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(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

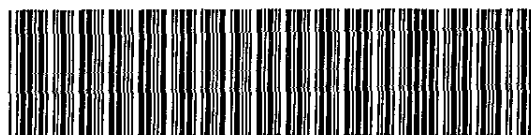
(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

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04 MAR 11 AM 10:31

6/203/11/04

## TRANSMITTAL LETTER

**TO:** Registration Section  
Division of Corporations

**SUBJECT:** American Century Mortgage Banking Corp.  
(Name of corporation - must include suffix)

Dear Sir or Madam:

The enclosed "Application by Foreign Corporation for Authorization to Transact Business in Florida", "Certificate of Existence", and check are submitted to register the above referenced foreign corporation to transact business in Florida.

Please return all correspondence concerning this matter to the following:

Stephen Hall  
(Name of Person)

Regulatory Counsel Group, Inc.  
(Firm/Company)

295 West Crossville Road, Suite 530  
(Address)

Roswell, GA 30075  
(City/State and Zip code)

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For further information concerning this matter, please call:

Stephen Hall at (770) 992-7779  
(Name of Person) (Area Code & Daytime Telephone Number)

**STREET ADDRESS:**  
Registration Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

**MAILING ADDRESS:**  
Registration Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

Enclosed is a check for the following amount:

- ☐ \$70.00 Filing Fee    ☒ \$78.75 Filing Fee & Certificate of Status    ☐ \$78.75 Filing Fee & Certified Copy    ☐ \$87.50 Filing Fee, Certificate of Status & Certified Copy



## Regulatory Counsel Group, Inc.

Mortgage Licensing & Compliance Advisors

www.regulatorycounsel.com

February 16, 2004

Registration Section  
Division of Corporations  
409 East Gaines Street  
Tallahassee, FL 32399  
850-245-6051

**RE: American Century Mortgage Banking Corp.**

W04-8603

To Whom It May Concern:

This provides you with information on behalf of **American Century Mortgage Banking Corp.** to establish them as a foreign company to transact business in your State. As their Agent, Regulatory Counsel Group, Inc. has enclosed the following:

1. A Check in the amount of \$78.75 (\$70.00 Filing fee and \$8.75 for Certificate of Status)
2. Three (3) original qualification documents (signed)
3. Certificate of Good Standing
4. A letter of approval from the Florida Office of Financial Regulation for use of the company name.
5. A self-addressed, stamped envelope to send one original back to RCG's attention.

Please send all correspondence to:  
Regulatory Counsel Group, Inc.  
295 West Crossville Road  
Suite 530  
Roswell, GA 30075

Thank you for your cooperation in this matter. If you have any questions, please call me directly at 770-992-7779.

Sincerely,

Kelli Borger  
Account Executive

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DIVISION OF CORPORATIONS  
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FLORIDA DEPARTMENT OF STATE

Glenda E. Hood  
Secretary of State

March 2, 2004

STEPHEN HALL  
REGULATORY COUNSEL GROUP  
295 WEST CROSSVILLE ROAD, SUITE 530  
ROSWELL, GA 30075

SUBJECT: AMERICAN CENTURY MORTGAGE BANKING CORP.  
Ref. Number: W04000008603

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DIVISION OF CORPORATIONS  
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We have received your document for AMERICAN CENTURY MORTGAGE BANKING CORP. and your check(s) totaling \$78.75. However, the document has not been filed and is being retained in this office for the following:

Written approval and clearance of the terms BANK, BANKER, BANKING, TRUST COMPANY, BANCSHARES, SAVINGS & LOAN ASSOCIATION, SAVINGS BANK, or CREDIT UNION or words of similar import, must be obtained from the Office of Financial Institutions, pursuant to section 655.922(2a), Florida Statutes.

Enclosed is a "Name Approval Request" form to be filled out and sent to the address indicated on the form. If the proposed name is approved by the Office of Financial Institutions, resubmit the document and approval letter to the Division of Corporations for filing.

Although your cover letter refers to "a letter of approval," no such letter arrived with your application.

Please return a copy of this letter, within 60 days or your filing will be considered abandoned.

If you have any questions concerning the filing of your document, please call (850) 245-6958.

Lee Rivers  
Document Specialist

Letter Number: 904A00014013



## Regulatory Counsel Group, Inc.

*Mortgage Licensing & Compliance Advisors*

www.regulatorycounsel.com

March 10, 2004

Lee Rivers  
Florida Department of State  
409 East Gaines Street  
Tallahassee, FL 32399  
850-245-6051

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DIVISION OF CORPORATIONS  
04 MAR 11 AM 10:31

**RE: American Century Mortgage Banking Corp.**

Dear Ms. Rivers:

Please accept this letter in response to your letter dated March 2, 2004. You have requested name approval from the Office of Financial Institutions. I have received and did include a copy of the approval letter from the Office of Financial Regulation, also called the Office of Financial Institutions. I am enclosing a copy of the items forwarded in the initial application package, for your review.

Please resubmit this application for approval and forward copy of the approval to my attention at the address below.

**Regulatory Counsel Group, Inc.  
295 West Crossville Road  
Suite 530  
Roswell, GA 30075**

Thank you for your cooperation in this matter. If you have any questions, please call me directly at 770-992-7779.

Sincerely,

Kelli Borger  
Account Executive



**OFFICE OF FINANCIAL REGULATION**

**DON B. SAXON**  
DIRECTOR

**FINANCIAL SERVICES  
COMMISSION**

**JEB BUSH**  
GOVERNOR

**TOM GALLAGHER**  
CHIEF FINANCIAL OFFICER

**CHARLIE CRIST**  
ATTORNEY GENERAL

**CHARLES BRONSON**  
COMMISSIONER OF  
AGRICULTURE

January 23, 2004

Mr. Stephen Hall  
Regulatory Counsel Group, Inc.  
295 W. Crossville Road  
Suite 530  
Roswell, Georgia 30075

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DIVISION OF CORPORATIONS  
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Re: American Century Mortgage Banking Corp.

Dear Mr. Hall:

Thank you for your recent letter/fax requesting approval for use of the above-referenced corporate name. It is the opinion of this Department that your name is definitive enough to differentiate the business being conducted from that of a commercial bank or trust company.

The Department does not object to your use of the above-referenced corporate name being registered as a foreign corporation in the state of Florida. However, this does not give one the authority to act in any licensed capacity until all licensing requirements have been met within this state.

Sincerely,

Linda B. Charity  
Deputy Director  
Financial Institutions

LBC:ker

cc: Karon Beyer, Chief, Bureau of Commercial Recordings  
Division of Corporations, Secretary of State's Office

William T. Sims, Division of Finance Regulation

**APPLICATION BY FOREIGN CORPORATION FOR AUTHORIZATION TO TRANSACT  
BUSINESS IN FLORIDA**

*IN COMPLIANCE WITH SECTION 607.1503, FLORIDA STATUTES, THE FOLLOWING IS SUBMITTED TO  
REGISTER A FOREIGN CORPORATION TO TRANSACT BUSINESS IN THE STATE OF FLORIDA.*

1. American Century Mortgage Banking Corp.

(Name of corporation; must include the word "INCORPORATED", "COMPANY", "CORPORATION" or words or abbreviations of like import in language as will clearly indicate that it is a corporation instead of a natural person or partnership if not so contained in the name at present.)

2. New York

(State or country under the law of which it is incorporated)

3. 11-3390033

(FEI number, if applicable)

4. 07/25/1997

(Date of incorporation)

5. Perpetual

(Duration: Year corp. will cease to exist or "perpetual")

6. upon qualification

(Date first transacted business in Florida. If corporation has not transacted business in Florida, insert "upon qualification.")  
(SEE SECTIONS 607.1501, 607.1502 and 817.155, F.S.)

7. 225 Broadhollow Road, Suite 122W, Melville, NY 11747

(Principal office address)

225 Broadhollow Road, Suite 122W, Melville, NY 11747

(Current mailing address)

8. Mortgage Broker/Lender (Bank)

(Purpose(s) of corporation authorized in home state or country to be carried out in state of Florida)

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9. Name and street address of Florida registered agent: (P.O. Box or Mail Drop Box **NOT** acceptable)

Name: NRAI Services, Inc.

Office Address: 526 E. Park Avenue

Tallahassee

(City)

, Florida 32301

(Zip code)

10. Registered agent's acceptance:

*Having been named as registered agent and to accept service of process for the above stated corporation at the place designated in this application, I hereby accept the appointment as registered agent and agree to act in this capacity. I further agree to comply with the provisions of all statutes relative to the proper and complete performance of my duties, and I am familiar with and accept the obligations of my position as registered agent.*

NRAI Services, Inc.

By: 

(Registered agent's signature)

Scott Scher, Assistant Secretary

11. Attached is a certificate of existence duly authenticated, not more than 90 days prior to delivery of this application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the law of which it is incorporated.

12. Names and business addresses of officers and/or directors:

**A. DIRECTORS**

Chairman: N/A

Address: \_\_\_\_\_

Director: Vincent A. Smyth, Jr.

Address: 225 Broadhollow Road, Suite 122W, Melville, NY 11747

Director: Edmund J.M. Smyth

Address: 225 Broadhollow Road, Suite 122W, Melville, NY 11747

Director: Vincent A. Smyth, Sr.

Address: 225 Broadhollow Road, Suite 122W, Melville, NY 11747

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DIVISION OF CORPORATIONS  
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**B. OFFICERS**

President: Vincent A. Smyth, Jr.

Address: 225 Broadhollow Road, Suite 122W, Melville, NY 11747

Vice President: Edmund J.M. Smyth

Address: 225 Broadhollow Road, Suite 122W, Melville, NY 11747

Secretary: Edmund J.M. Smyth

Address: 225 Broadhollow Road, Suite 122W, Melville, NY 11747

Treasurer: N/A

Address: \_\_\_\_\_

**NOTE:** If necessary, you may attach an addendum to the application listing additional officers and/or directors.

13. 

(Signature of Chairman, Vice Chairman, or any officer listed in number 12 of the application)

14. Vincent A. Smyth, Jr., President

(Typed or printed name and capacity of person signing application)



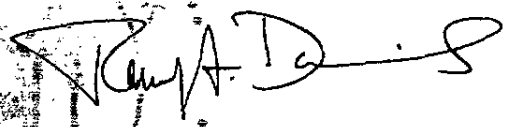
**State of New York** } **ss:**  
**Department of State**

I hereby certify, that the Certificate of Incorporation of AMERICAN CENTURY MORTGAGE BANKING CORP. was filed on 07/25/1997, with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation.

The Biennial Statement is past due.

\*\*\*

Witness my hand and the official seal  
of the Department of State at the City  
of Albany, this 23rd day of January  
two thousand and four.

  
Secretary of State

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