


# 2006 FOR PROFIT CORPORATION ANNUAL REPORT

**FILED**  
**May 01, 2006 8:00 am**  
**Secretary of State**

05-01-2006 90348 005 \*\*\*158.75

<b>DOCUMENT # F04000005278</b>	
1. Entity Name <b>FIXED INCOME CLEARING CORPORATION</b>	

Principal Place of Business <b>55 WATER ST. NEW YORK, NY 10041</b>	Mailing Address <b>55 WATER ST. NEW YORK, NY 10041</b>
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2. Principal Place of Business	3. Mailing Address
Suite, Apt. #, etc.	Suite, Apt. #, etc.
City & State	City & State
Zip	Country

**40073101**



04272006 Chg-P CR2E034 (11/05)

4. FEI Number <b>13-3402242</b>	Applied For <input type="checkbox"/> Not Applicable
5. Certificate of Status Desired <input checked="" type="checkbox"/>	<b>\$8.75</b> Additional Fee Required

6. Name and Address of Current Registered Agent <b>C T CORPORATION SYSTEM 1200 SOUTH PINE ISLAND ROAD PLANTATION, FL 33324</b>	7. Name and Address of New Registered Agent Name Street Address (P.O. Box Number is Not Acceptable) City <b>FL</b> Zip Code
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8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00  
After May 1, 2006 Fee will be \$550.00**

9. Election Campaign Financing  
Trust Fund Contribution. ☐ **\$5.00** May Be  
Added to Fees

10. OFFICERS AND DIRECTORS		11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	See Attached Exhibit A - Directors Exhibit B - Officers <input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
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TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemptions contained in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

**Assistant Secretary**

Date

Daytime Phone #

**4/27/06 212 855-3271**

ATTACHMENT

40073101

Exhibit A

#FOI000005278

**THE DEPOSITORY TRUST & CLEARING CORPORATION**  
**BOARD OF DIRECTORS 2006**

**NAME/TITLE/ADDRESS**

Ms. Ellen Alemany  
CEO - Global Transaction Services  
Citigroup  
388 Greenwich Street – 39th Floor  
New York, NY 10013

Mr. Gerald A. Beeson  
Senior Managing Director & CFO  
Citadel Investment Group, LLC  
131 South Dearborn Street  
Chicago, IL 60603

Mr. J. Charles Cardona  
Vice Chairman  
The Dreyfus Corporation  
200 Park Avenue -55th Floor  
Aim # 105-5550  
New York, NY 10166

Mr. Stephen P. Casper  
President & Chief Executive Officer  
Fischer Francis Trees & Watts, Inc.  
200 Park Avenue - 46th Floor  
New York, NY 10166

Mr. Art Certosimo  
Executive Vice President  
Bank of New York  
1 Wall Street – 4th Floor  
New York, NY 10286

Ms. Jill M. Considine  
Chairman and Chief Executive Officer  
The Depository Trust & Clearing Corporation  
55 Water Street - 22nd Floor  
New York, NY 10041

Mr. Randolph L. Cowen  
Chief Information Officer  
Goldman Sachs  
85 Broad Street – 15th Floor  
New York, NY 10004

Mr. Donald F. Donahue  
Chief Operating Officer  
The Depository Trust & Clearing Corporation  
55 Water Street - 22nd Floor  
New York, NY 10041

# ATTACHMENT

Exhibit A

## BOARD OF DIRECTORS - CONT'D

40073101

#F04-000005278

### NAME/TITLE/ADDRESS

Mr. Norman Eaker  
Principal  
Edward Jones  
700 Maryville Centre Drive  
St. Louis, MO 63141

Mr. Robert Kaplan  
Executive Vice President,  
State Street Global Services  
176 Heritage Drive  
Quincy, MA 02171

Ms. Catherine Kinney  
President & Co-COO  
New York Stock Exchange  
11 Wall Street - 6th Floor  
New York, NY 10005

Mr. Gerard LaRocca  
CAO Americas, and Global Head of Operations,  
Chief Executive of Barclays Capital US Broker Dealer;  
Barclays Capital Inc.  
Barclays Capital  
200 Park Avenue  
New York, NY 10166

Mr. Norman R. Malo  
President & Chief Executive Officer  
National Financial Services LLC  
Fidelity Investments  
200 Seaport Boulevard, Z2K  
Boston, MA 02110

Mr. Ronald Purpora  
CEO  
Garban LLC  
Harborside Financial Center  
1100 Plaza 5  
Jersey City, NJ 07311

Ms. Diane L. Schueneman  
Senior Vice President  
Merrill Lynch & Co., Inc.  
250 Vesey Street - 18th Floor  
New York, NY 10080

ATTACHMENT

40073101

Exhibit A

BOARD OF DIRECTORS - CONT'D

#F04000005278

NAME/TITLE/ADDRESS

Mr. Douglas Shulman  
President,  
National Association of Securities Dealers, Inc.  
1735 K Street NW  
Washington, DC 20006

Mr. Timothy Theriault  
President Worldwide Operations & Technology  
Northern Trust Company  
50 South LaSalle Street - B3  
Chicago, IL 60675

Mr. David A. Weisbrod  
Senior Vice President  
JPMorgan Chase & Company  
1 Chase Manhattan Plaza - 6th Floor  
New York, NY 10005

ATTACHMENT 40073101

**Exhibit B:**

#FOIA00005278

**List of Officers for Fixed Income Clearing Corporation**

Chairman: Jill M. Considine

President, CEO: Robert J. McGrail

CFO, Treasurer: Ellen Levine

Secretary: Richard B. Nesson

Assistant Secretaries: Lisa Siebold  
Darlene Norman  
Linda Karr