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2007 NOV -5 AM 11:45
SECRETARY OF STATE
TALLAHASSEE FLORIDA

**CORPORATION
REINSTATEMENT**



FLORIDA DEPARTMENT OF STATE
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # F05000001077

1. Corporation Name

RBC CAPITAL MARKETS CORPORATION

2. Principal Office Address - No P.O. Box # One Liberty Plaza		3. Mailing Office Address One Liberty Plaza	
Suite, Apt. #, etc. 5th Floor		Suite, Apt. #, etc. 5th Floor, c/o RBC Subsidiary Govern	
City & State New York, NY		City & State New York, NY	
Zip 10006	Country USA	Zip 10006	Country USA

REINSTATEMENT

CR2ED81 (1/07)

07

4. Date incorporated or Qualified To Do Business in Florida 02/21/2005

5. FEI Number 13-5033225

6. CERTIFICATE OF STATUS DESIRED ☐ \$2.75 Additional Fee required for a Certificate of Status

Applied For ☐ Not Applicable ☐

7. Name and Address of Current Registered Agent

Name
CT Corporation System

Street Address (P.O. Box Number is Not Acceptable)
1200 SOUTH PINE ISLAND ROAD

Suite, Apt. #, Etc.

City
PLANTATION

State
FL

Zip Code
33324

☐ The reinstatement fee is imposed, except in circumstances which the entity did not receive the prior notices. By checking this box, you are certifying the prior notices were not received and requesting the reinstatement fee be waived.

8. I, being appointed the registered agent of the above named corporation, am familiar with and accept the obligations of section 607.0505 or 617.0503, F.S.

Signature of Registered Agent *Debbie Diaz* **Debbie Diaz** Assistant Secretary

REGISTERED AGENT MUST SIGN

Date 10/31/07

9. Names and Street Addresses of Each Officer and/or Director (Florida nonprofit corporations must list at least 3 directors)

Title	Name of Officers and/or Directors	Street Address of Each Officer and/or Director	City / State / Zip
PCEO	Mark Standish	One Liberty Plaza, 165 Broadway	New York, NY 10006
CFO	Steven DeCioco	One Liberty Plaza, 165 Broadway	New York, NY 10006
S	LaBrena J. Martin	One Liberty Plaza, 165 Broadway	New York, NY 10006
COO	Michael Kavanagh	One Liberty Plaza, 165 Broadway	New York, NY 10006
See attached list of Board of Directors			

10. I certify that I am an officer or director or the receiver or trustee empowered to execute this application as provided for in chapter 607 or 617, F.S. I further certify that when filing this reinstatement application, the reason for dissolution has been eliminated, the corporate name satisfies the requirements of section 607.0401 or 617.0401, F.S., that all fees owed by the corporation have been paid and the names of individuals listed on this form do not qualify for an exemption contained in Chapter 119, F.S. The information indicated on this application is true and accurate, and my signature shall have the same legal effect as if made under oath.

SIGNATURE: *[Signature]* **VP, Asst. Compliance Officer** 10/31/07 212-858-7711

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date Daytime Phone #

FL010 - 1/17/07 CT System Office

NOV -

Business Entity Information Sheet (Summary)

Name RBC CAPITAL MARKETS CORPORATION

Current Board of Directors

Name and Address	Type
Collins, Scott	Director
One Liberty Plaza, 165 Broadway, New York, NY, 10006-1404, United States	
de Vos, Peter F.	Director
One Liberty Plaza, 165 Broadway, New York, NY, 10006-1404, United States	
Gottlieb, Paul	Director
One Liberty Plaza, 5th Floor, New York, NY, 10006, United States	
Hepworth, Graeme	Director
One Liberty Plaza, 165 Broadway, Ny, NY, 10006-1404, United States	
Macdonald, D. Bruce	Chairman
200 Bay Street, Royal Bank Plaza, South Tower, 3rd Floor, Toronto, Canada, ON, M5J 2J5	
Rhineland, John	Director
One Liberty Plaza, 5th Floor, New York, NY, 10006, United States	
Standish, Mark	Director
1 Liberty Plaza, New York, 10006	
Winograd, Charles	Director
Royal Bank Plaza, 3rd Floor, South Tower, 200 Bay Street, Toronto, ON, M5J 2J5	



Florida Department of State
Division of Corporations
Public Access System

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CORPORATION REINSTATEMENT

RBC CAPITAL MARKETS CORPORATION

Certificate of Status	0
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