

F04000005278

Florida Department of State
Division of Corporations
Public Access System

Electronic Filing Cover Sheet

Note: Please print this page and use it as a cover sheet. Type the fax audit number (shown below) on the top and bottom of all pages of the document.

(((H04000185545 3)))

Note: DO NOT hit the REFRESH/RELOAD button on your browser from this page. Doing so will generate another cover sheet.

RECEIVED

04 SEP 15 AM 11:09

DIVISION OF CORPORATIONS

Division of Corporations
Fax Number : (850) 205-0383

From:

Account Name : C T CORPORATION SYSTEM
Account Number : FCA000000023
Phone : (850) 222-1092
Fax Number : (850) 222-9428

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

04 SEP 15 AM 8:37

FILED

FOREIGN PROFIT QUALIFICATION

Fixed Income Clearing Corporation

Certificate of Status	0
Certified Copy	0
Page Count	07
Estimated Charge	\$70.00

Electronic Filing Menu

Corporate Filing

Public Access Help

APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA

IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.

1. Fixed Income Clearing Corporation
(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.) 13-3402242
2. New York 3. _____
(State or country under the law of which it is incorporated) (FBI number, if applicable)
4. November 18, 1986 5. Perpetual
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")
6. Upon Qualification
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)
7. 55 Water St. New York, NY 10041
(Principal office address)
55 Water St. New York, NY 10041
(Current mailing address)
8. All Purposes Permitted Under Applicable Law
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)
9. Name and direct address of Florida registered agent: (P.O. Box or Mail Drop Box NOT acceptable)
Name: CT Corporation System
Office Address: 1200 South Pine Island Road,
Plantation, Florida 33324
(City) (Zip code)

10. Registered agent's acceptance:

Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.

By: RENEE HAGGERTY
(Registered agent's signature) ASSISTANT SECRETARY

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

04 SEP 15 AM 8:37
CLERK OF STATE
ALLAHASSEE, FLORIDA

FILED

12. Names and business addresses of officers and/or directors:

A. DIRECTORS

Chairman: _____

See Attached: Exhibit A

Address: _____

Vice Chairman: _____

Address: _____

Director: _____

Address: _____

Director: _____

Address: _____

B. OFFICERS

President: _____

See Attached: Exhibit B

Address: _____

Vice President: _____

Address: _____

Secretary: _____

Address: _____

Treasurer: _____

Address: _____

NOTE: If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. _____

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. _____

Linda Karr, Assistant Secretary

(Typed or printed name and capacity of person signing application)

FILED
 04 SEP 19 AM 8:37
 SECRETARY OF STATE
 TALLAHASSEE, FLORIDA

BOARD OF DIRECTORS - 2004**EXHIBIT A**

Mr. Bradley Abelow Managing Director Goldman, Sachs & Co. 30 Hudson Street - 17 th Floor Jersey City, NJ 07302
Mr. Jonathan E. Beyman Chief Information Officer Lehman Brothers 745 7 th Avenue - 15 th Floor New York, NY 10019
Mr. Frank Bisignano Chief Administrative Officer Citigroup Global Markets Inc. 388 Greenwich Street - 39 th Floor New York, NY 10013
Mr. Michael C. Bodson Managing Director Morgan Stanley 1585 Broadway - 40 th Floor New York, NY 10036
Mr. Gary Bullock Global Head of Logistics Infrastructure UBS Investment Bank 100 Liverpool Street London, EC2M 2RH
Mr. Stephen Casper COO and Managing Director Fischer Francis Trees & Watts, Inc. 200 Park Avenue - 46 th Floor New York, NY 10166
Ms. Jill Considine Chairman and CEO Depository Trust & Clearing Corp. 55 Water Street - 49 th Floor New York, NY 10041

FILED

04 SEP 15 AM 8:37

CLERK OF STATE
TALLAHASSEE, FLORIDA

BOARD OF DIRECTORS -- con't

Mr. Paul Costello President - Business Services Group Wachovia Securities, LLC - WS6005 Riverfront Plaza - West Tower 901 East Byrd Street Richmond, VA 23219
Mr. John W. Cummings Senior Vice President Head of Global Technology & Services Merrill Lynch & Co., Inc. 4 World Financial Center - 32 nd Floor New York, NY 10080
Mr. Donald F. Donahue Chief Operating Officer Depository Trust & Clearing Corp. 55 Water Street - 49th Floor New York, NY 10041
Mr. Norman Eaker Principal Edward Jones 700 Maryville Centre Drive St. Louis, MO 63141
Mr. Alan Greene Starz Street Corporation P.O. Box 5501 Boston, MA 02206-5501
Mr. George Hrabovsky President Alliance Global Investor Services 500 Plaza Drive Secaucus, NJ 07094
Mrs. Catherine R. Kinney President & Co-COO New York Stock Exchange 11 Wall Street - 6 th Floor New York, NY 10005

FILED
04 SEP 15 AM 8:37
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

BOARD OF DIRECTORS - con't

Ms. Eileen Murray Managing Director Credit Suisse First Boston 11 Madison Avenue - 27 th Floor New York, NY 10010
Mr. James Palermo Vice Chairman Mellon Financial Corporation One Boston Place - 15 th Floor Boston, MA 02108
Mr. Thomas J. Perna Senior Executive Vice President The Bank of New York One Wall Street - 10 th Floor New York, NY 10286
Mr. Ronald Purpora CEO Garban LLC Harborside Financial Center 1100 Plaza 5 Jersey City, NJ 07311
Mr. Douglas Shulman President National Association of Securities Dealers, Inc. 1735 K Street, N.W. Washington, DC 20006
Mr. Thompson M. Swayne Executive Vice President JP Morgan Chase 4 Metrotech Center - 23 rd Floor Brooklyn, NY 11245

FILED

04 SEP 15 AM 8:38

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Exhibit B:

List of Officers for Fixed Income Clearing Corporation

Chairman: Jill M. Considine

CEO: Richard Macek

President, COO: Thomas F. Costa

CFO, Treasurer: Ellen Levine

Secretary: Jeffrey Ingber

Assistant Secretaries: Lisa T. Siebold
Darlene M. Norman
Linda Karr

FILED

04 SEP 15 AM 8:38

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

**State of New York
Department of State } ss:**

I hereby certify, that the Certificate of Incorporation of *FIXED INCOME CLEARING CORPORATION* was filed on 11/18/1986, under the name of *GOVERNMENT SECURITIES CLEARING CORPORATION*, with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation.

A Certificate of Amendment *GOVERNMENT SECURITIES CLEARING CORPORATION*, changing its name to *FIXED INCOME CLEARING CORPORATION*, was filed 12/31/2002.

The Biennial Statement is past due.



*Witness my hand and the official seal
of the Department of State at the City
of Albany, this 13th day of September
two thousand and four.*

Secretary of State

200409140220 - 07