

# 2012 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F04000006612

FILED  
Apr 22, 2012  
Secretary of State

**Entity Name:** SAGEPOINT FINANCIAL, INC.

**Current Principal Place of Business:**

2800 NORTH CENTRAL AVENUE  
SUITE 2100  
PHOENIX, AZ 85004 US

**New Principal Place of Business:**

**Current Mailing Address:**

2800 NORTH CENTRAL AVENUE  
SUITE 2100  
PHOENIX, AZ 85004 US

**New Mailing Address:**

**FEI Number:** 20-1741754

**FEI Number Applied For ( )**

**FEI Number Not Applicable ( )**

**Certificate of Status Desired ( )**

**Name and Address of Current Registered Agent:**

CORPORATION SERVICE COMPANY  
1201 HAYS STREET  
TALLAHASSEE, FL 323012525 US

**Name and Address of New Registered Agent:**

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

\_\_\_\_\_  
Electronic Signature of Registered Agent

\_\_\_\_\_  
Date

**OFFICERS AND DIRECTORS:**

Title: DP  
Name: AULD, JEFFREY M  
Address: 2800 NORTH CENTRAL AVENUE, SUITE 2100  
City-St-Zip: PHOENIX, AZ 85004 US

Title: SVP  
Name: EPRIGHT, RANDALL W  
Address: ONE WORLD FINANCIAL CENTER, 15TH FL  
City-St-Zip: NEW YORK, NY 10281 US

Title: DS  
Name: SORKIN, NOAH  
Address: ONE WORLD FINANCIAL CENTER, 15TH FL  
City-St-Zip: NEW YORK, NY 10281 US

Title: D  
Name: ROTH, R. LAWRENCE  
Address: ONE WORLD FINANCIAL CENTER, 15TH FL  
City-St-Zip: NEW YORK, NY 10281 US

Title: D  
Name: MURPHY, JEROME J  
Address: ONE WORLD FINANCIAL CENTER, 15TH FL  
City-St-Zip: NEW YORK, NY 10281 US

Title: T  
Name: FESTERVAND, TERRY  
Address: 2300 WINDY RIDGE PKWY., STE. 1100  
City-St-Zip: ATLANTA, GA 30339

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: TERRY FESTERVAND

T

04/22/2012

\_\_\_\_\_  
Electronic Signature of Signing Officer or Director

\_\_\_\_\_  
Date