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DIVISION OF CORPORATIONS  
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## TRANSMITTAL LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** American General Indemnity Company  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Robert T. Starkey

(Name of Person)

AIG/American General

(Firm/Company)

3600 Route 66, MSN 4-AA

(Address)

Neptune, NJ 07754

(City/State and Zip code)

For further information concerning this matter, please call:

Robert T. Starkey

(Name of Person)

at ( 732 ) 922-7552

(Area Code & Daytime Telephone Number)

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**STREET ADDRESS:**

Registration Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

**MAILING ADDRESS:**

Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- |  |  |   |   |
|--|--|---|---|
| <input checked="" type="checkbox"/> \$70.00 Filing Fee | <input type="checkbox"/> \$78.75 Filing Fee &<br>Certificate of Status | <input type="checkbox"/> \$78.75 Filing Fee &<br>Certified Copy | <input type="checkbox"/> \$87.50 Filing Fee,<br>Certificate of Status &<br>Certified Copy |
|--|--|---|---|



November 7, 2003

Mr. Lee Rivers  
Florida Department of State  
Registration Section, Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

RE: American General Indemnity Company  
Ref. Number W03000031769

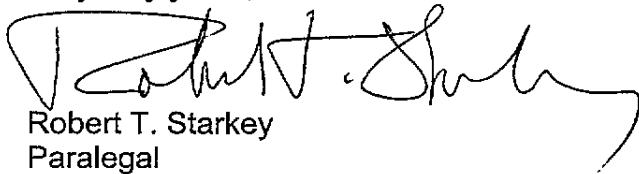
Dear Mr. Rivers:

This will confirm our telephone conversation on November 5, 2003. Enclosed is the second page of our application which was returned by Marsha Thomas, Document Specialist, because we failed to get the required signature.

Per our telephone conversation, the State of Illinois, in which the company is domiciled, does not require registration with the department of state. Also, the Department of Insurance retains originals of documents. Hence, we can supply a certified copy of the Articles of Reorganization, Certificate of Authority (already submitted), Order of Approval, Certificate of Deposit, but not originals. The only original document that we can provide is the Certificate of Compliance which is enclosed.

Thank you for your assistance in this matter.

Very truly yours,



Robert T. Starkey  
Paralegal

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**American General Life Companies**  
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\*This Company does not solicit business in New York.



FLORIDA DEPARTMENT OF STATE

Glenda E. Hood  
Secretary of State

October 30, 2003

ROBERT T. STARKEY  
3600 ROUTE 66 NSN 4-AA  
NEPTUNE, NJ 07754

SUBJECT: AMERICAN GENERAL INDEMNITY COMPANY  
Ref. Number: W03000031769

We have received your document for AMERICAN GENERAL INDEMNITY COMPANY and your check(s) totaling \$70.00. However, the enclosed document has not been filed and is being returned for the following correction(s):

The document must be signed by the chairman, any vice chairman of the board of directors, its president, or another of its officers.

A certificate of existence or a certificate of good standing, dated no more than 90 days prior to the delivery of the application to the Department of State, duly authenticated by the secretary of state or other official having custody of the records in the jurisdiction under the laws of which it is incorporated/organized, must be submitted to this office. A translation of the certificate under oath of the translator must be attached to a certificate which is in a language other than the English language. A photocopy of this certificate is not acceptable.

Please return your document, along with a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6097.

Marsha Thomas  
Document Specialist

Letter Number: 903A00059146

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**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. American General Indemnity Company  
(Enter name of corporation; must include "INCORPORATED," "COMPANY," "CORPORATION,"  
"Inc.," "Co.," "Corp.," "Inc.," "Co.," or "Corp.")

(If name unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

2. Illinois 3. 93-0928517  
(State or country under the law of which it is incorporated) (FEI number, if applicable)

4. July 28, 1986 5. Perpetual  
(Date of incorporation) (Duration: Year corp. will cease to exist or "perpetual")

6. upon qualification  
(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")  
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 1000 E. Woodfield Road, Schaumburg, IL 60173-4793  
(Principal office address)  
3600 Route 66, Neptune, NJ 07754-1580  
(Current mailing address)

8. Any lawful purpose within the State of Florida  
(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: Florida Insurance Commissioner

Office Address: 200 E. Gaines Street, Lanson Building

Tallahassee, Florida 32399-0319  
(City) (Zip code)

10. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

\_\_\_\_\_  
(Registered agent's signature)

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

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**A. DIRECTORS**

Chairman: See attached addendum. The business address of all officers and directors

Address: is the principal office address as set forth in item #7 above.

Vice Chairman: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

Director: \_\_\_\_\_

Address: \_\_\_\_\_

**B. OFFICERS**

President: \_\_\_\_\_

Address: \_\_\_\_\_

Vice President: \_\_\_\_\_

Address: \_\_\_\_\_

Secretary: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

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**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. Richard M. Zuckerman, Assistant Secretary  
(Signature of Director or Officer listed in number 12 of the application)

14. Richard M. Zuckerman, Assistant Secretary  
(Typed or printed name and capacity of person signing application)

Effective May 9, 2003

**AMERICAN GENERAL INDEMNITY COMPANY**  
(Incorporated in Nebraska)

**DIRECTORS**

Rodney O. Martin, Jr.  
M. Bernard Aidinoff  
David R. Armstrong  
Anne E. Bossi  
Larry A. Compton  
David L. Herzog  
William M. Keeler  
James K. Larson  
Randy J. Marash  
Thomas McDonald  
Nicholas A. O'Kulich  
Ernest T. Patrikis  
Gary D. Reddick  
Martin J. Sullivan  
Scott Votava  
Susan J. Wilhite

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**OFFICERS**

**NAME**

**TITLE**

Rodney O. Martin, Jr.	Chairman of the Board
William M. Keeler	Chief Executive Officer and President
David L. Herzog	Chief Operating Officer and Chief Financial Officer
Gary D. Reddick	Chief Administrative Officer
Anne E. Bossi	Executive Vice President
James A. Galli	Executive Vice President
Paul L. Mistretta	Executive Vice President
James W. Weakley	Executive Vice President
Wayne A. Barnard	Senior Vice President
Robert M. Beuerlein	Senior Vice President
Patricia A. Bosi	Senior Vice President
Jeffrey H. Carlson	Senior Vice President and Chief Information Officer
Phillip L. Chapman	Senior Vice President
Pauletta P. Cohn	Senior Vice President and Co-General Counsel
Larry A. Compton	Senior Vice President
Robert F. Herbert, Jr.	Senior Vice President
Kyle L. Jennings	Senior Vice President and Co-General Counsel
Althea R. Johnson	Senior Vice President and Assistant Secretary
Glen D. Keller	Senior Vice President
William J. Leary	Senior Vice President
Randy J. Marash	Senior Vice President
Alfred N. Thome	Senior Vice President

Daniel A. Arnold  
Edward F. Bacon  
Walter E. Bednarski  
Robert W. Busby  
Joel H. Hammer  
Keith C. Honig  
Gary J. Kleinman  
W. Larry Mask

Gordon S. Massie  
Terence McSweeney  
Deanna D. Osmonson  
Rembert R. Owen, Jr.

Kristin E. Sather  
Richard W. Scott  
T. Clay Spires  
Rick P. Vegh  
S. Michael Von Stein  
Susan J. Wilhite  
Kim A. DeGennaro  
William W. Fish  
Roger E. Hahn  
Richard A. Mercante  
Alan J. Nussenblatt  
Sam W. Tillinghast  
Frank Douglas  
Elizabeth M. Tuck  
Edward F. Andrzejewski  
Lauren W. Jones  
William Woods  
Richard M. Zuckerman  
Michael B. Wedig  
Denise Woolf  
John D. Fleming

Vice President  
Vice President  
Vice President, Controller and Treasurer  
Vice President and Actuary  
Vice President  
Vice President  
Vice President and Real Estate Investment Officer  
Vice President, Real Estate Investment Officer and  
Assistant Secretary  
Vice President  
Vice President  
Vice President and Chief Compliance Officer  
Vice President, Real Estate Investment Officer and  
Assistant Secretary  
Vice President  
Vice President and Chief Investment Officer  
Vice President and Assistant Tax Officer  
Vice President  
Vice President  
Vice President  
Compliance Officer  
Assistant Vice President  
Assistant Vice President  
Assistant Vice President  
Assistant Vice President  
Assistant Vice President  
Appointed Actuary  
Secretary  
Tax Officer  
Assistant Secretary  
Assistant Secretary  
Assistant Secretary  
Assistant Tax Officer  
Assistant Tax Officer  
Assistant Treasurer

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STATE OF ILLINOIS  
DEPARTMENT OF INSURANCE  
320 WEST WASHINGTON STREET  
SPRINGFIELD, ILLINOIS 62767-0001

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I, the undersigned, Director of Insurance of the State of Illinois, hereby certify that the document to which this Certification is attached is a true and correct copy of the original now on file in and forming a part of the records of the Department of Insurance.

In witness whereof, I hereto set my hand and cause to be affixed the Seal of my office in Springfield, Illinois.

Date: SEP 25 2003

*Anthony Clark*  
Director of Insurance

# STATE OF ILLINOIS

## DEPARTMENT OF INSURANCE



### Amended Certificate of Authority

Whereas, the American General Indemnity Company

located at Schaumburg, Illinois, in the State of Illinois  
has complied with all the requirements of the "Illinois Insurance Code" applicable to such  
Company:

NOW, THEREFORE, I, the undersigned, Director of Insurance of the State of Illinois,  
do hereby authorize the said Company to transact its appropriate business as set forth  
under Clause(s) \_\_\_\_\_

(a), (b), (c), (e), (f), (g), (h), (i), (j), (k) of Class 2

(a), (b), (c), (d), (e), (f), (g), (h) of Class 3

of Section 4 of the "Illinois Insurance Code" in this State, in accordance with the laws  
thereof. To be effective August 1, 2003.



In Testimony Whereof, I hereto set  
my hand and cause to be affixed the Seal  
of my office. Done at the City of  
Springfield, this 29<sup>th</sup> day of

July, 20 03  
J. Anthony Clark  
J. Anthony Clark Director of Insurance

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Applicant Name: American General Indemnity Company

NAIC No. 24376  
FEIN: 93-0928517

**Uniform Certificate of Authority Application (UCAA)  
Certificate of Compliance**

State of Illinois  
(Domiciliary state of applicant)

Office of Director of Insurance  
(Commissioner, Superintendent, Officer)

I, J. Anthony Clark, hereby certify that I am the\*Director of Insurance of the State of Illinois and have supervision of insurance business in said State and as such I hereby certify that American General Indemnity Company of Schaumburg, Illinois is duly organized under the laws of said State and is authorized to transact the business of accident and health, vehicle, liability, burglary and forgery, glass, fidelity and surety, miscellaneous, other casualty risks, contingent losses, livestock and domestic animals, fire, elements, war, riot and explosion, marine and transportation, property damage, sprinkler leakage and crop and other fire and marine risks insurance in this State.

I further certify that the said American General Indemnity Company is possessed of admitted assets in the amount of \$21,542,645 dollars, and has a paid-in capital of \$3,000,000 dollars, and is possessed of a surplus of admitted assets over all liabilities, reserves and capital of at least \$ 7,662,361 dollars as shown by its annual statement submitted to this Department as of December 31, 2002

IN TESTIMONY WHEREOF, I have hereunto set my hand at Springfield, Illinois  
(location)

on this 28th day of August, A.D. 2003  
(month)

J. Anthony Clark  
(signature)

J. Anthony Clark  
(printed name)

\* Insurance Commissioner, Officer or Superintendent of Insurance authorized to certify to the insurance business within the domiciliary state.

\*\* Lines of Insurance as shown on Form 3 of UCAA

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