

PLEASE READ ALL INSTRUCTIONS BEFORE COMPLETING THIS FORM.

**CORPORATION
REINSTATEMENT**



FLORIDA DEPARTMENT OF STATE
Secretary of State
DIVISION OF CORPORATIONS

FILED

09 JUN -3 PM 4:18

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

DOCUMENT # F04000005282

1. Corporation Name

THE DEPOSITORY TRUST & CLEARING CORPORATION

2. Principal Office Address - No P.O. Box #

GENERAL COUNSEL'S OFFICE

Suite, Apt. #, etc.

55 Water St., 22nd Fl.

City & State

New York, NY

Zip

10041

Country

USA

3. Mailing Office Address

GENERAL COUNSEL'S OFFICE

Suite, Apt. #, etc.

55 Water St., 22nd Fl.

City & State

New York, NY

Zip

10041

Country

USA

900156722579
06/03/09--01006--018 ***308.75

REINSTATEMENT 08-09

4. Date Incorporated or Qualified
To Do Business in Florida

5. FEI Number

13-4086405

Applied For

Not Applicable

6.

CERTIFICATE OF STATUS DESIRED ☒

\$8.75 Additional Fee required
for a Certificate of Status

7. Name and Address of Current Registered Agent

Name

CT CORPORATION SYSTEM

Street Address (P.O. Box Number is Not Acceptable)

1200 SOUTH PINE ISLAND ROAD

Suite, Apt. #, Etc.

City

PLANTATION

State

FL

Zip Code

33324

☒ The reinstatement fee is imposed, except in circumstances which the entity did not receive the prior notices. By checking this box, you are certifying the prior notices were not received and requesting the reinstatement fee be waived.

8. I, being appointed the registered agent of the above named corporation, am familiar with and accept the obligations of section 607.0505 or 617.0503, F.S.

Signature of

Registered Agent

REGISTERED AGENT MUST SIGN

Date

9. Names and Street Addresses of Each Officer and/or Director (Florida nonprofit corporations must list at least 3 directors)

Titles	Name of Officers and/or Directors	Street Address of Each Officer and/or Director	City / State / Zip
	SEE EXHIBIT A ATTACHED		

10. I certify that I am an officer or director or the receiver or trustee empowered to execute this application as provided for in chapter 607 or 617, F.S. I further certify that when filing this reinstatement application, the reason for dissolution has been eliminated, the corporate name satisfies the requirements of section 607.0401 or 617.0401, F.S., that all fees owed by the corporation have been paid and the names of individuals listed on this form do not qualify for an exemption contained in Chapter 119, F.S. The information indicated on this application is true and accurate, and my signature shall have the same legal effect as if made under oath.

SIGNATURE: Linda LaMonica, Assistant Secretary *Linda LaMonica* **5-12-09 212.855.3038**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

6/5/09

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EXHIBIT A

**THE DEPOSITORY TRUST & CLEARING CORPORATION
PRINCIPAL OFFICERS & BOARD OF DIRECTORS
2009 ANNUAL REPORT**

PRINCIPAL OFFICERS	
CEO	Mr. Donald F. Donahue Chairman and Chief Executive Officer Depository Trust & Clearing Corp. 55 Water Street –22nd Floor New York, NY 10041
President	Mr. William B. Aimetti President and Chief Operating Officer Depository Trust & Clearing Corp. 55 Water Street – 22 nd Floor New York, NY 10041
CFO	Ellen Levine Chief Financial Officer The Depository Trust & Clearing Corporation 55 Water Street –22nd Floor New York, NY 10041
Corporate Secretary	Allison Finnegan Corporate Secretary The Depository Trust & Clearing Corporation 55 Water Street –22nd Floor New York, NY 10041
BOARD OF DIRECTORS	
	Mr. William B. Aimetti President and Chief Operating Officer Depository Trust & Clearing Corp. 55 Water Street – 22 nd Floor New York, NY 10041
	Mr. Mark Alexander Head of Global Operations & Client Services Merrill Lynch & Co. 4 World Financial Center – 15 th Floor New York, NY 10281
	Mr. Gerald A. Beeson Senior Managing Director & COO Citadel Investment Group, LLC 131 South Dearborn Street Chicago, IL 60603
	Mr. Art Certosimo

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EXHIBIT A

	Executive Vice President Bank of New York Mellon 1 Wall Street – 4 th Floor New York, NY 10286
	Mr. Stephen C. Daffron Managing Director – Head of ISG Operations Morgan Stanley 1221 Avenue of the Americas – 28 th Floor New York, NY 10020
	Mr. Bernard W. Dan Chief Executive Officer MF global Ltd. 440 south LaSalle Street – 20 th Floor Chicago, IL 60605
	Mr. Donald F. Donahue - CHAIRMAN Chairman and Chief Executive Officer Depository Trust & Clearing Corp. 55 Water Street – 22nd Floor New York, NY 10041
	Mr. Norman Eaker Principal Edward Jones 700 Maryville Centre Drive St. Louis, MO 63141
	Mr. Robert Kaplan Executive Vice President State Street Bank & Trust Co. 1776 Heritage Drive John Adams Building – 5 North Quincy, MA 02171
	Mr. Gerard LaRocca Managing Director, Chief Administrative Officer of the Americas Barclays Capital 200 Park Avenue New York, NY 10166
	Mr. Stephen Luparello Senior Executive Vice President Regulatory Operations FINRA 1735 K Street, N.W. Washington, DC 20006
	Mr. Louis G. Pastina Executive Vice President NYSE Operations

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	NYSE Euronext 11 Wall Street – 15th Floor New York, NY 10005
	Mr. Neeraj Sahai Managing Director Global Business Head Citi 388 Greenwich Street New York, NY 10013
	Mr. Richard G. Taggart Executive Vice President – Head of Global Operations AllianceBernstein L.P One North Lexington Avenue White Plains, NY 10601
	Mr. Timothy Theriault President of Corporate & Institutional Services Northern Trust Company 50 South LaSalle Street – B3 Chicago, IL 60603
	Ms. Michele Trogni Managing Director Global Head of Operations UBS Investment Bank 677 Washington Blvd Stamford, CT 06901
	Mr. Robin A. Vince Goldman Sachs & Co. Managing Director Global head of Operations 85 Broad Street – 26 th Floor New York, NY 10004
	Mr. David A. Weisbrod Managing Director JPMorgan Chase & Company 1 Chase Manhattan Plaza – 3 rd Floor New York, NY 10005