

PLEASE READ ALL INSTRUCTIONS BEFORE COMPLETING THIS FORM.

114

**CORPORATION  
REINSTATEMENT**



FLORIDA DEPARTMENT OF STATE  
Secretary of State  
DIVISION OF CORPORATIONS

**FILED**

09 JUN -3 PM 4:37

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA

**DOCUMENT #** F04000005277

1. Corporation Name

**NATIONAL SECURITIES CLEARING CORPORATION**

2. Principal Office Address - No P.O. Box #

**GENERAL COUNSEL'S OFFICE**

Suite, Apt. #, etc.

**55 Water St., 22nd Fl.**

City & State

**New York, NY**

Zip

**10041**

Country

**USA**

3. Mailing Office Address

**GENERAL COUNSEL'S OFFICE**

Suite, Apt. #, etc.

**55 Water St., 22nd Fl.**

City & State

**New York, NY**

Zip

**10041**

Country

**USA**

700156722677  
06/03/09--01006--021 \*\*308.75  
REINSTATEMENT (12/08) 02-02

4. Date Incorporated or Qualified  
To Do Business in Florida

5. FEI Number

**13-2865360**

Applied For

Not Applicable

6. CERTIFICATE OF STATUS DESIRED ☒ \$8.75 Additional Fee required  
for a Certificate of Status

**7. Name and Address of Current Registered Agent**

Name

**CT CORPORATION SYSTEM**

Street Address (P.O. Box Number is Not Acceptable)

**1200 SOUTH PINE ISLAND ROAD**

Suite, Apt. #, Etc.

City

**PLANTATION**

State

**FL**

Zip Code

**33324**

☒ The reinstatement fee is imposed, except in circumstances which the entity did not receive the prior notices. By checking this box, you are certifying the prior notices were not received and requesting the reinstatement fee be waived.

8. I, being appointed the registered agent of the above named corporation, am familiar with and accept the obligations of section 607.0505 or 617.0503, F.S.

Signature of

Registered Agent

Date

REGISTERED AGENT MUST SIGN

9. Names and Street Addresses of Each Officer and/or Director (Florida nonprofit corporations must list at least 3 directors)

Titles	Name of Officers and/or Directors	Street Address of Each Officer and/or Director	City / State / Zip
	<b>SEE EXHIBIT A ATTACHED</b>		

10. I certify that I am an officer or director or the receiver or trustee empowered to execute this application as provided for in chapter 607 or 617, F.S. I further certify that when filing this reinstatement application, the reason for dissolution has been eliminated, the corporate name satisfies the requirements of section 607.0401 or 617.0401, F.S., that all fees owed by the corporation have been paid and the names of individuals listed on this form do not qualify for an exemption contained in Chapter 119, F.S. The information indicated on this application is true and accurate, and my signature shall have the same legal effect as if made under oath.

**SIGNATURE: Linda LaMonica, Assistant Secretary**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

**12.09**

**212.855.3038**

Daytime Phone #

6/30/09

**NATIONAL SECURITIES CLEARING CORPORATION  
PRINCIPAL OFFICERS & BOARD OF DIRECTORS  
2009 ANNUAL REPORT**

<b>PRINCIPAL OFFICERS</b>	
CEO	<b>Mr. Donald F. Donahue</b> Chairman and Chief Executive Officer Depository Trust & Clearing Corp. 55 Water Street -22nd Floor New York, NY 10041
President	<b>Mr. William B. Aimetti</b> President and Chief Operating Officer Depository Trust & Clearing Corp 55 Water Street - 22 <sup>nd</sup> Floor New York, NY 10041
CFO	<b>Ellen Levine</b> Chief Financial Officer The Depository Trust & Clearing Corporation 55 Water Street -22nd Floor New York, NY 10041
Corporate Secretary	<b>Allison Finnegan</b> Corporate Secretary The Depository Trust & Clearing Corporation 55 Water Street -22nd Floor New York, NY 10041
<b>BOARD OF DIRECTORS</b>	
	<b>Mr. William B. Aimetti</b> President and Chief Operating Officer Depository Trust & Clearing Corp 55 Water Street - 22 <sup>nd</sup> Floor New York, NY 10041
	<b>Mr. Mark Alexander</b> Head of Global Operations & Client Services Merrill Lynch & Co. 4 World Financial Center - 15 <sup>th</sup> Floor New York, NY 10281
	<b>Mr. Gerald A. Beeson</b> Senior Managing Director & COO Citadel Investment Group, LLC 131 South Dearborn Street Chicago, IL 60603
	<b>Mr. Art Certosimo</b>

	Executive Vice President Bank of New York Mellon 1 Wall Street – 4 <sup>th</sup> Floor New York, NY 10286
	Mr. Stephen C. Daffron Managing Director – Head of ISG Operations Morgan Stanley 1221 Avenue of the Americas – 28 <sup>th</sup> Floor New York, NY 10020
	Mr. Bernard W. Dan Chief Executive Officer MF global Ltd. 440 south LaSalle Street – 20 <sup>th</sup> Floor Chicago, IL 60605
	<b>Mr. Donald F. Donahue - CHAIRMAN</b> Chairman and Chief Executive Officer Depository Trust & Clearing Corp. 55 Water Street – 22nd Floor New York, NY 10041
	Mr. Norman Eaker Principal Edward Jones 700 Maryville Centre Drive St. Louis, MO 63141
	Mr. Robert Kaplan Executive Vice President State Street Bank & Trust Co. 1776 Heritage Drive John Adams Building – 5 North Quincy, MA 02171
	Mr. Gerard LaRocca Managing Director, Chief Administrative Officer of the Americas Barclays Capital 200 Park Avenue New York, NY 10166
	Mr. Stephen Luparello Senior Executive Vice President Regulatory Operations FINRA 1735 K Street, N.W. Washington, DC 20006
	Mr. Louis G. Pastina Executive Vice President NYSE Operations

EXHIBIT A

	<p>NYSE Euronext 11 Wall Street – 15th Floor New York, NY 10005</p>
	<p>Mr. Neeraj Sahai Managing Director Global Business Head Citi 388 Greenwich Street New York, NY 10013</p>
	<p>Mr. Richard G. Taggart Executive Vice President – Head of Global Operations AllianceBernstein L.P One North Lexington Avenue White Plains, NY 10601</p>
	<p>Mr. Timothy Theriault President of Corporate &amp; Institutional Services Northern Trust Company 50 South LaSalle Street – B3 Chicago, IL 60603</p>
	<p>Ms. Michele Trogni Managing Director Global Head of Operations UBS Investment Bank 677 Washington Blvd Stamford, CT 06901</p>
	<p>Mr. Robin A. Vince Goldman Sachs &amp; Co. Managing Director Global head of Operations 85 Broad Street – 26<sup>th</sup> Floor New York, NY 10004</p>
	<p>Mr. David A. Weisbrod Managing Director JPMorgan Chase &amp; Company 1 Chase Manhattan Plaza – 3<sup>rd</sup> Floor New York, NY 10005</p>