

2005 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Apr 29, 2005 8:00 am
Secretary of State

04-29-2005 90254 042 ***158.75

DOCUMENT # F04000005277 1. Entity Name NATIONAL SECURITIES CLEARING CORPORATION																																															
Principal Place of Business 55 WATER ST NEW YORK, NY 10041			Mailing Address 55 WATER ST NEW YORK, NY 10041																																												
2. Principal Place of Business		3. Mailing Address																																													
Suite, Apt. #, etc.		Suite, Apt. #, etc.																																													
City & State		City & State		4. FEI Number 13-2865360																																											
Zip		Country		5. Certificate of Status Desired ☒ \$8.75 Additional Fee Required																																											
6. Name and Address of Current Registered Agent C T CORPORATION SYSTEM 1200 SOUTH PINE ISLAND ROAD PLANTATION, FL 33324				7. Name and Address of New Registered Agent Name _____ Street Address (P.O. Box Number is Not Acceptable) _____ City _____ FL Zip Code _____																																											
8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent. SIGNATURE _____ (NOTE: Registered Agent signature required when reconstituting) DATE _____																																															
FILE NOW!!! FEE IS \$150.00 After May 1, 2005 Fee will be \$550.00		9. Election Campaign Financing Trust Fund Contribution. ☐ \$5.00 May Be Added to Fees																																													
10. OFFICERS AND DIRECTORS <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:15%;">TITLE NAME STREET ADDRESS CITY - ST - ZIP</td> <td style="width:65%;"> See Attached Exhibit A - Directors Exhibit B - Officers </td> <td style="width:20%; text-align: right;"> ☐ Delete </td> </tr> <tr><td colspan="3" style="height: 40px;"></td></tr> <tr><td colspan="3" style="height: 40px;"></td></tr> <tr><td colspan="3" style="height: 40px;"></td></tr> <tr><td colspan="3" style="height: 40px;"></td></tr> <tr><td colspan="3" style="height: 40px;"></td></tr> <tr><td colspan="3" style="height: 40px;"></td></tr> </table>			TITLE NAME STREET ADDRESS CITY - ST - ZIP	See Attached Exhibit A - Directors Exhibit B - Officers	☐ Delete																			11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:15%;">TITLE NAME STREET ADDRESS CITY - ST - ZIP</td> <td style="width:65%;"> ☐ Change ☐ Addition </td> <td style="width:20%;"></td> </tr> <tr><td colspan="3" style="height: 40px;"></td></tr> <tr><td colspan="3" style="height: 40px;"></td></tr> <tr><td colspan="3" style="height: 40px;"></td></tr> <tr><td colspan="3" style="height: 40px;"></td></tr> <tr><td colspan="3" style="height: 40px;"></td></tr> <tr><td colspan="3" style="height: 40px;"></td></tr> </table>			TITLE NAME STREET ADDRESS CITY - ST - ZIP	☐ Change ☐ Addition																			
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12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes, and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other duly-empowered.																																															
SIGNATURE: <i>Daylene Norman</i> SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR			Assistant Secretary April 26, 2005 212 855-3271 Date Daytime Phone #																																												

DEPOSITORY TRUST & CLEARING CORPORATION
BOARD OF DIRECTORS – 2005

ATTACHMENT

Exhibit A

14009509

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NAME/TITLE/ADDRESS
Mr. Gerald A. Beeson Senior Managing Director & CFO Citadel Investment Group, LLC 131 South Dearborn Street Chicago, IL 60603 Assistant: Barbara Horne 312-395-3090
Mr. Jonathan E. Beyman Chief Information Officer Lehman Brothers 745 7 th Avenue – 15 th Floor New York, NY 10019 Assistant: Linda Kocha 212-526-3266
Mr. Frank Bisignano CEO for Global Transaction Services Citigroup Global Markets Inc. 388 Greenwich Street – 39 th Floor New York, NY 10013 Assistant: Kelly McAlarney 212-816-8845
Mr. Michael C. Bodson Managing Director Morgan Stanley 1585 Broadway – 40 th Floor New York, NY 10036 Assistant: Delores Finlayson 212-761-5154
Mr. J. Charles Cardona Vice Chairman The Dreyfus Corporation 200 Park Avenue – 55 th Floor Aim # 105-5550 New York, NY 10166 Assistant: Lia Dudine 212-922-6662
Mr. Stephen Casper President & Chief Executive Office Fischer Francis Trees & Watts, Inc. 200 Park Avenue – 46 th Floor New York, NY 10166 Assistant: Ilana Mair 212-681-3003
Mr. Art Certosimo Executive Vice President Bank of New York 1 Wall Street – 4 th Floor New York, NY 10286 Assistant: Fran Gianniello 212-635-4812

DTCC BOARD OF DIRECTORS - con't**ATTACHMENT**14009509

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NAME/TITLE/ADDRESS
Ms. Jill Considine – CHAIRMAN Chairman and CEO Depository Trust & Clearing Corp. 55 Water Street – 22nd Floor New York, NY 10041 Assistant: Christine Batchelor 212-855-3009
Mr. Paul Costello President – Business Services Group Wachovia Securities, LLC – WS6005 Riverfront Plaza – West Tower 901 East Byrd Street Richmond, VA 23219 Assistant: Megan Grow 804-782-3638
Mr. Randolph L. Cowen Chief Information Officer Goldman Sachs 85 Broad Street – 15 th Floor New York, NY 10004 Assistant: Mary Peripore 212-902-4474
Mr. Donald F. Donahue Chief Operating Officer Depository Trust & Clearing Corp. 55 Water Street – 22nd Floor New York, NY 10041 Assistant: Marianne Maloney 212-855-3030
Mr. Norman Eaker Principal Edward Jones 700 Maryville Centre Drive St. Louis, MO 63141 Assistant: Dianne Metcalf 314-515-6376
Mr. Alan D. Greene Executive Vice President State Street Corporation 2 Avenue De Lafayette – 2 nd Floor Boston, MA 02111 Assistant: Pamela Smith 617-662-2853
Mrs. Catherine R. Kinney President & Co-COO New York Stock Exchange 11 Wall Street – 6 th Floor New York, NY 10005 Assistant: Janice Biondo 212-656-2928

DTCC BOARD OF DIRECTORS - con't

ATTACHMENT

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NAME	OFFICE	ADDRESS
Ms. Heidi Miller	CEO - Treasury and Securities Services JPMorgan Chase	270 Park Ave - 9th floor New York, NY 10017 Assistant: Donna DeLorenzo 212-270-2610
Ms. Eileen Murray	Managing Director Credit Suisse First Boston	11 Madison Avenue - 27 th Floor New York, NY 10010 Assistant: Patricia McGovern 212-538-4342
Mr. Ronald Purpora	CEO Garban LLC	Harborside Financial Center 1100 Plaza 5 Jersey City, NJ 07311 Assistant: Karen Perito 212-815-9523
Ms. Diane L. Schueneman	Senior Vice President Merrill Lynch & Co., Inc.	250 Vesey Street - 18 th Floor New York, NY 10080 Assistant: Barbara Austin 212 449 1727
Mr. Douglas Shulman	President NASD	1735 K Street, N.W. Washington, DC 20006 Assistant: Candice Harris 202-728-8234
Mr. Timothy Theriault	President Worldwide Operations & Technology Northern Trust Company	50 South LaSalle Street - B3 Chicago, IL 60675 Assistant: Dee Plavsic 312-444-4039

ATTACHMENT 1400 9509
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Exhibit B:

List of Officers for National Securities Clearing Corporation

Chairman, CEO: Jill M. Considine

President, COO: Donald F. Donahue

CFO, Treasurer: Ellen Levine

Secretary: Karen Saperstein

Assistant Secretaries: Lisa Siebold
Darlene Norman
Linda Karr