

**FILED**  
**Mar 23, 2007 8:00 am**  
**Secretary of State**

DOCUMENT # F04000005278



Mailing Address  
55 WATER ST.  
NEW YORK, NY 10041

40040362



03082007 Chq-P CR2E034 (12/06)

4. FEI Number  
13-3402242

Applied For
Not Applicable

5. Certificate of Status Desired ☒ \$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324

Names

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

## ACKNOWLEDGMENTS

© 2007 John Wiley & Sons, Ltd. *J. Forecast.* 26, 103–114 (2007)  
DOI: 10.1002/for

[15] F. H. S. Harris, *Journal of the Royal Society of Medicine*, vol. 40, pp. 1001–1002, 1947.

DATE \_\_\_\_\_

**FILE NOW!!! FEE IS \$150.00**  
**After May 1, 2007 Fee will be \$550.00**

9. Election Campaign Financing Trust Fund Contribution. ☐ **\$5.00** May Be Added to Fees

**\$5.00** May Be  
Added to Fees

10.	OFFICERS AND DIRECTORS
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ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 1991

SEE ATTACHED  
Exhibit A - Directors  
Exhibit B - Officers

NAME	<input type="checkbox"/> Change	<input type="checkbox"/> Address
STREET ADDRESS		
CITY - ST - ZIP		

NAME: \_\_\_\_\_  
LAST: FIRST: MIDDLE: \_\_\_\_\_  
DATE: \_\_\_\_\_

NAME	<input type="checkbox"/> Change	<input type="checkbox"/> Add/Remove
STREET ADDRESS		
CITY - ST - ZIP		

[illegible]

FILE	<input type="checkbox"/> Change	<input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY, STATE		

☐ Delete

TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	
STREET ADDRESS	
CITY, ST, ZIP	

☐ Delete

TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	
STREET ADDRESS	

NAME	<input type="checkbox"/> Delete
NAME	
STREET ADDRESS	
CITY, STATE, ZIP	

TITLE	<input type="checkbox"/> Change	<input type="checkbox"/> Additions
NAME		
STREET ADDRESS		
CITY-ST-ZIP		

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

LINDA LA MONICA, ASST. SECRETARY

Dial

39.07

212.855.3038

ATTACHMENT

40040362

# F04000005278

EXHIBIT A

**FIXED INCOME CLEARING CORPORATION  
BOARD OF DIRECTORS 2007**

Ms. Ellen Alemany  
CEO - Global Transaction Svcs.  
Citigroup  
388 Greenwich Street, 39th Flr  
New York, NY 10013

Mr. Gerald A. Beeson  
Senior Managing Director & CFO  
Citadel Investment Group, LLC  
131 South Dearborn Street  
Chicago, IL 60603

Mr. J. Charles Cardona  
Vice Chairman  
The Dreyfus Corporation  
200 Park Avenue – 55<sup>th</sup> Floor  
Aim # 105-5550  
New York, NY 10166

Mr. Stephen Casper  
President & chief Executive Officer  
Fischer Francis Trees & Watts, Inc.  
200 Park Avenue – 46<sup>th</sup> Floor  
New York, NY 10166

Mr. Art Certosimo  
Executive Vice President  
Bank of New York  
1 Wall Street – 4<sup>th</sup> Floor  
New York, NY 10286

Ms. Jill Considine  
Chairman and CEO  
Depository Trust & Clearing Corp.  
55 Water Street – 22nd Floor  
New York, NY 10041

Mr. Randolph L. Cowen  
Chief Information Officer  
Goldman Sachs  
85 Broad Street – 15th Floor  
New York, NY 10004

**ATTACHMENT**

40040362

#F04000005278

EXHIBIT A

**FIXED INCOME CLEARING CORPORATION  
BOARD OF DIRECTORS 2007**

Mr. Donald F. Donahue  
Chief Operating Officer  
Depository Trust & Clearing Corp.  
55 Water Street -22nd Floor  
New York, NY 10041

Mr. Norman Eaker  
Principal  
Edward Jones  
700 Maryville Centre Drive  
St. Louis, MO 63141

Mr. Robert Kaplan  
Executive Vice President  
State Street Global Services  
1776 Heritage Drive  
Quincy, MA 02171

Mrs. Catherine R. Kinney  
President & Co-COO  
New York Stock Exchange  
11 Wall Street - 6<sup>th</sup> Floor  
New York, NY 10005

Mr. Gerard LaRocca  
Managing Director, Chief Administrative Officer of the Americas  
Barclays Capital  
200 Park Avenue  
New York, NY 10166

Mr. Norman Malo  
President and CEO  
National Financial Services LLC  
Fidelity Investments  
200 Seaport Boulevard, Z2K  
Boston, MA 02110

Mr. Ronald Purpora  
CEO  
ICAP Securities USA LLC  
Harborside Financial Center  
1100 Plaza 5  
Jersey City, NJ 07311

**ATTACHMENT**

40040362

#F04600005278

EXHIBIT A

**FIXED INCOME CLEARING CORPORATION  
BOARD OF DIRECTORS 2007**

Ms. Diane L. Schueneman  
Senior Vice President  
Merrill Lynch & Co., Inc.  
250 Vesey Street – 18<sup>th</sup> Floor  
New York, NY 10080

Mr. Douglas Shulman  
Vice Chairman  
NASD  
1735 K Street, N.W.  
Washington, DC 20006

Mr. Timothy Theriault  
President  
Worldwide Operations & Technology  
Northern Trust Company  
50 South LaSalle Street – B3  
Chicago, IL 60675

Mr. David A. Weisbrod  
Senior Vice President  
JPMorgan Chase & Company  
1 Chase Manhattan Plaza – 6<sup>th</sup> Floor  
New York, NY 10005

# ATTACHMENT

2007 LIST OF FICC OFFICERS  
a/o 3.1.07

40040362  
#F64000005278

EXHIBIT B

NAME	FICC TITLE
Considine, Jill M.	Chairman
Donahue, Donald F.	President & CEO
McGrail, Robert J.	Executive Managing Director Chief Operating Officer
Bryan, Adam	Managing Director
Colangelo, John J.	Managing Director
Feuchtwanger, Jacob	Managing Director
Hodash, William	Managing Director
Hutton, Alan	Managing Director
Koster, James	Managing Director
Lambert, Cheryl T.	Managing Director
Levine, Ellen	Managing Director CFO
Palatnick, Robert P.	Managing Director
Poulos, Nikki M.	Managing Director General Counsel Secretary Chief Privacy Officer
Pozmanter, Murray	Managing Director
Tysk, Susan	Managing Director
Zivny, Lori	Managing Director General Auditor
Arbon, Neal	Vice President
Cosgrove, David	Vice President
Doyle, Joseph	Vice President
Golin, Marc	Vice President
Hin, Bruce	Vice President
LaCara, Gary J.	Vice President Controller
Matera, Salvatore	Vice President
Rey, Marie T.	Vice President
Schiavo, Bart	Vice President
Shannon, John	Vice President
Trentecoste, Joseph	Vice President Treasurer
Vece, Ana Maria	Vice President
Vercruysse, Mark	Vice President
LaMonica, Linda	Assistant Secretary
Norman, Darlene	Assistant Secretary
Siebold, Lisa	Assistant Secretary