draughon Cousins '54

Nonami Enterprises, Inc.
Atlanta, Georgia 30308-2265
*RES: 3920 Brookhaven Drive, NE
Atlanta, Georgia 30319-2808
E-Mail: mistipec@bellsouth.net

2nd Term
2008
Development Committee
Directorship Committee

Mr. William F. 'Hank' Hayes '65

11563 Fountainbridge Drive
Frisco, Texas 75035
E-Mail: hayes@trilliamllc.com

2nd Term
2008
Investment Committee, Chair
Executive Committee

ATTACHMENT

40120866

F05000006557

2

Mr. William T. Heard, Jr., '56

*Bill Heard Enterprises
200 Brookstone Center Parkway
Suite 205
P. O. Box 6749
Columbus, GA 31917
RES: 1405 Big Eddy Court
Columbus, GA 31904
E-Mail: kstewart@billheard.com

2nd Term
2010
Adm./Fin. Committee
Audit Committee
AU Real Estate Foundation

Dr. Charles D. Hudson '50

*Hutchinson-Traylor Insurance Agency
P.O. Box 1148 (200 Broad Street)
LaGrange, GA 30241-1148/30240
RES: 407 Country Club Road.
LaGrange, GA 30240
E-Mail: cdh@hutchinsontraylor.com

President
2nd Term
2007
Executive Committee, Chair

Mr. L. Phillip Humann, Jr. '67

President, CEO, Chairman
*Sun Trust Banks, Inc.
303 Peachtree Street, 30th Floor
Atlanta, Georgia 30308-3201
RES: 721 West Wesley Road NW
Atlanta, Georgia 30327-1279
E-Mail: phil.humann@suntrust.com

2nd Term
2008
Adm./Fin. Committee, Chair
Executive Committee

Mr. James (Ralph) Jordan, Jr. '70

Tennessee Valley Authority
400 West Summit Hill Drive
P.O. Box 1589
Knoxville, TN 37902
RES: 15E W Norris Road
P.O. Box 605
Norris, Tennessee 37828
E-Mail: jjordan@bellsouth.net

Ex-Officio/Non-Voting

Dr. Don Logan '66

3301 Dell Road
Birmingham, AL 35223-1319
E-Mail: don.logan@timewarner.com

1st Term
2010
Directorship Committee

Mr. Joe T. McMillan '58

10810 Pine Bayou Street
Houston, TX 77024-3018
E-Mail: jtmcmil4@aol.com

Vice-President
1st Term
2007
Development Committee, Chair
Executive Committee

Mr. Kenwood C. Nichols '61

2536 Centenary Street
Selma, AL 36701-3646
E-Mail: jekcn@bellsouth.net

1st Term
2008
Adm./Fin. Committee

ATTACHMENT

40120866

F05000006557

3

Dr. Cecil Lloyd Nix, DMD '59

2146 Bethel Road
Decatur, AL 35603
E-Mail: qbacknix@aol.com

2nd Term
2007
Directorship Committee, Chair
Executive Committee

Mrs. Carolyn Brinson Reed '65

3008 Weatherton Circle
Birmingham, AL 35223
E-Mail: cbrreed@bellsouth.net

1st Term
2008
Development Committee
Directorship Committee

Mr. W. Allen Reed '70

100 Goodwives River Road
Darien, CT 06820-5921
E-Mail: allen.reed@ironhorseventures.net

1st Term
2010
Investment Committee

Dr. Edward R. Richardson '62

President
Auburn University
107 Samford Hall
Auburn, AL 36849
E-Mail: richaed@auburn.edu

Ex-officio
Executive Committee

Mr. Morris W. Savage '59

President (Law Firm)
*Bankhead, Savage & Stephens
P.O. Box 462 (311 West 18 Street)
RES: 1201 College Hill Road
Jasper, AL 35501

2nd Term
2007
Audit Committee, Chair
Executive Committee

Mr. Gordon Mack Sherman '57

Principal
*Lamon & Sherman Consulting, LLC
1950 N. Park Place, Suite 125
Atlanta, GA 30339
RES: 8306 Hewlett Road
Atlanta, GA 30350-3505
E-Mail: gordon.sherman@lamonsherman.com
gordosherman@aol.com

2nd Term
2010
AU Real Estate Foundation, Chair
Development Committee
Adm./Fin. Committee
Executive Committee

Mr. Jeffrey Ira Stone '79

Regional President
Brasfield & Gorrie, Inc.
3021 7th Ave S
Birmingham, AL 35233-3502
RES: 86 Country Club Blvd
Birmingham, AL 35213-4230
E-Mail: jstone@brasfieldgorrie.com

1st Term
2010
Adm./Fin. Committee

Mr. C. C. "Bo" Torbert, Jr. '51

Maynard, Cooper & Gale PC
201 Monroe Street, Suite 1650
Montgomery, AL 36104-3749
*RES: 611 Terracewood Drive
Opelika, Alabama 36801

1st Term
2008
Investment Committee

ATTACHMENT

40120866

F05000006557

4

Dr. John G. Veres, III '76

Ex-officio/non-voting

Chancellor

*Auburn University at Montgomery

P. O. Box 244023

Montgomery, AL 36124-4023

RES: 516 County Downs Road

Montgomery, AL 36109

E-Mail: jveres@mail.aum.edu

Mr. Dwight L. Wiggins '62

1st Term

30 Hillcrest Way

2010

Basking Ridge, NJ 07920-1958

Development Committee

E-Mail: dwiggins62@msn.com

ATTACHMENT

40120866

F05000006557⁵

OFFICERS AND NON-DIRECTOR MEMBERSHIPS

Dr. Charles W. Bruce '77

Asst. Treasurer

Assistant Treasurer

*317 S. College Street

Auburn, AL 36849

RES: 2507 Heritage Drive

Opelika, AL 36804

E-Mail: brucecw@auburn.edu

Mrs. Linda Caudle

Secretary

Executive Assistant, Development

*317 S. College St.

Auburn, AL 36849

RES: 303 Lee Road 2054

Opelika, AL 36804

E-Mail: caudlmn@auburn.edu

Mrs. Nancy Davis '75

Non-director

Attorney

AU Real Estate Foundation

*Davis & McLaughlin

324 East Magnolia Avenue

Auburn, AL 36830-4802

RES: 648 Wooden Bridge Road

Auburn, AL 36830-7035

E-mail: nancy@dmloffice.com

Mr. Glenn E. Estess Jr. '76

Legal Counsel

Attorney at Law

*Wallace, Jordan, Ratliff & Brandt, LLC

800 Shades Creek Parkway, Suite 400

Birmingham, AL 35209

RES: 3740 North Woodridge Road

Birmingham, AL 35223

E-Mail: ge@wallacejordan.com

Dr. D. R. McGinnis

Executive VP

Vice President for Development

*317 South College Street

Auburn, AL 36849

RES: 697 Kings Way

Auburn, AL 36830

E-Mail: mcgindr@auburn.edu

Mr. Rhett E. Riley '58

Advisor

575 Cross Creek Road

AU Real Estate Fdn.

Auburn, AL 36832

John Thomas Walter, Jr. '55

Non-director

*RES: 3701 Centenary Drive

Dallas, Texas 75225-5124

E-mail: tom.walter@charter.net

Investment Committee

ATTACHMENT

40120866
#F05000006557

6

EMERITUS DIRECTORS

Mr. J. Gilmer Blackburn '50

Emeritus

*RES: 1153 Old Country Road
Auburn, AL 36830
Blackburn, Maloney & Schuppert PC
P.O. Box 1469 (201 Second Ave. SE)
Decatur, AL 35602-1469
E-Mail: jgbdaj@aol.com

Mrs. Sally Jones Hill '63

Emeritus

48 Sicada Street
Saratoga Springs, NY 12866
E-Mail: SAJOHILL@aol.com

Dr. James E. Martin '54

Emeritus

President Emeritus
*RES: 1013 Bethel Road, SE
Decatur, AL 35603-5815
E-Mail: jmartin184@aol.com

Dr. Harry M. Philpott

Emeritus

Retired-President
Auburn University
*RES: P.O. Box 3037
626 Cary Drive
Auburn, AL 36830
E-Mail: hphilpott@aol.com

Mr. Albert J. Smith, Jr. '47

Emeritus

3335 Del Monte Drive
Houston, Texas 77019-3103
E-Mail: AlbertSmith@houston.rr.com