

FD 40000006063

2004 OCT 22 P 12: 52

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

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W04-37578

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FLORIDA DEPARTMENT OF STATE
Glenda E. Hood
Secretary of State

October 12, 2004

MARLA M. ACOSTA
R-G INVESTMENTS CORPORATION
P.O. BOX 9657
SAN JUAN, PR 00908

SUBJECT: R-G INVESTMENTS CORPORATION
Ref. Number: W04000037578

We have received your document for R-G INVESTMENTS CORPORATION and your check(s) totaling \$87.50. However, the enclosed document has not been filed and is being returned for the following correction(s):

The name designated in your document is not available. Therefore, the corporation must adopt an alternate name for use in the state of Florida. To adopt an alternate name the corporation must submit a corporate resolution by the board of directors adopting the alternate name for use in the state of Florida. Please note the corporate resolution must be signed by the chairman, vice chairman, or an officer of the corporation. The alternate name must contain a corporate suffix. Such suffixes include: Corporation, Corp., Incorporated, Inc., Company, and CO.

Please RETURN ALL DOCUMENTATION to the ATTENTION of the DOCUMENT SPECIALIST indicated.

Adding "of Florida" or "Florida" to the end of a name is not acceptable.

If you have any questions concerning the filing of your document, please call (850) 245-6094.

Agnes Lunt
Document Specialist

Letter Number: 504A00058888

FILED

TRANSMITTAL LETTER

2004 OCT 22 P 12: 52

TO: Registration Section
Division of Corporations

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

SUBJECT: R-G Investments Corporation

(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Marla M. Acosta

(Name of Person)

R-G Investments Corporation

(Firm/Company)

PO Box 9657

(Address)

San Juan, Puerto Rico 00908

(City/State and Zip code)

For further information concerning this matter, please call:

Marla M. Acosta

(Name of Person)

at (787) 620 - 8646

(Area Code & Daytime Telephone Number)

STREET ADDRESS:

Registration Section
Division of Corporations
409 E. Gaines St.
Tallahassee, FL 32399

MAILING ADDRESS:

Registration Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Enclosed is a check for the following amount:

☐ \$70.00 Filing Fee

☐ \$78.75 Filing Fee &
Certificate of Status

☐ \$78.75 Filing Fee &
Certified Copy

☒ \$87.50 Filing Fee,
Certificate of Status &
Certified Copy

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT
BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

1. R-G Investments Corporation

(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

R-G Securities Corporation

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Commonwealth of Puerto Rico

(State or country under the law of which it is incorporated)

3. _____

(FEI number, if applicable)

4. March 7, 2001

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. Upon Qualification

(Date first transacted business in Florida, if prior to registration)
(SEE SECTIONS 607.1501 & 607.1502, F.S., to determine penalty liability)

7. 1225 Ponce de Leon Avenue VIG Tower Suite 603, San Juan, Puerto Rico 00907

(Principal office address)

PO Box 9657 San Juan, Puerto Rico 00908

(Current mailing address)

8. Retail Broker Dealer

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box NOT acceptable)

Name: CT Corporation System

Office Address: 1200 South Pine Island Road

Plantation

(City)

, Florida 33324

(Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

PETER F. SOUZA
ASSISTANT SECRETARY

(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

FILED

A. DIRECTORS

Chairman: Víctor J. Galán

Address: Clavel Street m 2 Sta. Maria Park

San Juan, Puerto Rico 00927

Vice Chairman: Ramón Prats

Address: Paseo Alto 41 Los Paseos

San Juan, Puerto Rico 00926

Director: Enrique Umpierre-Suárez

Address: 9 San Gabriel Monte Alvernia

San Juan, Puerto Rico 00927

Director: Benigno R. Fernández

Address: EE 14 Poppy Street Borinquen Gardens

San Juan, Puerto Rico 00926

(Please see attachment)

B. OFFICERS

President: Ramiro L. Colon, III

Address: 106 Principe Guillermo Estancias Reales

Guaynabo, Puerto Rico 00969

Vice President: Jose A. Erazo

Address: Cond Rosario 256 Rosario Street Apt 605

San Juan, Puerto Rico 00912

(Please see attachment)

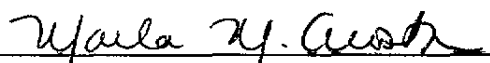
Secretary: N/A

Address: _____

Treasurer: N/A

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 
(Signature of Director or Officer listed in number 12 of the application)

14. Marla M. Acosta, AVP Chief Compliance Officer
(Typed or printed name and capacity of person signing application)

Directors

FILED

2004 OCT 22 P 12:

Name	Address
Mario A. Ruiz	Santa María Estates Gigi #3 San Juan, PR 00926
Garrard Harris	Condominio Laguna Gardens #1 Apt. 6J Carolina, PR 00913
Ramiro L. Colón, III	106 Principe Guillermo Estancias Reales Guaynabo, PR 00969
Alexandra M. Calderón	Street 2-A Palmar de Torrimar #114 Guaynabo, PR 00907
José A. Erazo	Cond Rosario 256 Rosario Street Apt 605 San Juan, PR 00912

SECRETARY OF STA
TALLAHASSEE, FLOR

Officers

Name	Address
Marla M. Acosta AVP Chief Compliance Officer	8 Street 833 Box 305 Cond Lincoln Park Guaynabo, PR 00969
Liza M. Cardona Accounting Officer	Ciudad Jardin de Bairoa #24 El Ferrol Street Caguas, PR 00925
Annette Montoto VP Sales Manger	Parque del Condado De Diego #62 Apt 402 San Juan, PR 00907



COMMONWEALTH OF PUERTO RICO
COMMISSIONER OF FINANCIAL INSTITUTIONS

CERTIFICATE OF GOOD STANDING

This is to certify that according to our records:

--R-G INVESTMENTS CORPORATION--

has obtained a license to operate under the dispositions of Act No. 60 of June 18, 1963, as amended, known as the Uniform Securities Act of Puerto Rico and as such is in good standing with this Office.

In witness whereof, I have
hereunto set my hand and
seal at the City of San Juan,
Puerto Rico, this 5th day of
October, 2004.

Felipe B. Cruz
Regulation Division