

2011 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# F04000006612

Entity Name: SAGEPOINT FINANCIAL, INC.

FILED
Mar 20, 2011
Secretary of State

Current Principal Place of Business:

2800 NORTH CENTRAL AVENUE
SUITE 2100
PHOENIX, AZ 85004 US

New Principal Place of Business:

Current Mailing Address:

2800 NORTH CENTRAL AVENUE
SUITE 2100
PHOENIX, AZ 85004 US

New Mailing Address:

FEI Number: 20-1741754

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired ()

Name and Address of Current Registered Agent:

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE, FL 323012525 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

OFFICERS AND DIRECTORS:

Title: DPCE
Name: AULD, JEFFREY M
Address: 2800 NORTH CENTRAL AVENUE, SUITE 2100
City-St-Zip: PHOENIX, AZ 85004 US

Title: SVP
Name: EPRIGHT, RANDALL W
Address: ONE WORLD FINANCIAL CENTER, 15TH FL
City-St-Zip: NEW YORK, NY 10281 US

Title: DS
Name: SORKIN, NOAH
Address: ONE WORLD FINANCIAL CENTER, 15TH FL
City-St-Zip: NEW YORK, NY 10281 US

Title: D
Name: ROTH, R. LAWRENCE
Address: ONE WORLD FINANCIAL CENTER, 15TH FL
City-St-Zip: NEW YORK, NY 10281 US

Title: D
Name: MURPHY, JEROME J
Address: 2300 WINDY RIDGE DRIVE, SUITE 1100
City-St-Zip: ATLANTA, GA 30339 US

I hereby certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with all other like empowered.

SIGNATURE: NOAH SORKIN

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03/20/2011

Electronic Signature of Signing Officer or Director

Date