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JAMES H. HARRIS
TALLAHASSEE, FLORIDA

J. BRYAN JUN 21 2005

TRANSMITTAL LETTER

TO: Registration Section
Division of Corporations

SUBJECT: Keats, Connelly & Associates, Inc.
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida," "Certificate of Existence," and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Dale A. Walters

(Name of Person)

Keats, Connelly & Associates, Inc.

(Firm/Company)

3336 N. 32ND Street, Suite 100

(Address)

Phoenix, Arizona 85018

(City/State and Zip code)

For further information concerning this matter, please call:

Sheri Lathrom

(Name of Person)

at (602) 955-5007

(Area Code & Daytime Telephone Number)

STREET ADDRESS:

Registration Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☒ \$78.75 Filing Fee &
Certified Copy

☐ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy

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DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA**

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Keats, Connelly & Associates, Inc.
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp," "Inc.," "Co.," or "Corp.")
- N/A
(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)
2. Arizona 3. 86-0665911
(State or country under the law of which it is incorporated) (FEI number, if applicable)
4. 10.1.1990 5. perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. 7.1.05
(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)
7. 2255 Meades Road, Suite 324A, Boca Raton, Fl. 33431
(Principal office address)
- 3336 N. 32ND Street, Suite 100, Phoenix, Az 85018
(Current mailing address)
8. see attached
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)
- Name: David H. Levine
- Office Address: 2255 Meades Rd. # 324A
Boca Raton, Florida 33431
(City) (Zip code)

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TALLAHASSEE, FLORIDA

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.



(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: _____

Address: _____

Vice Chairman: _____

Address: _____

Director: Robert F. Keato

Address: 3437 N. Valencia Lane

Phoenix, Az 85018

Director: Dale A. Walters

Address: 25820 N. 44th Way

Phoenix, Az 85050

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TALLAHASSEE, FLORIDA

B. OFFICERS

President: Robert F. Keato

Address: 3437 N. Valencia Lane

Phoenix, Az 85018

Chief Executive Officer: Dale A. Walters

Address: 25820 N. 44th Way

Phoenix, Az 85050

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Dale A. Walters

(Signature of Director or Officer listed in number 12 of the application)

14. Dale A. Walters, Chief Executive Officer

(Typed or printed name and capacity of person signing application)

Item #8

Keats, Connelly & Associates, Inc. is a fee-only financial planning firm located in Phoenix, Arizona. We have been in business since 1990 and looking to expand into Florida. We currently have a number of clients in Florida. Opening an office in Boca Raton, FL will enable us to provide better service to our existing clients and provide us an opportunity to increase our visibility in the southern Florida market.

Our niche market is planning for Canadians living in or moving to the US. Our services are comprised of comprehensive financial planning, preparation of US and Canadian tax returns, and personalized investment management.

We are an SEC registered advisor and have filed notice with Florida stating that we have more than the de minimus number of investment clients in Florida. We do not have custody of our clients' money; we use Charles Schwab as our primary custodian.

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DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

STATE OF ARIZONA



Office of the CORPORATION COMMISSION

CERTIFICATE OF GOOD STANDING

To all to whom these presents shall come, greeting:

I, Brian C. McNeil, Executive Secretary of the Arizona Corporation Commission, do hereby certify that

*****KEATS, CONNELLY & ASSOCIATES, INC.*****

a domestic corporation organized under the laws of the State of Arizona, did incorporate on September 26, 1990.

I further certify that according to the records of the Arizona Corporation Commission, as of the date set forth hereunder, the said corporation is not administratively dissolved for failure to comply with the provisions of the Arizona Business Corporation Act; that its most recent Annual Report, subject to the provisions of A.R.S. sections 10-122, 10-123, 10-125 & 10-1622, has been delivered to the Arizona Corporation Commission for filing; and that the said corporation has not filed Articles of Dissolution as of the date of this certificate.

This certificate relates only to the legal existence of the above named entity as of the date issued. This certificate is not to be construed as an endorsement, recommendation, or notice of approval of the entity's condition or business activities and practices.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the official seal of the Arizona Corporation Commission. Done at Phoenix, the Capital, this 13th Day of June, 2005, A. D.



EXECUTIVE SECRETARY

BY:

Jeffrey A. Barker

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